

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Alabama
Performance and Evaluation Report
For Grant Year 2009
As of 06/15/2023
Grant Number B09DC010001

Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$26,411,515.00
2)	Program Income	
3)	Program income received in IDIS	\$454,439.70
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$454,439.70
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$26,865,954.70

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$27,632,434.64
10)	Adjustment to compute total obligated to recipients	-\$1,220,919.64
11)	Total obligated to recipients (sum of lines 9 and 10)	\$26,411,515.00
12)	Set aside for State Administration	\$701,920.40
13)	Adjustment to compute total set aside for State Administration	-\$4,028.05
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$697,892.35
15)	Set aside for Technical Assistance	\$173,798.15
16)	Adjustment to compute total set aside for Technical Assistance	\$20,654.50
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$194,452.65
18)	State funds set aside for State Administration match	\$603,522.24

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$454,439.70
22)	Total redistributed (sum of lines 20 and 21)	\$454,439.70
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$454,439.70
27)	Adjustment to compute total retained	-\$454,439.70
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$703,470.28
30)	Adjustment to amount drawn for State Administration	-\$889.13
31)	Total drawn for State Administration	\$702,581.15
32)	Drawn for Technical Assistance	\$173,798.15
33)	Adjustment to amount drawn for Technical Assistance	\$20,654.50
34)	Total drawn for Technical Assistance	\$194,452.65
35)	Drawn for Section 108 Repayments	\$63,631.00
36)	Adjustment to amount drawn for Section 108 Repayments	-\$56,481.37
37)	Total drawn for Section 108 Repayments	\$7,149.63
38)	Drawn for all other activities	\$27,147,232.11
39)	Adjustment to amount drawn for all other activities	-\$1,628,062.11
40)	Total drawn for all other activities	\$25,519,170.00

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$26,411,515.00
46)	Program Income Received (line 5)	\$454,439.70
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$26,865,954.70
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,625,418.61
51)	Adjustment to compute total disbursed for P/A	\$67,422.24
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,692,840.85
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$26,411,515.00
55)	Program Income Received (line 5)	\$454,439.70
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$26,865,954.70
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.02%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,580,840.17
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$26,411,515.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	9.77%

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Part II: Compliance with Overall Low and Moderate Income Benefit

- 63) Period specified for benefit: grant years 2009 – 2011
 64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2009	2010	2011	Total
65) Benefit LMI persons and households (1)		24,449,809.15	28,037,216.47	19,723,800.76	72,210,826.38
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		24,449,809.15	28,037,216.47	19,723,800.76	72,210,826.38
69) Prevent/Eliminate Slum/Blight		660,318.38	17,820.00	567,651.74	1,245,790.12
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		660,318.38	17,820.00	567,651.74	1,245,790.12
72) Meet Urgent Community Development Needs		115,156.25	0.00	0.00	115,156.25
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		115,156.25	0.00	0.00	115,156.25
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		25,225,283.78	28,055,036.47	20,291,452.50	73,571,772.75
77) Low and moderate income benefit (line 68 / line 76)		0.97	1.00	0.97	0.98
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		703,470.28	911,047.29	570,554.31	2,185,071.88
80) Technical Assistance		173,798.15	154,168.42	144,127.59	472,094.16
81) Local Administration		1,921,948.33	2,301,016.20	1,696,845.46	5,919,809.99
82) Section 108 repayments		63,631.00	22,033.43	176,437.50	262,101.93

2009 PR28 CDBG State PER Report in PY2022

FINAL

Attachment PER Adjustments Explanations

- B10.** Program Income funded to activities: \$1,314,269.89; contracts obligated but not entered in IDIS: \$0.00; Program Income obligated to activities: (\$ 11,838.43); and float loan (\$2,465,000.00); Closed PY grant (PY08 – back) activity(s) funded with 2009 funds (\$58,351.10). Adjusted total = (\$1,220,919.64)
- NOTE:** Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (reporting error/program income) requiring adjusting entries. HUD confirmed: see memo in PY2015 PER Folder. No change on reports at 6/2023.
- B13.** Program Income obligated as state admin: (\$4,688.80); Funding adjustment to State Administration reported on PR28: 660.75. Adjusted total: (4,028.05)
- B16.** Funding adjustment to Technical Assistance reported on PR28: \$20,654.50
- B18.** State funds set aside for State Administration Match: \$603,522.24
- B21.** State Program Income redistributed: \$454,439.70
- B27.** No Program Income retained at UGLG: (\$454,439.70)
- C30.** Adjusting entry by reports (see PR05): Report error on PR28: (\$889.13)
- C33.** Adjusting entry by reports (see PR05): Report error on PR28: \$20,654.50
- C36.** Adjusting entry by reports (see PR05): Report error on PR28: (\$56,481.37)
- C39.** Adjusting entry by reports (see PR05): Report error on PR28: \$836,937.89; float loan: (\$2,465,000.00). Adjusted total: (\$1,628,062.11)
- E51.** Adjusting entry by reports (see PR05): Report error on PR28: \$82,422.24; float loan: (\$15,000.00). Adjusted total: \$67,422.24

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/14/23 IDIS: 28,888,353.43
2. CCS 3/31/23 ADECA: 28,888,353.43
3. DIFF: 0.00

State of ALABAMA
 Grant Financial Summary
 As of 03/30/2023
 Grant Number 809DC010001

A. Sources of State CDBG Funds

1) State Allocation	\$26,411,515.00
2) Grant funds returned to line of credit	
3) Grant funds returned to local account	

B. State CDBG Resources by Use

4) Obligated to recipients	\$27,632,434.64
5) Set aside for State Administration	\$691,143.67
6) Set aside for Technical Assistance	\$167,528.20

C. Expenditures of State CDBG Resources

7) Drawn for State Administration	\$691,143.67
8) Drawn for Technical Assistance	\$167,528.20
9) Drawn for Section 108 Repayments	\$63,631.00
10) Drawn for all other activities	\$26,710,131.77
11) <i>Disbursed for Coronavirus-related Activities</i>	
12) <i>Disbursed for Activities Not related to Coronavirus</i>	\$27,632,434.64

D. Compliance with Public Service (PS) Cap

13) Disbursed in IDIS for ALL PS		
14) <i>Disbursed for Coronavirus-related Public Services</i>		
15) <i>Disbursed for Public Services Not related to Coronavirus</i>		
16) Percent of funds disbursed to date for PS (line 13 / line 1)		0.00%
17) <i>Percent Disbursed for Coronavirus-related Public Services (line 14 / line 1)</i>		0.00%
18) <i>Percent Disbursed for Public Services Not related to Coronavirus (line 15 / line 1)</i>		0.00%

E. Compliance with Planning and Administration (P/A) Cap

19) Disbursed in IDIS for P/A from Grant	\$2,580,840.17
20) Percent of funds disbursed to date for P/A (line 19 / line 1)	9.77%

F. Overall Low and Moderate Income Benefit

21) Drawn to Benefit LMI persons and households (minus noncountable amounts, line 22)	\$24,057,473.88
22) Noncountable amounts drawn: Non-LMI Portion of LMH activities	
23) Drawn to Prevent/Eliminate Slum/Blight	\$647,805.14
24) Drawn to Address Urgent Needs	\$115,156.25
25) Total disbursements subject to overall LMI benefit (sum of lines 21, 22, 23 and 24)	\$24,820,435.27
26) Percent Low and moderate income benefit, to date (line 21 / line 25)	96.93%



U.S. Department of Housing and Urban Development
Community Planning and Development

Attachment: A

**State CDBG Program Grant Closeout
Certification**

Grantee Name: State of Alabama

State CDBG Program			
(Insert) Grant Year	2009		
Grant Number	B-09-DC-01-0001		
Grant Amount	\$26,411,515		
Cumulative Grant Funds Disbursed	\$26,411,515		
Balance of Grant Funds Remaining to be Recaptured	\$0		

The Grantee hereby certifies that: (1) the grant as described in the approved application has been performed in accordance with the terms and conditions of the executed Grant Agreement and that there are no known outstanding programmatic or financial issues; and (2) all data provided above fairly reflect costs and sources of funds of the CDBG grant and are taken from HUD-approved reports and other project-related documents.


Grantee Authorized Representative's Signature

CPD Division Director Signature

Kenneth W. Boswell
Typed Name of Signatory

Typed Name of Signatory

ADECA Director
Title

Title

6/15/23
Date

Date

The above signature by HUD signifies approval of grant closeout.

Note: Any false statements knowingly or deliberately made are subject to civil or criminal penalties under Section 1001 of Title 18 of the U.S. Code.



Attachment: C

State CDBG Closeout Checklist

Submission of this form will expedite the grant closeout process

Grantee Name State of Alabama	Grant Number B-09-DC-01-0001
Official Contact Name Kenneth W. Boswell	DUNS Number 062620604
Email Address See Below	Fax Number 334-353-3527
Telephone Number 334-242-5591	
kenneth.boswell@adeca.alabama.gov	

1. Criteria for Closeout

1. Regarding the grant to be closed out:

- (i) The State disbursed all funds to Units of General Local Governments (UGLGs) as described in the Method of Distribution
 Yes No if no, explain:
- (ii) All grant funds were expended
 Yes No if no, explain:
- (iii) The State closed out all grants to UGLGs
 Yes No if no, explain:

Explanation box

2. Pursuant to statutory requirements of the Housing and Community Development Act of 1974 (as amended) (42 USC 5301 et seq.), the State

- (i) Carried out all of its certifications
 Yes No if no, explain:
- (ii) Ensured that all CDBG-funded activities were eligible and met a national objective
 Yes No if no, explain:
- (iii) Monitored UGLGs to determine whether applicable performance criteria were met
 Yes No if no, explain:

Explanation box



U.S. Department of Housing and Urban Development
Community Planning and Development

3. As part of the financial review, the state confirmed that:
- (i) 15 percent public services cap was not exceeded
Yes No if no, explain:
 - (ii) 20 percent planning and administration cap was not exceeded
Yes No if no, explain:
Administrative costs and technical assistance did not exceed the 3 percent combined threshold for CDBG funds received after January 23, 2004 (or regulatory amounts for grants received before January 23, 2004)
Yes No if no, explain:
 - (iv) The state met the required match for administration
Yes No if no, explain:
 - (v) Is there any program income on hand?
Yes No if yes, explain:

Explanation box

4. With regard to audits and monitoring of the State CDBG grant
- (i) Any outstanding Audit findings
Yes No if yes, explain:
 - (ii) All grant expenditures were covered by an audit
Yes No if no, expenditures after the last audit must be addressed in closeout agreement with HUD
 - (iii) Any outstanding monitoring findings
Yes No if yes, explain:

Explanation box

Grantee

By: Kenneth D. Bouldin Date 6/15/23
Authorized Representative's Signature

Federal Financial Report

(Follow form instructions)

OMB Number: 4040-0014
Expiration Date: 01/31/2019

1. Federal Agency and Organizational Element to Which Report is Submitted DEPT. OF HOUSING AND URBAN DEVELOPMENT BIRMINGHAM FIELD OFFICE		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) B-09-DC-01-0001	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS Street1: 401 ADAMS AVE, P O BOX 5690 Street2: City: MONTGOMERY County: MONTGOMERY Province: State: AL: Alabama ZIP / Postal Code: 36103-5690 Country: USA: UNITED STATES			
4a. DUNS Number 062620604	4b. EIN 636000619	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment) C-DBG	
6. Report Type <input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	7. Basis of Accounting <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	8. Project/Grant Period From: 04/01/2009 To: 03/31/2023	9. Reporting Period End Date 03/31/2023
10. Transactions (Use lines a-c for single or multiple grant reporting)			
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			26,411,515.00
b. Cash Disbursements			26,411,515.00
c. Cash on Hand (line a minus b)			0.00
(Use lines d-o for single grant reporting)			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			26,411,515.00
e. Federal share of expenditures			26,411,515.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			26,411,515.00
h. Unobligated balance of Federal Funds (line d minus g)			0.00
Recipient Share:			
i. Total recipient share required			602,581.15
j. Recipient share of expenditures			603,522.24
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			2,476,838.43
m. Program income expended in accordance with the deduction alternative			0.00
n. Program income expended in accordance with the addition alternative			2,476,838.43
o. Unexpended program income (line l minus line m or line n)			0.00

11. Indirect Expense						
a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
Finals: 4/1/09 - 9/30/13 various rates		04/01/2009	09/30/2013	13,260,808.89	496,817.07	213,128.27
g. Totals:				13,260,808.89	496,817.07	213,128.27

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: Mr. First Name: KENNETH Middle Name: W
 Last Name: BOSWELL Suffix:
 Title: DIRECTOR

b. Signature of Authorized Certifying Official
 Kenneth W Boswell

c. Telephone (Area code, number and extension)
 334-242-5090

d. Email Address
 Kenneth.Boswell@adeca.alabama.gov

e. Date Report Submitted **14. Agency use only:**
 6/15/2013



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DATE: 06-15-23
TIME: 17:40
PAGE: 1

Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$22,197,922.50
2)	Program Income	
3)	Program income received in IDIS	\$1,086,975.86
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$1,086,975.86
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$23,284,898.36

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$23,481,999.03
10)	Adjustment to compute total obligated to recipients	-\$1,284,076.53
11)	Total obligated to recipients (sum of lines 9 and 10)	\$22,197,922.50
12)	Set aside for State Administration	\$675,006.80
13)	Adjustment to compute total set aside for State Administration	-\$25,115.13
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$649,891.67
15)	Set aside for Technical Assistance	\$130,220.31
16)	Adjustment to compute total set aside for Technical Assistance	-\$13,733.98
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$116,486.33
18)	State funds set aside for State Administration match	\$1,842,028.91

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$1,086,975.86
22)	Total redistributed (sum of lines 20 and 21)	\$1,086,975.86
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$1,086,975.86
27)	Adjustment to compute total retained	-\$1,086,975.86
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$678,757.14
30)	Adjustment to amount drawn for State Administration	-\$24,389.61
31)	Total drawn for State Administration	\$654,367.53
32)	Drawn for Technical Assistance	\$130,445.31
33)	Adjustment to amount drawn for Technical Assistance	-\$13,958.98
34)	Total drawn for Technical Assistance	\$116,486.33
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$23,611,369.19
39)	Adjustment to amount drawn for all other activities	-\$895,988.34
40)	Total drawn for all other activities	\$22,715,380.85

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$22,197,922.50
46)	Program Income Received (line 5)	\$1,086,975.86
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$23,284,898.36
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,688,774.81
51)	Adjustment to compute total disbursed for P/A	-\$67,416.50
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,621,358.31
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$22,197,922.50
55)	Program Income Received (line 5)	\$1,086,975.86
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$23,284,898.36
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	11.26%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,627,122.63
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$22,197,922.50
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	11.83%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2014 – 2016

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2014	2015	2016	Total
65) Benefit LMI persons and households (1)		20,444,382.66	18,575,766.21	18,505,277.87	57,525,426.74
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		20,444,382.66	18,575,766.21	18,505,277.87	57,525,426.74
69) Prevent/Eliminate Slum/Blight		1,100,760.86	589,700.79	504,102.37	2,194,564.02
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		1,100,760.86	589,700.79	504,102.37	2,194,564.02
72) Meet Urgent Community Development Needs		56,208.00	75,000.00	626,280.00	757,488.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		56,208.00	75,000.00	626,280.00	757,488.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		21,601,351.52	19,240,467.00	19,635,660.24	60,477,478.76
77) Low and moderate income benefit (line 68 / line 76)		0.95	0.97	0.94	0.95
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		678,757.14	666,944.73	658,836.33	2,004,538.20
80) Technical Assistance		130,445.31	71,432.27	75,657.67	277,535.25
81) Local Administration		2,010,017.67	1,745,618.00	1,566,538.30	5,322,173.97
82) Section 108 repayments		153,892.50	0.00	0.00	153,892.50

2014 PR28 CDBG State PER Report in PY2022

FINAL

Attachment PER Adjustments Explanations

B10. Program Income funded to activities: \$173,128.18; contracts obligated but not entered in IDIS: \$0.00; 2015 activity funded w/2014 to offset PI grant-based/FIFO conversion (see attached sheet) (\$15,000.00); and Program Income obligated to activities: (\$1,442,204.71).
Adjusted total = (\$1,284,076.53)

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (reporting error/program Income) requiring adjustment entries.

B13. Funding adjustment to state administration reported on PR28: (\$20,639.27); Program Income obligated as state admin: \$(4,475.86); Adjusted total = (\$25,115.13)

B16. Funding adjustment to technical assistant reported on PR28: (\$13,733.98)

B18. State funds for Administration match: \$ 1,842,028.91

B21. State Program Income redistributed: \$1,086,975.86

B27. No Program Income retained at UGLG: (\$1,086,975.86)

C30. Adjusting Entry by reports (see PR05): Report error on PR28: (\$24,389.61)

C33. Adjusting Entry by reports (see PR05): Report error on PR28: (\$13,958.98)

C36. Adjusting Entry by reports (see PR05): Report error on PR28: \$153,892.50 **See Note Below**

C39. Adjusting Entry by reports (see PR05): Report error on PR28: \$(895,988.34)

E51. Adjusting Entry by reports (see PR05): Report error on PR28: \$(67,416.50)

NOTE C36: PR28 report \$307,785.00 (Line C37) with adjusted entry entered on C36. (153,892.50) on line C36 reports 0.00 (Line C37). No entry for C36 on PR28 report.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

CCS 3/31/23 ADECA: 23,640,127.21

PR02 6/14/23 IDIS: 23,640,127.21

DIFF: 0.00

State of ALABAMA
 Grant Financial Summary
 As of 03/30/2023

Grant Number B14DC010001

A. Sources of State CDBG Funds

1) State Allocation		\$22,197,922.50
2) Grant funds returned to line of credit		
3) Grant funds returned to local account		

B. State CDBG Resources by Use

4) Obligated to recipients	\$23,481,999.03
5) Set aside for State Administration	\$662,631.85
6) Set aside for Technical Assistance	\$108,986.33

C. Expenditures of State CDBG Resources

7) Drawn for State Administration	\$662,631.85
8) Drawn for Technical Assistance	\$108,986.33
9) Drawn for Section 108 Repayments	\$0.00
10) Drawn for all other activities	\$22,710,380.85
11) <i>Disbursed for Coronavirus-related Activities</i>	
12) <i>Disbursed for Activities Not related to Coronavirus</i>	\$23,481,999.03

D. Compliance with Public Service (PS) Cap

13) Disbursed in IDIS for ALL PS		
14) <i>Disbursed for Coronavirus-related Public Services</i>		
15) <i>Disbursed for Public Services Not related to Coronavirus</i>		
16) Percent of funds disbursed to date for PS (line 13 / line 1)		0.00%
17) <i>Percent Disbursed for Coronavirus-related Public Services (line 14 / line 1)</i>		0.00%
18) <i>Percent Disbursed for Public Services Not related to Coronavirus (line 15 / line 1)</i>		0.00%

E. Compliance with Planning and Administration (P/A) Cap

19) Disbursed in IDIS for P/A from Grant	\$2,627,122.63
20) Percent of funds disbursed to date for P/A (line 19 / line 1)	11.83%

F. Overall Low and Moderate Income Benefit

21) Drawn to Benefit LMI persons and households (minus noncountable amounts, line 22)	\$19,588,921.21
22) Noncountable amounts drawn: Non-LMI Portion of LMH activities	
23) Drawn to Prevent/Eliminate Slum/Blight	\$1,100,760.86
24) Drawn to Address Urgent Needs	\$56,208.00
25) Total disbursements subject to overall LMI benefit (sum of lines 21, 22, 23 and 24)	\$20,745,890.07
26) Percent Low and moderate income benefit, to date (line 21 / line 25)	94.42%



Attachment: A

**State CDBG Program Grant Closeout
Certification**

Grantee Name: State of Alabama

State CDBG Program	
(Insert) Grant Year	2014
Grant Number	B-14-DC-01-0001
Grant Amount	\$22,212,610
Cumulative Grant Funds Disbursed	\$22,197,922.50
Balance of Grant Funds Remaining to be Recaptured	\$14,687.50 Recaptured by Treasury

The Grantee hereby certifies that: (1) the grant as described in the approved application has been performed in accordance with the terms and conditions of the executed Grant Agreement and that there are no known outstanding programmatic or financial issues; and (2) all data provided above fairly reflect costs and sources of funds of the CDBG grant and are taken from HUD-approved reports and other project-related documents.


Grantee Authorized Representative's Signature

CPD Division Director Signature

Kenneth W. Boswell
Typed Name of Signatory

Typed Name of Signatory

ADECA Director
Title

Title

6/15/23
Date

Date

The above signature by HUD signifies approval of grant closeout.

Note: Any false statements knowingly or deliberately made are subject to civil or criminal penalties under Section 1001 of Title 18 of the U.S. Code.



Attachment: C

State CDBG Closeout Checklist

Submission of this form will expedite the grant closeout process

Grantee Name State of Alabama	Grant Number B-14-DC-01-0001
Official Contact Name Kenneth W. Boswell	IDUNS Number 062620604
Email Address See Below	Fax Number 334-353-3527
Telephone Number 334-242-5591	
kenneth.boswell@adeca.alabama.gov	

1. Criteria for Closeout

1. Regarding the grant to be closed out:
 - (i) The State disbursed all funds to Units of General Local Governments (UGLGs) as described in the Method of Distribution
 Yes No if no, explain:
 - (ii) All grant funds were expended
 Yes No if no, explain:
 - (iii) The State closed out all grants to UGLGs
 Yes No if no, explain:

Explanation box

2. Pursuant to statutory requirements of the Housing and Community Development Act of 1974 (as amended) (42 USC 5301 et seq.), the State

- (i) Carried out all of its certifications
 Yes No if no, explain:
- (ii) Ensured that all CDBG-funded activities were eligible and met a national objective
 Yes No if no, explain:
- (iii) Monitored UGLGs to determine whether applicable performance criteria were met
 Yes No if no, explain:

Explanation box



U.S. Department of Housing and Urban Development
Community Planning and Development


3. As part of the financial review, the state confirmed that:
- (i) 15 percent public services cap was not exceeded
Yes No if no, explain:
 - (ii) 20 percent planning and administration cap was not exceeded
Yes No if no, explain:
 - (iii) Administrative costs and technical assistance did not exceed the 3 percent combined threshold for CDBG funds received after January 23, 2004 (or regulatory amounts for grants received before January 23, 2004)
Yes No if no, explain:
 - (iv) The state met the required match for administration
Yes No if no, explain:
 - (v) Is there any program income on hand?
Yes No if yes, explain:

Explanation box

4. With regard to audits and monitoring of the State CDBG grant
- (i) Any outstanding Audit findings
Yes No if yes, explain:
All grant expenditures were covered by an audit
 - (ii) Yes No if no, expenditures after the last audit must be addressed in closeout agreement with HUD
 - (iii) Any outstanding monitoring findings
Yes No if yes, explain:

Explanation box

Grantee

By: 
 Authorized Representative's Signature

6/15/23
Date

Federal Financial Report

OMB Number: 4040-0014
Expiration Date: 01/31/2019

(Follow form Instructions)

<p>1. Federal Agency and Organizational Element to Which Report is Submitted</p> <div style="border: 1px solid black; padding: 5px; min-height: 40px;"> DEPT. OF HOUSING AND URBAN DEVELOPMENT BIRMINGHAM FIELD OFFICE </div>		<p>2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment)</p> <div style="border: 1px solid black; padding: 5px; min-height: 20px;"> B-14-DC-01-0001 </div>	
<p>3. Recipient Organization (Name and complete address including Zip code)</p> <p>Recipient Organization Name: ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS</p> <p>Street1: 401 ADAMS AVE, P O BOX 5690</p> <p>Street2:</p> <p>City: MONTGOMERY County: MONTGOMERY Province:</p> <p>State: AL: Alabama ZIP / Postal Code: 36103-5690</p> <p>Country: USA: UNITED STATES</p>			
<p>4a. DUNS Number</p> <div style="border: 1px solid black; padding: 2px;">062620604</div>	<p>4b. EIN</p> <div style="border: 1px solid black; padding: 2px;">636000619</div>	<p>5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)</p> <div style="border: 1px solid black; padding: 2px;">14 CDBG GRANT</div>	
<p>6. Report Type</p> <p><input type="checkbox"/> Quarterly</p> <p><input type="checkbox"/> Semi-Annual</p> <p><input type="checkbox"/> Annual</p> <p><input checked="" type="checkbox"/> Final</p>	<p>7. Basis of Accounting</p> <p><input checked="" type="checkbox"/> Cash</p> <p><input type="checkbox"/> Accrual</p>	<p>8. Project/Grant Period</p> <p>From: 04/01/2014 To: 03/31/2023</p>	<p>9. Reporting Period End Date</p> <div style="border: 1px solid black; padding: 2px;">03/31/2023</div>
<p>10. Transactions</p> <p><i>(Use lines a-c for single or multiple grant reporting)</i></p>			
<p>Federal Cash (To report multiple grants, also use FFR attachment):</p>			
a. Cash Receipts			22,197,922.50
b. Cash Disbursements			22,197,922.50
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			22,212,610.00
e. Federal share of expenditures			22,197,922.50
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			22,197,922.50
h. Unobligated balance of Federal Funds (line d minus g)			14,687.50
Recipient Share:			
i. Total recipient share required			554,367.53
j. Recipient share of expenditures			1,481,967.52
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			158,368.36
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			158,368.36
o. Unexpended program income (line l minus line m or line n)			0.00

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
Final: 4/1/14 - 9/30/16 various rates		04/01/2014	09/30/2016	30,967,609.52	1,601,545.73	115,102.35
g. Totals:				30,967,609.52	1,601,545.73	115,102.35

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: First Name: Middle Name:

 Last Name: Suffix:

 Title:

b. Signature of Authorized Certifying Official



c. Telephone (Area code, number and extension)

d. Email Address

e. Date Report Submitted

14. Agency use only:

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Alabama
Performance and Evaluation Report
For Grant Year 2015
As of 06/16/2023
Grant Number B15DC010001

Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$21,529,262.00
2)	Program Income	
3)	Program income received in IDIS	\$89,000.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$89,000.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$21,618,262.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$21,649,262.00
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$21,649,262.00
12)	Set aside for State Administration	\$639,576.93
13)	Adjustment to compute total set aside for State Administration	\$42,367.80
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$681,944.73
15)	Set aside for Technical Assistance	\$61,657.27
16)	Adjustment to compute total set aside for Technical Assistance	\$2,275.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$63,932.27
18)	State funds set aside for State Administration match	\$615,643.69

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Alabama
 Performance and Evaluation Report
 For Grant Year 2015
 As of 06/16/2023
 Grant Number B15DC010001

19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$89,000.00
22)	Total redistributed (sum of lines 20 and 21)	\$89,000.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$89,000.00
27)	Adjustment to compute total retained	-\$89,000.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$666,944.73
30)	Adjustment to amount drawn for State Administration	\$15,000.00
31)	Total drawn for State Administration	\$681,944.73
32)	Drawn for Technical Assistance	\$71,432.27
33)	Adjustment to amount drawn for Technical Assistance	-\$7,500.00
34)	Total drawn for Technical Assistance	\$63,932.27
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$20,986,085.00
39)	Adjustment to amount drawn for all other activities	-\$113,700.00
40)	Total drawn for all other activities	\$20,872,385.00

U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
State of Alabama
Performance and Evaluation Report
For Grant Year 2015
As of 06/16/2023
Grant Number B15DC010001

DATE: 06-16-23
TIME: 9:51
PAGE: 3

D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$21,529,262.00
46)	Program Income Received (line 5)	\$89,000.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$21,618,262.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,412,562.73
51)	Adjustment to compute total disbursed for P/A	\$12,500.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,425,062.73
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$21,529,262.00
55)	Program Income Received (line 5)	\$89,000.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$21,618,262.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	11.22%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,377,919.93
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$21,529,262.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	11.05%

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Alabama
 Performance and Evaluation Report
 For Grant Year 2015
 As of 06/16/2023
 Grant Number B15DC010001

Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2015 – 2017

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2015	2016	2017	Total
65) Benefit LMI persons and households (1)		18,575,766.21	18,505,277.87	18,443,090.79	55,524,134.87
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		18,575,766.21	18,505,277.87	18,443,090.79	55,524,134.87
69) Prevent/Eliminate Slum/Blight		589,700.79	504,102.37	259,388.98	1,353,192.14
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		589,700.79	504,102.37	259,388.98	1,353,192.14
72) Meet Urgent Community Development Needs		75,000.00	626,280.00	0.00	701,280.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		75,000.00	626,280.00	0.00	701,280.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		19,240,467.00	19,635,660.24	18,702,479.77	57,578,607.01
77) Low and moderate income benefit (line 68 / line 76)		0.97	0.94	0.99	0.96
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		666,944.73	658,836.33	612,876.23	1,938,657.29
80) Technical Assistance		71,432.27	75,657.67	184.77	147,274.71
81) Local Administration		1,745,618.00	1,566,538.30	1,536,856.28	4,849,012.58
82) Section 108 repayments		0.00	0.00	0.00	0.00

2015 PR28 CDBG State PER Report in PY2022

FINAL

Attachment PER Adjustments Explanations

- B10.** Program Income drawn by activities: \$74,000.00; contracts obligated but not entered in IDIS: \$0.00; PY2015 state admin funded w/2014 funds to offset 2015 PI funded to PY2014 activities (FIFO to Grant-based conversion) \$15,000.00; contracts obligated with Program Income: (\$89,000.00) Adjusted total = (\$0.00)
- B13.** Funding adjustment to State Administration reported on PR28: \$ 42,367.80
- B16.** Funding adjustment to Technical Assistance reported on PR28: \$ 2,275.00
- B18.** State funds for Administration Match: \$615,643.69
- B21.** State Program Income redistributed: \$89,000.00
- B27.** No Program Income retained at UGLG: (\$89,000.00)
- C30.** Adjusting Entry by reports: Report error on PR28: \$15,000.00
- C33.** Adjusting Entry by reports: Report error on PR28: (\$7,500.00)
- C39.** Adjusting Entry by reports: Report error on PR28: (\$113,700.00)
- E51.** Adjusting Entry by reports: Report error on PR28: \$12,500.00

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/14/23 IDIS: 21,618,262.00
2. CCS 3/31/23 ADECA: 21,618,262.00
3. DIFF: 0.00

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of ALABAMA
 Grant Financial Summary
 As of 03/30/2023

Grant Number B15DC010001

A. Sources of State CDBG Funds

1) State Allocation	\$21,529,262.00
2) Grant funds returned to line of credit	
3) Grant funds returned to local account	

B. State CDBG Resources by Use

4) Obligated to recipients	\$21,649,262.00
5) Set aside for State Administration	\$634,801.93
6) Set aside for Technical Assistance	\$53,932.27

C. Expenditures of State CDBG Resources

7) Drawn for State Administration	\$634,801.93
8) Drawn for Technical Assistance	\$53,932.27
9) Drawn for Section 108 Repayments	
10) Drawn for all other activities	\$20,960,527.80
11) <i>Disbursed for Coronavirus-related Activities</i>	
12) <i>Disbursed for Activities Not related to Coronavirus</i>	\$21,649,262.00

D. Compliance with Public Service (PS) Cap

13) Disbursed in IDIS for ALL PS		
14) <i>Disbursed for Coronavirus-related Public Services</i>		
15) <i>Disbursed for Public Services Not related to Coronavirus</i>		
16) Percent of funds disbursed to date for PS (line 13 / line 1)		0.00%
17) <i>Percent Disbursed for Coronavirus-related Public Services (line 14 / line 1)</i>		0.00%
18) <i>Percent Disbursed for Public Services Not related to Coronavirus (line 15 / line 1)</i>		0.00%

E. Compliance with Planning and Administration (P/A) Cap

19) Disbursed in IDIS for P/A from Grant	\$2,377,919.93
20) Percent of funds disbursed to date for P/A (line 19 / line 1)	11.05%

F. Overall Low and Moderate Income Benefit

21) Drawn to Benefit LMI persons and households (minus noncountable amounts, line 22)	\$18,570,766.21
22) Noncountable amounts drawn: Non-LMI Portion of LMH activities	
23) Drawn to Prevent/Eliminate Slum/Blight	\$589,700.79
24) Drawn to Address Urgent Needs	\$56,942.80
25) Total disbursements subject to overall LMI benefit (sum of lines 21, 22, 23 and 24)	\$19,217,409.80
26) Percent Low and moderate income benefit, to date (line 21 / line 25)	96.64%



U.S. Department of Housing and Urban Development
Community Planning and Development

Attachment: A

**State CDBG Program Grant Closeout
Certification**

Grantee Name: State of Alabama

State CDBG Program	
(Insert) Grant Year	2015
Grant Number	B-15-DC-01-0001
Grant Amount	\$21,529,262
Cumulative Grant Funds Disbursed	\$21,529,262
Balance of Grant Funds Remaining to be Recaptured	\$0

The Grantee hereby certifies that: (1) the grant as described in the approved application has been performed in accordance with the terms and conditions of the executed Grant Agreement and that there are no known outstanding programmatic or financial issues; and (2) all data provided above fairly reflect costs and sources of funds of the CDBG grant and are taken from HUD-approved reports and other project-related documents.


Grantee Authorized Representative's Signature

CPD Division Director Signature

Kenneth W. Boswell
Typed Name of Signatory

Typed Name of Signatory

ADECA Director

Title

Title 6/15/23
Date

Date

The above signature by HUD signifies approval of grant closeout.

Note: Any false statements knowingly or deliberately made are subject to civil or criminal penalties under Section 1001 of Title 18 of the U.S. Code.



Attachment: C

State CDBG Closeout Checklist

Submission of this form will expedite the grant closeout process

Grantee Name State of Alabama	Grant Number B-15-DC-01-0001
Official Contact Name Kenneth W. Boswell	DUNS Number 062620604
Email Address See Below	Fax Number 334-353-3527
Telephone Number 334-242-5591	Website kenneth.boswell@adeca.alabama.gov

1. Criteria for Closeout

1. Regarding the grant to be closed out:

- (i) The State disbursed all funds to Units of General Local Governments (UGLGs) as described in the Method of Distribution
Yes No if no, explain:
- (ii) All grant funds were expended
Yes No if no, explain:
- (iii) The State closed out all grants to UGLGs
Yes No if no, explain:

Explanation box

2. Pursuant to statutory requirements of the Housing and Community Development Act of 1974 (as amended) (42 USC 5301 et seq.), the State

- (i) Carried out all of its certifications
Yes No if no, explain:
- (ii) Ensured that all CDBG-funded activities were eligible and met a national objective
Yes No if no, explain:
- (iii) Monitored UGLGs to determine whether applicable performance criteria were met
Yes No if no, explain:

Explanation box



U.S. Department of Housing and Urban Development
Community Planning and Development

3. As part of the financial review, the state confirmed that:
- (i) 15 percent public services cap was not exceeded
Yes No if no, explain:
 - (ii) 20 percent planning and administration cap was not exceeded
Yes No if no, explain:
Administrative costs and technical assistance did not exceed the 3 percent combined threshold for CDBG funds received after January 23, 2004 (or regulatory amounts for grants received before January 23, 2004)
Yes No if no, explain:
The state met the required match for administration
Yes No if no, explain:
 - (v) Is there any program income on hand?
Yes No if yes, explain:

Explanation box

4. With regard to audits and monitoring of the State CDBG grant
- (i) Any outstanding Audit findings
Yes No if yes, explain:
 - (ii) All grant expenditures were covered by an audit
Yes No if no, expenditures after the last audit must be addressed in closeout agreement with HUD
 - (iii) Any outstanding monitoring findings
Yes No if yes, explain:

Explanation box

Grantee

By: *Kenneth D. Besant*
Authorized Representative's Signature
Date: 6/15/23

Federal Financial Report

OMB Number: 4040-0014
Expiration Date: 01/31/2019

(Follow form instructions)

1. Federal Agency and Organizational Element to Which Report is Submitted DEPT. OF HOUSING AND URBAN DEVELOPMENT BIRMINGHAM FIELD OFFICE		2. Federal Grant or Other Identifying Number Assigned by Federal Agency (To report multiple grants, use FFR Attachment) B-15-DC-01-0001	
3. Recipient Organization (Name and complete address including Zip code) Recipient Organization Name: ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS			
Street1:	401 ADAMS AVE, P O BOX 5690		
Street2:			
City:	MONTGOMERY	County:	MONTGOMERY
State:	AL: Alabama	Province:	
Country:	USA: UNITED STATES	ZIP / Postal Code:	36103-5690
4a. DUNS Number	4b. EIN	5. Recipient Account Number or Identifying Number (To report multiple grants, use FFR Attachment)	
062620604	536000619	15 CDBG GRANT	
6. Report Type	7. Basis of Accounting	8. Project/Grant Period	9. Reporting Period End Date
<input type="checkbox"/> Quarterly <input type="checkbox"/> Semi-Annual <input type="checkbox"/> Annual <input checked="" type="checkbox"/> Final	<input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual	From: 04/01/2015 To: 03/31/2023	03/31/2023
10. Transactions			
<i>(Use lines a-c for single or multiple grant reporting)</i>			
Federal Cash (To report multiple grants, also use FFR attachment):			
a. Cash Receipts			21,529,262.00
b. Cash Disbursements			21,529,262.00
c. Cash on Hand (line a minus b)			0.00
<i>(Use lines d-o for single grant reporting)</i>			
Federal Expenditures and Unobligated Balance:			
d. Total Federal funds authorized			21,529,262.00
e. Federal share of expenditures			21,529,262.00
f. Federal share of unliquidated obligations			0.00
g. Total Federal share (sum of lines e and f)			21,529,262.00
h. Unobligated balance of Federal Funds (line d minus g)			0.00
Recipient Share:			
i. Total recipient share required			581,944.73
j. Recipient share of expenditures			615,643.69
k. Remaining recipient share to be provided (line i minus j)			0.00
Program Income:			
l. Total Federal program income earned			89,000.00
m. Program Income expended in accordance with the deduction alternative			0.00
n. Program Income expended in accordance with the addition alternative			89,000.00
o. Unexpended program income (line l minus line m or line n)			0.00

11. Indirect Expense

a. Type	b. Rate	c. Period From	Period To	d. Base	e. Amount Charged	f. Federal Share
Final	5.82	10/01/2015	09/30/2016	8,929,019.07	519,668.91	269,668.91
Final	5.84	10/01/2016	09/30/2017	4,269,795.72	249,356.07	149,356.07
g. Totals:				13,198,814.79	769,024.98	419,024.98

12. Remarks: Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation:

Add Attachment Delete Attachment View Attachment

13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).

a. Name and Title of Authorized Certifying Official

Prefix: Mr. First Name: KENNETH Middle Name: W
 Last Name: BOSWELL Suffix:
 Title: DIRECTOR

b. Signature of Authorized Certifying Official

Kenneth W Boswell

c. Telephone (Area code, number and extension)

334-242-5090

d. Email Address

Kenneth.Boswell@adeca.alabama.gov

e. Date Report Submitted

6/15/2023

14. Agency use only:

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 State of Alabama
 Performance and Evaluation Report
 For Grant Year 2016
 As of 06/16/2023
 Grant Number B16DC010001

Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$21,904,212.00
2)	Program Income	
3)	Program income receipted in IDIS	\$165,600.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$165,600.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$22,069,812.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$21,904,212.00
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$21,904,212.00
12)	Set aside for State Administration	\$583,786.25
13)	Adjustment to compute total set aside for State Administration	\$97,682.08
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$681,468.33
15)	Set aside for Technical Assistance	\$74,407.67
16)	Adjustment to compute total set aside for Technical Assistance	\$1,250.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$75,657.67
18)	State funds set aside for State Administration match	\$1,162,017.29

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$165,600.00
22)	Total redistributed (sum of lines 20 and 21)	\$165,600.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$165,600.00
27)	Adjustment to compute total retained	-\$165,600.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$658,836.33
30)	Adjustment to amount drawn for State Administration	\$27,600.00
31)	Total drawn for State Administration	\$686,436.33
32)	Drawn for Technical Assistance	\$75,657.67
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$75,657.67
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$21,202,198.54
39)	Adjustment to amount drawn for all other activities	-\$13,800.00
40)	Total drawn for all other activities	\$21,188,398.54

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$21,904,212.00
46)	Program Income Received (line 5)	\$165,600.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$22,069,812.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,225,374.63
51)	Adjustment to compute total disbursed for P/A	\$27,600.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,252,974.63
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$21,904,212.00
55)	Program Income Received (line 5)	\$165,600.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$22,069,812.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.21%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,105,524.75
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$21,904,212.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	9.61%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2016 – 2018

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2016	2017	2018	Total
65) Benefit LMI persons and households (1)		18,505,277.87	18,443,090.79	20,080,768.71	57,029,137.37
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		18,505,277.87	18,443,090.79	20,080,768.71	57,029,137.37
69) Prevent/Eliminate Slum/Blight		504,102.37	259,388.98	339,977.96	1,103,469.31
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		504,102.37	259,388.98	339,977.96	1,103,469.31
72) Meet Urgent Community Development Needs		626,280.00	0.00	0.00	626,280.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		626,280.00	0.00	0.00	626,280.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		19,635,660.24	18,702,479.77	20,420,746.67	58,758,886.68
77) Low and moderate income benefit (line 68 / line 76)		0.94	0.99	0.98	0.97
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		658,836.33	612,876.23	961,155.25	2,232,867.81
80) Technical Assistance		75,657.67	184.77	27.75	75,870.19
81) Local Administration		1,566,538.30	1,536,856.28	1,620,824.40	4,724,218.98
82) Section 108 repayments		0.00	0.00	0.00	0.00

2016 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

- B10.** Program Income funded to activities: \$165,600.00; contracts obligated but not entered in IDIS: \$0.00; Program Income obligated to activities: (\$ 165,600.00) Adjusted total = \$0.00
- B13.** Program Income obligated to state admin (\$ 4,968.00); Funding adjustment to state admin reported on PR28 \$102,650.08: Adjusted total = \$97,682.08
- B16.** Funding adjustment to technical assistance reported on PR28: Adjusted total = \$ 1,250.00
- B18.** State funds for Administration Match: \$1,162,017.29
- B21.** State Program Income redistributed: \$165,600.00
- B27.** No Program Income retained at UGLG: (\$165,600.00)
- C30.** Adjusting entry by report: Report error on PR28: \$27,600.00
- C33.** Adjusting entry by report: Report error on PR28: \$0.00
- C39.** Adjusting entry by report: Report error on PR28: (\$13,800.00)
- E51.** Adjusting entry by report: Report error on PR28: \$27,600.00

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/16/23 IDIS: 22,069,812.00
2. CCS 3/31/23 ADECA: 22,069,812.00
3. DIFF: 0.00

Not in IDIS:

Recaptured: 0.00

Contracts: 0.00

Total: 0.00

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$21,398,440.00
2)	Program Income	
3)	Program income received in IDIS	\$165,600.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$165,600.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$21,564,040.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$21,398,440.00
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$21,398,440.00
12)	Set aside for State Administration	\$715,929.11
13)	Adjustment to compute total set aside for State Administration	\$25,839.12
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$741,768.23
15)	Set aside for Technical Assistance	\$11,434.77
16)	Adjustment to compute total set aside for Technical Assistance	-\$11,250.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$184.77
18)	State funds set aside for State Administration match	\$1,209,142.26

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$165,600.00
22)	Total redistributed (sum of lines 20 and 21)	\$165,600.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$165,600.00
27)	Adjustment to compute total retained	-\$165,600.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$612,876.23
30)	Adjustment to amount drawn for State Administration	\$133,860.00
31)	Total drawn for State Administration	\$746,736.23
32)	Drawn for Technical Assistance	\$184.77
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$184.77
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$20,239,336.05
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$20,239,336.05

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$21,398,440.00
46)	Program Income Received (line 5)	\$165,600.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$21,564,040.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,149,732.51
51)	Adjustment to compute total disbursed for P/A	\$133,860.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,283,592.51
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$21,398,440.00
55)	Program Income Received (line 5)	\$165,600.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$21,564,040.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.59%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,117,992.51
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$21,398,440.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	9.90%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2017 – 2019

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2017	2018	2019	Total
65) Benefit LMI persons and households (1)		18,443,090.79	20,080,768.71	17,760,942.75	56,284,802.25
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		18,443,090.79	20,080,768.71	17,760,942.75	56,284,802.25
69) Prevent/Eliminate Slum/Blight		259,388.98	339,977.96	904,261.30	1,503,628.24
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		259,388.98	339,977.96	904,261.30	1,503,628.24
72) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		18,702,479.77	20,420,746.67	18,665,204.05	57,788,430.49
77) Low and moderate income benefit (line 68 / line 76)		0.99	0.98	0.95	0.97
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		612,876.23	961,155.25	792,470.77	2,366,502.25
80) Technical Assistance		184.77	27.75	576.64	789.16
81) Local Administration		1,536,856.28	1,620,824.40	1,328,125.99	4,485,806.67
82) Section 108 repayments		0.00	0.00	0.00	0.00

2017 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

B10. Program Income drawn by activities (PR05): \$165,600.00; contracts obligated but not entered in IDIS: \$0.00; Program Income obligated to activities: contracts (\$160,632.00) and state admin (\$ 4,968.00) Adjusted total = \$0.00

B13. Funding adjustment to State Administration reported on PR28: \$30,807.12; Program Income obligated to state admin: (\$ 4,968.00): Adjusted total = \$25,839.12

B16. Funding adjustment to Technical Assistant reported on PR28: Adjusted total = (\$ 11,250.00)

B18. State funds for Administration Match: \$1,209,142.26

B21. State Program Income redistributed: \$165,600.00

B27. No Program Income retained at UGLG: (\$165,600.00)

C30. Adjusting entry by reports: Report error on PR28: \$133,860.00

E51. Adjusting entry by reports: Report error on PR28: \$133,860.00

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PRO2 report) to ADECA (CCS):

- 1. PR02 6/16/23 IDIS: 21,564,040.00
- 2. CCS 3/31/23 ADECA: 21,564,040.00
- 3. DIFF: 0.00

Not in IDIS:

Recaptured: 0.00

Contracts: 0.00

Total: 0.00

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Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$23,158,500.00
2)	Program Income	
3)	Program income received in IDIS	\$165,600.00
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$165,600.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$23,324,100.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$23,100,630.89
10)	Adjustment to compute total obligated to recipients	\$0.00
11)	Total obligated to recipients (sum of lines 9 and 10)	\$23,100,630.89
12)	Set aside for State Administration	\$639,063.25
13)	Adjustment to compute total set aside for State Administration	\$155,664.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$794,727.25
15)	Set aside for Technical Assistance	\$27.75
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$27.75
18)	State funds set aside for State Administration match	\$848,483.20

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$165,600.00
22)	Total redistributed (sum of lines 20 and 21)	\$165,600.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$165,600.00
27)	Adjustment to compute total retained	-\$165,600.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$961,155.25
30)	Adjustment to amount drawn for State Administration	-\$161,460.00
31)	Total drawn for State Administration	\$799,695.25
32)	Drawn for Technical Assistance	\$27.75
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$27.75
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$22,041,571.07
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$22,041,571.07

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$23,158,500.00
46)	Program Income Received (line 5)	\$165,600.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$23,324,100.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,581,979.65
51)	Adjustment to compute total disbursed for P/A	-\$161,460.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,420,519.65
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$23,158,500.00
55)	Program Income Received (line 5)	\$165,600.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$23,324,100.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	10.38%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$2,254,919.65
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$23,158,500.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	9.74%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2018 – 2020

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2018	2019	2020	Total
65) Benefit LMI persons and households (1)		20,080,768.71	17,760,942.75	14,164,671.83	52,006,383.29
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		20,080,768.71	17,760,942.75	14,164,671.83	52,006,383.29
69) Prevent/Eliminate Slum/Blight		339,977.96	904,261.30	739,251.47	1,983,490.73
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		339,977.96	904,261.30	739,251.47	1,983,490.73
72) Meet Urgent Community Development Needs		0.00	0.00	273,000.00	273,000.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	0.00	273,000.00	273,000.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		20,420,746.67	18,665,204.05	15,176,923.30	54,262,874.02
77) Low and moderate income benefit (line 68 / line 76)		0.98	0.95	0.93	0.96
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		961,155.25	792,470.77	780,526.53	2,534,152.55
80) Technical Assistance		27.75	576.64	544.60	1,148.99
81) Local Administration		1,620,824.40	1,328,125.99	1,133,126.18	4,082,076.57
82) Section 108 repayments		0.00	0.00	0.00	0.00

2018 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

- B10.** Program Income drawn by activities (PR05): \$165,600.00; contracts obligated but not entered in IDIS: \$0.00; Program Income obligated to activities: contracts (160,632.00) and state admin (\$ 4,968.00) Adjusted total = \$0.00
- B13.** Funding adjustment to state admin reported on PR28: \$160,632.00; Program Income obligated to state admin: (\$ 4,968.00): Adjusted total = \$155,664.00
- B16.** Funding adjustment to technical assistance reported on PR28: Adjusted total = \$ 0.00
- B18.** State funds for Administration Match: \$848,483.20
- B21.** State Program Income redistributed: \$165,600.00
- B27.** No Program Income retained at UGLG: (\$165,600.00)
- C30.** Adjusting entry by reports: Report error on PR28: (\$161,460.00)
- C33.** Adjusting entry by reports: Report error on PR28: \$0.00
- C39.** Adjusting entry by reports: Report error on PR28: \$0.00
- E51.** Adjusting entry by reports: Report error on PR28: (\$161,460.00)

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

- 1. PR02 6/16/23 IDIS: 23,266,230.89
- 2. CCS 3/31/23 ADECA: 23,324,100.00
- 3. DIFF: 57,869.11

Not in IDIS:

Contracts: 0.00

Recap: 57,869.11

Total: 57,869.11

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$22,938,818.00
2)	Program Income	
3)	Program income received in IDIS	\$162,780.42
3 a)	Program income received from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$162,780.42
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$23,101,598.42

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$22,508,354.81
10)	Adjustment to compute total obligated to recipients	\$199,949.74
11)	Total obligated to recipients (sum of lines 9 and 10)	\$22,708,304.55
12)	Set aside for State Administration	\$955,922.35
13)	Adjustment to compute total set aside for State Administration	-\$168,334.99
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$787,587.36
15)	Set aside for Technical Assistance	\$576.64
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$576.64
18)	State funds set aside for State Administration match	\$873,247.49

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$162,780.42
22)	Total redistributed (sum of lines 20 and 21)	\$162,780.42
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$162,780.42
27)	Adjustment to compute total retained	-\$162,780.42
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$792,470.77
30)	Adjustment to amount drawn for State Administration	\$0.00
31)	Total drawn for State Administration	\$792,470.77
32)	Drawn for Technical Assistance	\$576.64
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$576.64
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$19,993,330.04
39)	Adjustment to amount drawn for all other activities	\$0.00
40)	Total drawn for all other activities	\$19,993,330.04

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$22,938,818.00
46)	Program Income Received (line 5)	\$162,780.42
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$23,101,598.42
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$2,120,596.76
51)	Adjustment to compute total disbursed for P/A	\$0.00
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,120,596.76
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$22,938,818.00
55)	Program Income Received (line 5)	\$162,780.42
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$23,101,598.42
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	9.18%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,957,816.34
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$22,938,818.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	8.53%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2019 – 2021

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2019	2020	2021	Total
65) Benefit LMI persons and households (1)		17,760,942.75	14,265,201.58	4,359,440.88	36,385,585.21
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		17,760,942.75	14,265,201.58	4,359,440.88	36,385,585.21
69) Prevent/Eliminate Slum/Blight		904,261.30	739,251.47	139,430.00	1,782,942.77
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		904,261.30	739,251.47	139,430.00	1,782,942.77
72) Meet Urgent Community Development Needs		0.00	273,000.00	0.00	273,000.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	273,000.00	0.00	273,000.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		18,665,204.05	15,277,453.05	4,498,870.88	38,441,527.98
77) Low and moderate income benefit (line 68 / line 76)		0.95	0.93	0.97	0.95
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		792,470.77	780,526.53	85,518.62	1,658,515.92
80) Technical Assistance		576.64	544.60	8,219.92	9,341.16
81) Local Administration		1,328,125.99	1,133,126.18	418,329.81	2,879,581.98
82) Section 108 repayments		0.00	0.00	0.00	0.00

2019 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

- B10.** Program Income drawn by activities (PR05): \$162,780.42; contracts obligated but not entered in IDIS: \$199,949.74; Program Income obligated to activities: contracts (157,897.01) and state admin (\$ 4,883.41) Adjusted total = \$199,949.74
- B13.** Funding adjustment to state admin reported on PR28: (\$163,451.58); Program Income obligated to state admin: (\$ 4,883.41): Adjusted total = (\$168,334.99)
- B16.** Funding adjustment to technical assistance reported on PR28: Adjusted total = \$ 0.00
- B18.** State funds for Administration Match: \$873,247.49
- B21.** State Program Income redistributed: \$162,780.42
- B27.** No Program Income retained at UGLG: (\$162,780.42)
- C30.** Adjusting entry by reports (PR05; see note): \$0.00
- C33.** Adjusting entry by reports (PR05; see note): \$0.00
- C39.** Adjusting entry by reports (PR05; see note): \$0.00
- E51.** Adjusting entry by reports (PR05; see note): \$0.00
- NOTE:** Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (program Income) requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/20/23 IDIS: 22,671,135.23
2. CCS 3/31/23 ADECA: 23,101,598.42
3. DIFF: 430,463.19

Not in IDIS:

Contracts: 199,949.74

Recaptured: 230,513.45

Total: 430,463.19

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Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$23,862,879.00
2)	Program Income	
3)	Program income receipted in IDIS	\$61,419.58
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$61,419.58
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$23,924,298.58

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$20,230,542.21
10)	Adjustment to compute total obligated to recipients	\$1,742,430.68
11)	Total obligated to recipients (sum of lines 9 and 10)	\$21,972,972.89
12)	Set aside for State Administration	\$926,538.82
13)	Adjustment to compute total set aside for State Administration	-\$111,198.42
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$815,340.40
15)	Set aside for Technical Assistance	\$544.60
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$544.60
18)	State funds set aside for State Administration match	\$816,399.37

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$61,419.58
22)	Total redistributed (sum of lines 20 and 21)	\$61,419.58
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$61,419.58
27)	Adjustment to compute total retained	-\$61,419.58
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$780,526.53
30)	Adjustment to amount drawn for State Administration	\$24,974.03
31)	Total drawn for State Administration	\$805,500.56
32)	Drawn for Technical Assistance	\$544.60
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$544.60
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$16,410,579.23
39)	Adjustment to amount drawn for all other activities	\$1,741,775.41
40)	Total drawn for all other activities	\$18,152,354.64

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$23,862,879.00
46)	Program Income Received (line 5)	\$61,419.58
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$23,924,298.58
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$1,913,652.71
51)	Adjustment to compute total disbursed for P/A	\$265,767.52
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$2,179,420.23
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$23,862,879.00
55)	Program Income Received (line 5)	\$61,419.58
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$23,924,298.58
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	9.11%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$1,877,207.16
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$23,862,879.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	7.87%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2020 – 2022

64) Final PER for compliance with the overall benefit test: [**Yes**]

	Grant Year	2020	2021	2022	Total
65) Benefit LMI persons and households (1)		14,265,201.58	4,359,440.88	2,097,210.00	20,721,852.46
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		14,265,201.58	4,359,440.88	2,097,210.00	20,721,852.46
69) Prevent/Eliminate Slum/Blight		739,251.47	139,430.00	77,638.46	956,319.93
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		739,251.47	139,430.00	77,638.46	956,319.93
72) Meet Urgent Community Development Needs		273,000.00	0.00	0.00	273,000.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		273,000.00	0.00	0.00	273,000.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		15,277,453.05	4,498,870.88	2,174,848.46	21,951,172.39
77) Low and moderate income benefit (line 68 / line 76)		0.93	0.97	0.96	0.94
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		780,526.53	85,518.62	0.00	866,045.15
80) Technical Assistance		544.60	8,219.92	2,230.46	10,994.98
81) Local Administration		1,133,126.18	418,329.81	176,800.69	1,728,256.68
82) Section 108 repayments		0.00	0.00	0.00	0.00

2020 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

- B10.** PY 2020 Program Income drawn by activities (PR05): \$61,419.58; PY2022 Program Income drawn by activities but contract obligation not entered in IDIS: \$1,408,160.68; contracts obligated but not entered in IDIS: \$224,270.00; Program Income obligated to activities: contracts (59,577.00) and state admin (\$ 1,842.58) Adjusted total = \$1,742,430.68
- B13.** Funding adjustment to state admin reported on PR28: (\$109,355.84); Program Income obligated to state admin: (\$ 1,842.58): Adjusted total = (\$111,198.42)
- B18.** State funds for Administration Match: \$816,399.37
- B21.** State Program Income redistributed: \$61,419.58
- B27.** No Program Income retained at UGLG: (\$61,419.58)
- C30.** Adjusting entry by reports (PR05; see note): \$24,974.03
- C33.** Adjusting entry by reports (PR05; see note): \$0.00
- C39.** Adjusting entry by reports (PR05; see note): \$1,741,775.41
- E51.** Adjusting entry by reports (PR05; see note): \$265,767,.52

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (program Income) requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/20/23 IDIS: 22,033,737.20
2. CCS 3/31/23 ADECA: 24,257,913.31
3. DIFF: 2,224,176.11

Not in IDIS:

Recaptured:	1,889,906.11
Contracts:	334,270.00
Total:	<u>2,224,176.11</u>

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Part I: Financial Status**A. Sources of State CDBG Funds**

1)	State Allocation	\$24,256,102.00
2)	Program Income	
3)	Program income receipted in IDIS	\$0.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$0.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$24,256,102.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$19,688,624.18
10)	Adjustment to compute total obligated to recipients	\$2,199,176.19
11)	Total obligated to recipients (sum of lines 9 and 10)	\$21,887,800.37
12)	Set aside for State Administration	\$233,969.17
13)	Adjustment to compute total set aside for State Administration	\$571,152.83
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$805,122.00
15)	Set aside for Technical Assistance	\$22,561.00
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$22,561.00
18)	State funds set aside for State Administration match	\$483,190.97

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$0.00
22)	Total redistributed (sum of lines 20 and 21)	\$0.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$0.00
27)	Adjustment to compute total retained	\$0.00
28)	Total retained (sum of lines 26 and 27)	\$0.00
C.	Expenditures of State CDBG Resources	
29)	Drawn for State Administration	\$85,518.62
30)	Adjustment to amount drawn for State Administration	-\$5,454.22
31)	Total drawn for State Administration	\$80,064.40
32)	Drawn for Technical Assistance	\$8,219.92
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$8,219.92
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$5,235,200.69
39)	Adjustment to amount drawn for all other activities	\$2,028,190.05
40)	Total drawn for all other activities	\$7,263,390.74

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$24,256,102.00
46)	Program Income Received (line 5)	\$0.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$24,256,102.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$503,848.43
51)	Adjustment to compute total disbursed for P/A	\$141,233.70
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$645,082.13
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$24,256,102.00
55)	Program Income Received (line 5)	\$0.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$24,256,102.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	2.66%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$478,874.40
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$24,256,102.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	1.97%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2021 – 2023

64) Final PER for compliance with the overall benefit test: [**No**]

	Grant Year	2021	2022	2023	Total
65) Benefit LMI persons and households (1)		4,359,440.88	2,097,210.00	0.00	6,456,650.88
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		4,359,440.88	2,097,210.00	0.00	6,456,650.88
69) Prevent/Eliminate Slum/Blight		139,430.00	77,638.46	0.00	217,068.46
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		139,430.00	77,638.46	0.00	217,068.46
72) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		4,498,870.88	2,174,848.46	0.00	6,673,719.34
77) Low and moderate income benefit (line 68 / line 76)		0.97	0.96	0.00	0.97
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		85,518.62	0.00	0.00	85,518.62
80) Technical Assistance		8,219.92	2,230.46	0.00	10,450.38
81) Local Administration		418,329.81	176,800.69	0.00	595,130.50
82) Section 108 repayments		0.00	0.00	0.00	0.00

2021 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

- B10.** Contracts obligated but not entered in IDIS: \$450,000.00; PY2022 Program Income drawn by activities but contract obligation not entered in IDIS: \$ 1,749,176.19 Adjusted total = \$2,199,176.19
- B13.** Funding adjustment to state admin reported on PR28: (\$53,424.58); Correction to state admin entered in IDIS after 3/31/23 Activity #7283, not showing on PR28: \$ 624,577.41 Adjusted total = \$571,152.83
- B18.** State funds for Administration Match: \$483,190.97
- C30.** Adjusting entry by reports (PR05; see note): (\$5,454.22)
- C33.** Adjusting entry by reports (PR05; see note): \$0.00
- C39.** Adjusting entry by reports (PR05; see note): \$2,028,190.05
- E51.** Adjusting entry by reports (PR05; see note): 141,233.70

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (program Income) requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

1. PR02 6/20/23 IDIS: 21,736,334.04
2. CCS 3/31/23 ADECA: 24,554,635.67
3. DIFF: 2,818,301.63

Not in IDIS:

Recaptured:	2,368,301.63
Contracts:	450,000.00
Total:	<u>2,818,301.63</u>

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Part I: Financial Status

A. Sources of State CDBG Funds

1)	State Allocation	\$23,556,938.00
2)	Program Income	
3)	Program income receipted in IDIS	\$3,557,760.00
3 a)	Program income receipted from Section 108 Projects (for SI type)	\$0.00
4)	Adjustment to compute total program income	\$0.00
5)	Total program income (sum of lines 3 and 4)	\$3,557,760.00
6)	Section 108 Loan Funds	\$0.00
7)	Total State CDBG Resources (sum of lines 1,5 and 6)	\$27,114,698.00

B. State CDBG Resources by Use

8)	State Allocation	
9)	Obligated to recipients	\$23,542,970.87
10)	Adjustment to compute total obligated to recipients	\$13,967.13
11)	Total obligated to recipients (sum of lines 9 and 10)	\$23,556,938.00
12)	Set aside for State Administration	\$571,138.00
13)	Adjustment to compute total set aside for State Administration	\$0.00
14)	Total set aside for State Administration (sum of lines 12 and 13)	\$571,138.00
15)	Set aside for Technical Assistance	\$235,569.00
16)	Adjustment to compute total set aside for Technical Assistance	\$0.00
17)	Total set aside for Technical Assistance (sum of lines 15 and 16)	\$235,569.00
18)	State funds set aside for State Administration match	\$0.00

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19)	Program Income	
20)	Returned to the state and redistributed	
20 a)	Section 108 program income expended for the Section 108 repayment	
21)	Adjustment to compute total redistributed	\$3,557,760.00
22)	Total redistributed (sum of lines 20 and 21)	\$3,557,760.00
23)	Returned to the state and not yet redistributed	\$0.00
23 a)	Section 108 program income not yet disbursed	\$0.00
24)	Adjustment to compute total not yet redistributed	\$0.00
25)	Total not yet redistributed (sum of lines 23 and 24)	\$0.00
26)	Retained by recipients	\$3,557,760.00
27)	Adjustment to compute total retained	-\$3,557,760.00
28)	Total retained (sum of lines 26 and 27)	\$0.00

C. Expenditures of State CDBG Resources

29)	Drawn for State Administration	\$0.00
30)	Adjustment to amount drawn for State Administration	\$106,732.00
31)	Total drawn for State Administration	\$106,732.00
32)	Drawn for Technical Assistance	\$2,230.46
33)	Adjustment to amount drawn for Technical Assistance	\$0.00
34)	Total drawn for Technical Assistance	\$2,230.46
35)	Drawn for Section 108 Repayments	\$0.00
36)	Adjustment to amount drawn for Section 108 Repayments	\$0.00
37)	Total drawn for Section 108 Repayments	\$0.00
38)	Drawn for all other activities	\$2,351,649.15
39)	Adjustment to amount drawn for all other activities	-\$1,608,226.70
40)	Total drawn for all other activities	\$743,422.45

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D. Compliance with Public Service (PS) Cap

41)	Disbursed in IDIS for PS	\$0.00
42)	Adjustment to compute total disbursed for PS	\$0.00
43)	Total disbursed for PS (sum of lines 41 and 42)	\$0.00
44)	Amount subject to PS cap	
45)	State Allocation (line 1)	\$23,556,938.00
46)	Program Income Received (line 5)	\$3,557,760.00
47)	Adjustment to compute total subject to PS cap	\$0.00
48)	Total subject to PS cap (sum of lines 45-47)	\$27,114,698.00
49)	Percent of funds disbursed to date for PS (line 43 / line 48)	0.00%

E. Compliance with Planning and Administration (P/A) Cap

50)	Disbursed in IDIS for P/A from all fund types - Combined	\$176,800.69
51)	Adjustment to compute total disbursed for P/A	\$58,241.31
52)	Total disbursed for P/A (sum of lines 50 and 51)	\$235,042.00
53)	Amount subject to Combined Expenditure P/A cap	
54)	State Allocation (line 1)	\$23,556,938.00
55)	Program Income Received (line 5)	\$3,557,760.00
56)	Adjustment to compute total subject to P/A cap	\$0.00
57)	Total subject to P/A cap (sum of lines 54-56)	\$27,114,698.00
58)	Percent of funds disbursed to date for P/A (line 52 / line 57) Combined Cap	0.87%
59)	Disbursed in IDIS for P/A from Annual Grant Only	\$36,450.69
60)	Amount subject the Annual Grant P/A cap	
61)	State Allocation	\$23,556,938.00
62)	Percent of funds disbursed to date for P/A (line 59 / line 61) Annual Grant Cap	0.15%

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Part II: Compliance with Overall Low and Moderate Income Benefit

63) Period specified for benefit: grant years 2022 – 2024

64) Final PER for compliance with the overall benefit test: [**No**]

	Grant Year	2022	2023	2024	Total
65) Benefit LMI persons and households (1)		2,097,210.00	0.00	0.00	2,097,210.00
66) Benefit LMI, 108 activities		0.00	0.00	0.00	0.00
67) Benefit LMI, other adjustments		0.00	0.00	0.00	0.00
68) Total, Benefit LMI (sum of lines 65-67)		2,097,210.00	0.00	0.00	2,097,210.00
69) Prevent/Eliminate Slum/Blight		77,638.46	0.00	0.00	77,638.46
70) Prevent Slum/Blight, 108 activities		0.00	0.00	0.00	0.00
71) Total, Prevent Slum/Blight (sum of lines 69 and 70)		77,638.46	0.00	0.00	77,638.46
72) Meet Urgent Community Development Needs		0.00	0.00	0.00	0.00
73) Meet Urgent Needs, 108 activities		0.00	0.00	0.00	0.00
74) Total, Meet Urgent Needs (sum of lines 72 and 73)		0.00	0.00	0.00	0.00
75) Acquisition, New Construction, Rehab/Special Areas noncountable		0.00	0.00	0.00	0.00
76) Total disbursements subject to overall LMI benefit (sum of lines 68, 71, 74, and 75)		2,174,848.46	0.00	0.00	2,174,848.46
77) Low and moderate income benefit (line 68 / line 76)		0.96	0.00	0.00	0.96
78) Other Disbursements		1.00	1.00	1.00	3.00
79) State Administration		0.00	0.00	0.00	0.00
80) Technical Assistance		2,230.46	0.00	0.00	2,230.46
81) Local Administration		176,800.69	0.00	0.00	176,800.69
82) Section 108 repayments		0.00	0.00	0.00	0.00

2022 PR28 CDBG State PER Report in PY2022

Attachment PER Adjustments Explanations

B10. PY 2022 Program Income drawn by activities (PR05): \$400,423.13; contracts obligated but not entered in IDIS: \$1,575,292.00; PI contracts obligated but not entered in IDIS: \$1,596,012.00; Program Income obligated to activities: contracts (3,451,028.00) and state admin (\$ 106,732.00) Adjusted total = \$13,967.13

B18. State funds for Administration Match: \$0.00

B21. State Program Income redistributed: \$3,557,760.00

B27. No Program Income retained at UGLG: (\$3,557,760.00)

C30. Adjusting entry by reports (PR05; see note): \$106,732.00

C33. Adjusting entry by reports (PR05; see note): \$0.00

C39. Adjusting entry by reports (PR05; see note): (\$1,608,226.70)

E51. Adjusting entry by reports (PR05; see note): \$58,241.31

NOTE: Adjusting Entry by reports: Balanced ADECA CCS to IDIS PR02 & PR05 reports; PR28 not pulling correct totals from IDIS (program Income) requiring adjustment entries.

NOTES ON BALANCING IDIS AND ADECA FOR PR28 REPORT:

To balance IDIS (PR02 report) to ADECA (CCS):

- 1. PR02 6/22/23 IDIS: 23,943,394.00
- 2. CCS 3/31/23 ADECA: 27,114,698.00
- 3. DIFF: 3,171,304.00

Not in IDIS:

Recaptured: 0.00

Contracts: 3,171,304.00

Total: 3,171,304.00

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A. Sources of State CDBG Funds

1) State Allocation	\$40,459,786.00
2) Grant funds returned to line of credit	
3) Grant funds returned to local account	

B. State CDBG Resources by Use

4) Obligated to recipients	\$39,013,431.30
5) Set aside for State Administration	\$2,022,986.00
6) Set aside for Technical Assistance	

C. Expenditures of State CDBG Resources

7) Drawn for State Administration	\$561,861.08
8) Drawn for Technical Assistance	
9) Drawn for Section 108 Repayments	
10) Drawn for all other activities	\$19,389,914.69
11) <i>Disbursed for Coronavirus-related Activities</i>	\$19,951,775.77
12) <i>Disbursed for Activities Not related to Coronavirus</i>	

D. Compliance with Public Service (PS) Cap

13) Disbursed in IDIS for ALL PS	\$6,445,069.74
14) <i>Disbursed for Coronavirus-related Public Services</i>	\$6,445,069.74
15) <i>Disbursed for Public Services Not related to Coronavirus</i>	
16) Percent of funds disbursed to date for PS (line 13 / line 1)	15.93%
17) <i>Percent Disbursed for Coronavirus-related Public Services (line 14 / line 1)</i>	15.93%
18) <i>Percent Disbursed for Public Services Not related to Coronavirus (line 15 / line 1)</i>	0.00%

E. Compliance with Planning and Administration (P/A) Cap

19) Disbursed in IDIS for P/A from Grant	\$1,172,584.22
20) Percent of funds disbursed to date for P/A (line 19 / line 1)	2.90%

F. Overall Low and Moderate Income Benefit

21) Drawn to Benefit LMI persons and households (minus noncountable amounts, line 22)	\$15,827,550.15
22) Noncountable amounts drawn: Non-LMI Portion of LMH activities	
23) Drawn to Prevent/Eliminate Slum/Blight	
24) Drawn to Address Urgent Needs	\$2,951,641.40
25) Total disbursements subject to overall LMI benefit (sum of lines 21, 22, 23 and 24)	\$18,779,191.55
26) Percent Low and moderate income benefit, to date (line 21 / line 25)	84.28%