## **State of Alabama**

# Program Year 2019

# Consolidated Annual Performance

# Evaluation Report

# (CAPER)

**CDBG**

**HOME**

**ESG, HOPWA, and HTF**

**Programs**

Alabama Department of Economic and Community Affairs

## **State of Alabama**

# Program Year 2019

# Consolidated Annual Performance Evaluation Report

# (CAPER)

## **for**

## **CDBG, HOME, ESG, HOPWA, and HTF Programs**

ALABAMA DEPARTMENT OF ECONOMIC AND COMMUNITY AFFAIRS

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### APRIL 1, 2019 – March 31, 2020

### due June 30, 2020

**STATE OF ALABAMA**

**PY2019 (April 1, 2019-March 31, 2020)**

**Consolidated Annual Performance Evaluation Report (CAPER)**

**CDBG, HOME, ESG, HOPWA, and HTF Programs**

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**TABLE OF CONTENTS**

Page

Setup: 5

CR-00 Administration 5

Consolidated Annual Performance Evaluation Report: 6

CR-05 Goals and Outcomes 6  
 CR-10 Racial and Ethnic Composition of (person/households/families) assisted 66  
 CR-15 Resources and Investments 68  
 CR-20 Affordable Housing 80 CR-25 Homeless and Other Special Needs 91

CR-30 Public Housing 94

CR-35 Other Actions 108 CR-40 Monitoring 141

CR-45 CDBG 155

CR-50 HOME 157

CR-55 HOPWA 164

CR-56 HTF 167

ESG:

CR-60 ESG Subrecipient Information - ESG Recipients Only 167

CR-65 Persons Assisted - ESG Recipients Only 170

CR-70 Assistance Provided and Outcomes - ESG Recipients Only 172

CR-75 Expenditures - ESG Recipients Only 172

Charts:

Chart 1 - CDBG Open Grants from Program Year 2009 8

Chart 2 - CDBG Open Grants from Program Year 2010 9

Chart 3 - CDBG Open Grants from Program Year 2011 9

Chart 4 - CDBG Open Grants from Program Year 2012 10

Chart 5 - CDBG Open Grants from Program Year 2013 10

Chart 6 - CDBG Open Grants from Program Year 2014 11

Chart 7 - CDBG Open Grants from Program Year 2015 12

Chart 8 - CDBG Open Grants from Program Year 2016 13

Chart 9 - CDBG Open Grants from Program Year 2017 14

Chart 10 - CDBG Open Grants from Program Year 2018 16

Chart 11 - CDBG Open Grants from Program Year 2019 19

Chart 12 - Program Year 2009 through Program Year 2019 CDBG Grants 22

Closed During the Reporting Period of

April 1, 2019 through March 31, 2020

**TABLE OF CONTENTS**

**continued**

Page

Chart 13 - CDBG Disaster Grants in Program Year 2012 26

Chart 14 - CDBG Disaster Grants in Program Year 2013 27

Chart 15 - Number of Beneficiaries (Persons and Households) 52

Assisted in CDBG Closed Grants Open During

April 1, 2019-March 31, 2020 Reporting Period

Chart 16 - Racial Composition of Beneficiaries (Persons and Households) 55

Assisted in CDBG Closed Grants Open During

April 1, 2019-March 31, 2020 Reporting Period

Chart 17 - Number of Moderate-Income, Low-Income, and Very-Low Income 58 Beneficiaries (Persons and Households) Assisted in CDBG

Closed Grants Open During April 1, 2019-March 31, 2020

Reporting Period

Chart 18 - HOME Program Summary of Housing Accomplishments - 89

Number of Extremely Low-Income, Low-Income, and

Moderate-Income Persons Served

Chart 19 - HOME Program Summary of Housing Accomplishments - 90

Total Housing - Racial/Ethnic Composition

Chart 20 - HOME Program - Results of On-site Inspections of Affordable 157

Rental Housing Assisted Under the HOME Program to

Determine Compliance With Housing Codes and

Other Applicable Regulations

Tables:

Table 1 - Accomplishments - Program Year (April 1, 2019 through 32

March 31, 2020)

Table 2 - Accomplishments - Strategic Plan to Date (2015-2020) 37

Attachments in HUD IDIS online system:

Attachment 1: PY2019 (April 1, 2019-March 31, 2020) State of Alabama

Consolidated Annual Performance Evaluation Report (CAPER)

for CDBG, ESG, HOME, HOPWA, and HTF Programs –

June 2020 Submission (in Word format)

Attachment 2: PY2019 Alabama CAPER – ESG Program Sage Report

Attachment 3: HOME Partnerships Program’s PY2019 Performance Evaluation Report

from the Alabama Housing Finance Authority: 14 Attachments

Attachment 4: Housing Opportunities for Persons with AIDS (HOPWA) Program

*Consolidated Annual Performance and Evaluation Report (CAPER)*

*Measuring Performance Outcomes, Revised May 2020*

Attachment 5: Alabama PY2009 through PY2019 PR28 CDBG State PER Activity

Summary Report

**SETUP**

**CR-00 Administration**

Program Year: 2019

Title: State of Alabama 2019 Consolidated Performance Evaluation Report (due June 30, 2020)

Version: #1 - Original

Programs Included: 🗹 Community Development Block Grant Program (CDBG)

🗹 HOME Investment Partnerships Program (HOME)

🗹 Emergency Solutions Grants Program (ESG)

🗹 Housing Opportunities for Persons With AIDS Program (HOPWA)

🗹 Housing Trust Fund (HTF)

Attachments:

Attachment 1: PY2019 (April 1, 2019-March 31, 2020) State of Alabama

Consolidated Annual Performance Evaluation Report (CAPER)

for CDBG, ESG, HOME, HOPWA, and HTF Programs (in Word format)

Attachment 2: PY2019 Alabama CAPER – ESG Program Sage Report

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from the Alabama Housing Finance Authority: 14 Attachments

Attachment 4: Housing Opportunities for Persons with AIDS (HOPWA) Program

*Consolidated Annual Performance and Evaluation Report (CAPER)*

*Measuring Performance Outcomes, Revised May 2020*

Attachment 5: Alabama PY2009 through PY2019 PR28 CDBG State PER Activity

Summary Report

**CONSOLIDATED ANNUAL PERFORMANCE EVALUATION REPORT**

**CR-05 Goals and Outcomes [see 24 CFR 91.520(a)]**

**Progress the State has made in carrying out its strategic plan and its action plan [24 CFR 91.520(a)]:** [This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.]

Alabama's objectives are stated in the State's (1) original "2015-2019 Five-Year Consolidated Plan for the CDBG, HOME, ESG, and HOPWA Programs” that was submitted to HUD on April 6, 2015, in the State's (2) revised / amended "2015-2019 Five-Year Consolidated Plan for the CDBG, HOME, ESG, HOPWA, and HTF Programs” that was submitted to HUD in September 2016, and also in the (3) "2019 One-Year Annual Action Plan for the CDBG, HOME, ESG, HOPWA, and HTF Programs” that was submitted to HUD on June 12, 2019. These objectives are designed to serve the housing and community development needs of Alabama’s residents through professional and efficient management of the HUD Programs' funds allocated via the individual CDBG, ESG, HOME, HOPWA, and HTF Programs' plans. Alabama’s mission (both annually and long-term) is to distribute the Programs' funds and utilize / expend additional resources (allocated personnel, staff work time, travel, equipment, office space, grant administration / record-keeping / technical assistance work efforts, etc.) in a manner that is compliant with the applicable federal and state laws, rules and regulations, and program guidelines, so that these HUD programs are implemented within Alabama in a legal, accurate, and timely manner. As is stated in the September 2016 revised / amended "2015-2019 Five-Year Consolidated Plan" and in the "PY2019 One-Year Annual Action Plan," Alabama's long-term and short term program objectives include:

Long-Term (2015-2019 Five-Year Consolidated Plan) Objectives:

1. To provide important community facilities that address all aspects of community development (CDBG);

2. To promote economic development that creates new jobs, retains existing employment, and expands the local tax base (CDBG);

3. To meet the affordable housing needs of low- and moderate-income Alabamians (HOME and CDBG);

4. To provide assistance to homeless persons and victims of domestic abuse (ESG);

5. To prevent homelessness (ESG);

6. To provide housing and supportive services for persons with HIV (HOPWA); and

7. To provide affordable housing options that will meet the needs of extremely low income populations, particularly veterans of the U. S. Armed Forces, so as to address their continual struggles with housing costs and risks of homelessness (HTF).

Short-Term (PY2019 One-Year Annual Action Plan) Objectives:

1. To allow communities to address the community development needs perceived to be the most important at the local level (CDBG);

2. To encourage communities to develop and implement infrastructure plans for the near-future (CDBG);

3. To assist communities in responding to economic and development needs in a timely manner primarily through infrastructure assistance (CDBG);

4. To provide a mechanism for managing health hazards and urgent needs so that communities can readily respond to crises (CDBG);

5. To provide a mechanism for addressing a wide variety of community development needs including housing rehabilitation (CDBG);

6. To utilize a combination of HOME funds, Low-Income Housing Tax Credits, and conventional lending sources (HOME);

7. To fund the greatest number of grant assistance requests while maximizing the number of affordable rental units which will be made available to Alabama citizens (HOME);

8. To upgrade existing homeless facilities and domestic abuse shelters (ESG);

9. To meet the operating costs of homeless facilities and domestic abuse shelters (ESG);

10. To provide essential services to homeless persons and victims of domestic abuse (ESG);

11. To support and expand a statewide rental assistance program through qualified AIDS Service agencies to prevent homelessness and increase housing stability through project and tenant-based rental assistance and Short Term Rent, Mortgage and Utility Assistance funds statewide (HOPWA);

12. To provide supportive services statewide to those living with HIV to prevent homelessness and increase housing stability (HOPWA);

13. To support existing HIV housing programs, continued operating costs, and continued supportive housing through existing programs in the state (HOPWA);

14. To support housing information and outreach to low-income persons living with HIV statewide (HOPWA);

15. To provide technical assistance and support master leasing services statewide for AIDS Service Organizations to meet local needs and increase local housing options (HOPWA); and

16. To provide possible acquisition and new construction support as part of collaborative partnerships statewide to expand HIV housing to meet increasing needs (HOPWA).

17. To implement the Housing Trust Fund (HTF) Program - wherein the 2016, 2017, 2018, and 2019 allocations were each $3 Million - by targeting Extremely Low Income (ELI) populations and by giving preference to veterans of the U. S. Armed Forces who are homeless or at-risk of becoming homeless, because 2013 data indicate that of the 414,963 veterans residing in Alabama, 29,047 (7%) had incomes at or below the ELI level, 12,490 (43%) continually struggled with housing costs and were at risk of becoming homeless, and approximately 373 veterans were documented as being homeless.

**CDBG**: The CDBG Program’s progress achieved in implementing the plan during the period of April 1, 2019 through March 31, 2020 includes the following:

The Alabama Department of Economic and Community Affairs (ADECA) is the state agency responsible for the expenditure of HUD's CDBG funds for Alabama's non-entitlement communities. During the period of April 1, 2019 through March 31, 2020, Alabama's non-entitlement communities were those cities and counties that did not include the cities of Anniston, Auburn, Bessemer, Birmingham, Decatur, Dothan, Fairhope, Florence, Gadsden, Huntsville, Mobile, Montgomery, Opelika, and Tuscaloosa, and Jefferson County and Mobile County. [NOTE: Effective as of PY2020, Fairhope was removed from Alabama’s list of entitlement communities and became a non-entitlement community for CDBG funds awarded in PY2020 and beyond]. ADECA administered the CDBG Program's unexpended funds allocated to Alabama in Program Years 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019, which are the program years that had open grants / unexpended funds during this reporting period and that remained open after March 31, 2020. As of March 31, 2020, these open CDBG grants to the identified communities are identified in *Chart 1* through *Chart 11* as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 1**  **CDBG Open Grants from Program Year 2009**  **State Grant Award Total Amount: $26,411,515.00** | | | | |
| **Number of Open Grants:**  **4** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Eva | $28,500 | CDBG | Drainage |
| 2 | Marion | $59,206.39 | CDBG | Roads / Drainage |
| 3 | White Hall | $400,000 | CDBG | Sewer |
| Total CDBG | | $487,706.39 | | |
| 4 | Jasper | $40,456.24 | CDBG - Economic Development | Sewer / Water  for  Yorozu Corporation |
| Total Economic Development | | $40,456.24 | | |
| Total CDBG + Economic Development | | $528,162.63  ($487,706.39 + $40,456.24 = $528,162.63) | | |

Thus, for these 4 open grants expending PY2009 funds identified hereinabove in *Chart 1*, the priority (primary) activities for the grants are as follows:

2 involved the sewer activity,

1 involved the water activity,

2 involved the drainage, and

1 involved the roads activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 2**  **CDBG Open Grants from Program Year 2010**  **State Grant Award Total Amount: $28,171,248.00** | | | | |
| **Number of Open Grants:**  **2** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Perry County | $103,849.60 | CDBG | Roads |
| Total CDBG | | $103,849.60 | | |
| 2 | Midway | $50,040 | CDBG - Economic Development | Sewer / Water  for  Dollar General Store |
| Total Economic Development | | $50,040 | | |
| Total CDBG + Economic Development | | $153,889.60  ($103,849.60 + $50,040 = $153,889.60) | | |

Thus, for these 2 open grants expending PY2010 funds identified hereinabove in *Chart 2*, the priority (primary) activities for the grants are as follows:

1 involved the sewer activity,

1 involved the water activity, and

1 involved the roads activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 3**  **CDBG Open Grants from Program Year 2011**  **State Grant Award Total Amount: $23,604,977.00** | | | | |
| **Number of Open Grants:**  **1** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Marion | $2,521 | CDBG | Roads / Drainage |
| Total CDBG | | $2,521 | | |
| Total Economic Development | | $0.00 | | |
| Total CDBG + Economic Development | | $2,521  ($2,521 + $0.00 = $2,521) | | |

Thus, for this 1 open grant expending PY2011 funds identified hereinabove in *Chart 3*, the priority (primary) activities for the grant is as follows:

1 involved the roads activity, and

1 involved the drainage activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 4**  **CDBG Open Grants from Program Year 2012**  **State Grant Award Total Amount: $20,780,346.00** | | | | |
| **Number of Open Grants:**  **3** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Perry County | $117,946.21 | CDBG | Roads |
| Total CDBG | | $117,946.21 | | |
| 2 | Bibb County | $250,000 | CDBG - Economic Development | Water for ThyssenKrupp Materials NA, Inc. |
| 3 | Greenville | $500,000 | CDBG - Economic Development | Roads  for  Wintzell’s Oyster House /  CP Homes /  BBS Properties / Bishop Properties / Shrinath Hotels |
| Total Economic Development | | $750,000 | | |
| Total CDBG + Economic Development | | $867,946.21  ($117,946.21 + $750,000 = $867,946.21) | | |

Thus, for these 3 open grants expending PY2012 funds identified hereinabove in *Chart 4*, the priority (primary) activities for the grants are as follows:

1 involved the water activity, and

2 involved the roads activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 5**  **CDBG Open Grants from Program Year 2013**  **State Grant Award Total Amount: $22,273,294.00** | | | | |
| **Number of Open Grants:**  **1** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| Total CDBG | | $0.00 | | |
| 1 | Moody | $200,000 | CDBG - Economic Development | Roads  for  Love's Travel Stop |
| Total Economic Development | | $200,000 | | |
| Total CDBG + Economic Development | | $200,000  ($0.00 + $200,000 = $200,000) | | |

Thus, for this 1 open grant expending PY2013 funds identified hereinabove in *Chart 5*, the priority (primary) activity for the grant is as follows:

1 involved the roads activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 6**  **CDBG Open Grants from Program Year 2014**  **State Grant Award Total Amount: $22,212,610.00** | | | | |
| **Number of Open Grants:**  **8** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Alexander City | $250,000 | CDBG | Demolition |
| 2 | Hobson City | $350,000 | CDBG | Residential Rehabilitation |
| 3 | Marion | $353,272.61 | CDBG | Roads / Drainage |
| 4 | Perry County | $78,204.19 | CDBG | Roads |
| Total CDBG | | $1,031,476.80 | | |
| 5 | Brewton | $409,856.22 | CDBG - Economic Development | Water  for  Provalus |
| 6 | Fort Deposit | $600,000 | CDBG – Economic Development | Building Purchase for  Chowel Weldparts, Inc. |
| 7 | Sulligent | $243,742.40 | CDBG – Economic Development | Water / Sewer / Roads  for  Max Home, LLC |
| 8 | West Point | $120,000 | CDBG – Economic Development | Roads  for  Dales Market, and Subway Restaurant |
| Total Economic Development | | $1,373,598.62 | | |
| Total CDBG + Economic Development | | $2,405,075.42  ($1,031,476.80 + $1,373,598.62 = $2,405,075.42) | | |

Thus, for these 8 open grants expending PY2014 funds identified hereinabove in *Chart 6*, the priority (primary) activities for the grants are as follows:

1 involved the sewer activity,

2 involved the water activity,

4 involved the roads activity,

1 involved the drainage activity,

1 involved the demolition activity,

1 involved residential rehabilitation, and

1 involved a building purchase.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 7**  **CDBG Open Grants from Program Year 2015**  **State Grant Award Total Amount: $21,529,262.00** | | | | |
| **Number of Open Grants:**  **8** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Fulton | $350,000 | CDBG | Roads |
| 2 | Goodwater | $250,000 | CDBG | Demolition |
| 3 | Selma | $352,456.25 | CDBG | Drainage |
| Total CDBG | | $952,456.25 | | |
| 4 | Atmore | $300,000 | CDBG - Economic Development | Water / Sewer  for  Brown Precision, Inc. |
| 5 | Bullock County | $200,000 | CDBG - Economic Development | Water  for  Health Sprouted Flower Company |
| 6 | Jasper | $359,543.76 | CDBG - Economic Development | Sewer / Water  for  Yorozu Corporation |
| 7 | Shorter | $400,000 | CDBG - Economic Development | Water / Sewer  for  LogisAll USA |
| 8 | Sulligent | $156,257.60 | CDBG – Economic Development | Water / Sewer / Roads  for  Max Home, LLC |
| Total Economic Development | | $1,415,801.36 | | |
| Total CDBG + Economic Development | | $2,368,257.61  ($952,456.25 + $1,415,801.36 = $2,368,257.61) | | |

Thus, for these 8 open grants expending PY2015 funds identified hereinabove in *Chart 7*, the priority (primary) activities for the grants are as follows:

4 involved the sewer activity,

5 involved the water activity,

2 involved the roads activity,

1 involved the drainage activity, and

1 involved the demolition activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 8**  **CDBG Open Grants from Program Year 2016**  **State Grant Award Total Amount: $21,904,212.00** | | | | |
| **Number of Open Grants: 10** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Brent | $450,000 | CDBG | Sewer |
| 2 | Cleburne County | $391,131 | CDBG | Water |
| 3 | Clio | $350,000 | CDBG | Sewer |
| 4 | Epes | $350,000 | CDBG | Residential Rehabilitation / Roads |
| 5 | Selma | $74,748.75 | CDBG | Drainage |
| Total CDBG | | $1,615,879.75 | | |
| 6 | Autaugaville | $166,500 | CDBG – Economic Development | Sewer  for  MRaine Industries |
| 7 | Bibb County | $546,000 | CDBG – Economic Development | Sewer  for  Moller Tech USA |
| 8 | Bibb County | $600,000 | CDBG – Economic Development | Water  for  Moller Tech USA |
| 9 | Rainsville | $80,000 | CDBG – Economic Development | Roads  for  Paragon Specialty Products |
| 10 | Tuskegee | $300,000 | CDBG – Economic Development | Sewer  for  S A & J Group /  Ark Tuskegee Hospitality |
| Total Economic Development | | $1,692,500 | | |
| Total CDBG + Economic Development | | $3,308,379.75  ($1,615,879.75 + $1,692,500 = $3,308,379.75) | | |

Thus, for these 10 open grants expending PY2016 funds identified hereinabove in *Chart 8*, the priority (primary) activities for the grants are as follows:

5 involved the sewer activity,

2 involved the water activity,

2 involved the roads activity,

1 involved the drainage activity, and

1 involved residential rehabilitation.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 9**  **CDBG Open Grants from Program Year 2017**  **State Grant Award Total Amount: $21,398,440.00** | | | | |
| **Number of Open**  **Grants: 35** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Arab | $450,000 | CDBG | Sewer |
| 2 | Brewton | $450,000 | CDBG | Sewer |
| 3 | Bridgeport | $346,001 | CDBG | Drainage |
| 4 | Brilliant | $350,000 | CDBG | Sewer |
| 5 | Clarke County | $350,000 | CDBG | Roads |
| 6 | Coosa County | $350,000 | CDBG | Roads |
| 7 | Covington County | $350,000 | CDBG | Water |
| 8 | Elmore | $350,000 | CDBG | Sewer |
| 9 | Evergreen | $250,000 | CDBG | Parks & Recreation |
| 10 | Geneva County | $350,000 | CDBG | Water |
| 11 | Hartselle | $450,000 | CDBG | Sewer / Water |
| 12 | McKenzie | $350,000 | CDBG | Water |
| 13 | Mentone | $335,322 | CDBG | Water |
| 14 | Mosses | $149,068 | CDBG | Parks & Recreation |
| 15 | Notasulga | $250,000 | CDBG | Sewer |
| 16 | Oakman | $350,000 | CDBG | Sewer |
| 17 | Reece City | $256,000 | CDBG | Roads / Drainage |
| 18 | Rockford | $349,911 | CDBG | Demolition & Clearance |
| 19 | St. Clair County | $350,000 | CDBG | Railroad Crossing |
| 20 | Triana | $238,690 | CDBG | Community Center |
| 21 | Wetumpka | $171,000 | CDBG | Demolition & Clearance |
| 22 | Yellow Bluff | $350,000 | CDBG | Roads |
| Total CDBG | | $7,195,992 | | |
| 23 | Andalusia | $175,000 | CDBG – Economic Development | Sewer  for  Westgate Plaza Shopping Center |
| 23 | Brantley | $250,000 | CDBG – Economic Development | Sewer / Water  for  Four Byrd’s Building |
| 25 | Brewton | $289,955.65 | CDBG – Economic Development | Water  for  Provalus |
| 26 | Carbon Hill | $120,000 | CDBG – Economic Development | Lighting  for  Rolling T Truck Stop |
| 27 | Eclectic | $200,000 | CDBG – Economic Development | Roads  for  Madix, Inc. |
| 28 | Eutaw | $400,000 | CDBG – Economic Development | Sewer  for  Love’s Travel Stop |
| 29 | Pike County | $500,000 | CDBG – Economic Development | Roads  for  Rex Lumber |
| 30 | Prattville | $750,000 | CDBG – Economic Development | Sewer  for  James Hardie Industries |
| 31 | Sulligent | $450,000 | CDBG – Economic Development | Building Rehabilitation  for  Hyster-Yale Group |
| 32 | Thomasville | $524,660 | CDBG – Economic Development | Sewer  for  Regional Medical Center |
| 33 | Valley | $31,580.35 | CDBG – Economic Development | Water / Sewer  for  John Soules Foods |
| 34 | Vernon | $100,000 | CDBG – Economic Development | Incubator Building Renovation  for  Town of Vernon |
| 35 | Winfield | $300,000 | CDBG – Economic Development | Sewer  for  Tiffin Motor Homes |
| Total Economic Development | | $4,091,196 | | |
| Total CDBG + Economic Development | | $11,287,188  ($7,195,992 + $4,091,196 = $11,287,188) | | |

Thus, for these 35 open grants expending PY2017 funds identified hereinabove in *Chart 9*, the priority (primary) activities for the grants are as follows:

14 involved the sewer activity,

8 involved the water activity,

2 involved the drainage activity,

6 involved the roads activity,

2 involved the demolition and clearance activity,

1 involved the construction of a railroad crossing activity,

2 involved the parks and recreation activity,

2 involved the building rehabilitation activity,

1 involved the construction of a community center activity, and

1 involved the construction of lighting for a truck stop activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 10**  **CDBG Open Grants from Program Year 2018**  **State Grant Award Total Amount: $23,158,500.00** | | | | |
| **Number of Open Grants: 67** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Akron | $250,000 | CDBG | Sewer |
| 2 | Autaugaville | $250,000 | CDBG | Senior Center |
| 3 | Baldwin County | $350,000 | CDBG | Water |
| 4 | Barbour County | $250,000 | CDBG | Fire Station |
| 5 | Beatrice | $350,000 | CDBG | Roads |
| 6 | Billingsley | $250,000 | CDBG | Parks & Recreation |
| 7 | Blountsville | $250,000 | CDBG | Sewer |
| 8 | Blue Springs | $185,000 | CDBG | Water |
| 9 | Butler County | $250,000 | CDBG | Removal of Architectural Barriers  (Courthouse Renovation for Disability Access) |
| 10 | Camden | $250,000 | CDBG | Water / Sewer |
| 11 | Carrollton | $350,000 | CDBG | Sewer |
| 12 | Cherokee | $314,000 | CDBG | Roads / Drainage |
| 13 | Cottonwood | $250,000 | CDBG | Roads |
| 14 | Demopolis | $450,000 | CDBG | Sewer |
| 15 | Dozier | $247,510 | CDBG | Demolition & Clearance |
| 16 | Elba | $450,000 | CDBG | Downtown Revitalization |
| 17 | Enterprise | $250,000 | CDBG | Demolition & Clearance |
| 18 | Eufaula | $450,000 | CDBG | Residential Rehabilitation |
| 19 | Falkville | $350,000 | CDBG | Sewer |
| 20 | Hale County | $350,000 | CDBG | Water |
| 21 | Haleyville | $450,000 | CDBG | Water / Sewer / Roads / Drainage |
| 22 | Hamilton | $450,000 | CDBG | Sewer / Water |
| 23 | Jacksonville | $429,713 | CDBG | Sewer |
| 24 | LaFayette | $450,000 | CDBG | Water |
| 25 | Lauderdale County | $202,569 | CDBG | Senior Center |
| 26 | Lisman | $182,771 | CDBG | Roads |
| 27 | Luverne | $350,000 | CDBG | Water / Roads |
| 28 | Madison County | $300,000 | CDBG | Water |
| 29 | Midway | $250,000 | CDBG | Community Center |
| 30 | Millry | $350,000 | CDBG | Water |
| 31 | Monroe County | $350,000 | CDBG | Water |
| 32 | Montgomery County | $350,000 | CDBG | Residential Rehabilitation |
| 33 | New Hope | $350,000 | CDBG | Sewer / Roads |
| 34 | Oak Grove | $200,000 | CDBG | Sewer |
| 35 | Parrish | $350,000 | CDBG | Roads / Drainage |
| 36 | Piedmont | $40,000 | CDBG | Planning |
| 37 | Pisgah | $314,900 | CDBG | Water |
| 38 | Roanoke | $359,487 | CDBG | Roads |
| 39 | Rutledge | $350,000 | CDBG | Roads |
| 40 | Samson | $350,000 | CDBG | Water / Sewer / Roads |
| 41 | Selma | $22,795 | CDBG | Drainage |
| 42 | Skyline | $35,000 | CDBG | Planning |
| 43 | Sumiton | $350,000 | CDBG | Sewer |
| 44 | Sumter County | $350,000 | CDBG | Water |
| 45 | Talladega | $450,000 | CDBG | Sewer |
| 46 | Toxey | $350,000 | CDBG | Roads |
| 47 | Tuskegee | $450,000 | CDBG | Water / Roads |
| 48 | Vredenburgh | $350,000 | CDBG | Roads |
| 49 | Washington County | $350,000 | CDBG | Water |
| 50 | Woodville | $350,000 | CDBG | Roads |
| Total CDBG | | $15,583,745 | | |
| 51 | Bibb County | $270,360 | CDBG – Economic Development | Water  for  Mercedes Benz in Scott G. Davis Industrial Park |
| 52 | Clarke County | $400,000 | CDBG – Economic Development | Water / Sewer  for  Westervelt |
| 53 | Cullman County | $200,000 | CDBG – Economic Development | Roads  for  AGCO-GSI |
| 54 | Elmore County | $150,000 | CDBG – Economic Development | Sewer / Water / Drainage  for  River Region Medical Center |
| 55 | Eufaula | $279,984 | CDBG – Economic Development | Roads  for  Elm Machine Co. and  Keystone Foods |
| 56 | Fayette | $500,000 | CDBG – Economic Development | Water / Sewer / Drainage  for  Showa Best Glove, Inc. |
| 57 | Hamilton | $150,000 | CDBG – Economic Development | Roads  for  Love’s Travel Stop |
| 58 | Henry County | $850,000 | CDBG – Economic Development | Rail Spurs  for  Abbeville Fiber, LLC |
| 59 | Lincoln | $300,000 | CDBG – Economic Development | Water / Sewer  for  Lohr North America |
| 60 | Midland City | $78,444 | CDBG – Economic Development | Sewer  for  Dixieland Dental |
| 61 | Monroeville | $100,000 | CDBG – Economic Development | Incubator Building Renovation  for  J & L Industrial Services, LLC |
| 62 | Red Bay | $250,000 | CDBG – Economic Development | Sewer / Drainage / Roads  for  Girard Systems, Inc. |
| 63 | South Vinemont | $60,000 | CDBG – Economic Development | Roads  for  Shaddix Company |
| 64 | Sylvania | $130,000 | CDBG – Economic Development | Water / Roads  for  Alabama Wholesale Socks |
| 65 | Valley | $468,419.65 | CDBG – Economic Development | Sewer / Water  for  John Soules Foods |
| 66 | Vernon | $75,000 | CDBG – Economic Development | Incubator Building Renovation  for  Vernon Incubator |
| 67 | West Blocton | $514,606 | CDBG – Economic Development | Sewer  for  Mercedes Benz in Scott G. Davis Industrial Park |
| Total Economic Development | | $4,776,813.65 | | |
| Total CDBG + Economic Development | | $20,360,558.65  ($15,583,745 + $4,776,813.65 = $20,360,558.65) | | |

Thus, for these 67 open grants expending PY2018 funds identified hereinabove in *Chart 10*, the priority (primary) activities for the grants are as follows:

22 involved the sewer activity,

23 involved the water activity,

7 involved the drainage activity,

21 involved the roads activity,

1 involved the downtown revitalization activity,

2 involved the demolition and clearance activity,

1 involved the construction of a railroad spur activity,

1 involved the construction of a fire station activity,

1 involved the parks and recreation activity,

2 involved the building rehabilitation for a business incubator activity,

2 involved the residential rehabilitation activity,

2 involved the construction of a senior center activity,

1 involved the construction of a community center activity,

1 involved the removal of architectural barriers activity, and

2 involved the planning activity.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 11**  **CDBG Open Grants from Program Year 2019**  **State Grant Award Total Amount: $22,938,818.00** | | | | |
| **Number of Open Grants: 58** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1 | Abbeville | $350,000 | CDBG | Residential Rehabilitation |
| 2 | Ashland | $350,000 | CDBG | Water / Sewer / Roads / Drainage |
| 3 | Blount County | $350,000 | CDBG | Roads |
| 4 | Brewton | $450,000 | CDBG | Sewer |
| 5 | Brundidge | $350,000 | CDBG | Water / Demolition & Clearance |
| 6 | Camp Hill | $350,000 | CDBG | Sewer |
| 7 | Castleberry | $350,000 | CDBG | Water / Roads |
| 8 | Chatom | $350,000 | CDBG | Sewer |
| 9 | Childersburg | $450,000 | CDBG | Sewer |
| 10 | Choctaw County | $350,000 | CDBG | Roads |
| 11 | Clanton | $239,400 | CDBG | Demolition & Clearance |
| 12 | Clayhatchee | $127,288 | CDBG | Roads |
| 13 | Cullman | $450,000 | CDBG | Water / Sewer / Roads / Drainage |
| 14 | Dale County | $308,700 | CDBG | Water |
| 15 | Dallas County | $350,000 | CDBG | Water Hookups |
| 16 | Fayette County | $250,000 | CDBG | Water / Roads |
| 17 | Flomaton | $201,115 | CDBG | Roads |
| 18 | Forkland | $350,000 | CDBG | Water / Roads |
| 19 | Geneva | $450,000 | CDBG | Drainage |
| 20 | Gordon | $349,787 | CDBG | Water / Sewer |
| 21 | Goshen | $325,000 | CDBG | Water |
| 22 | Greensboro | $350,000 | CDBG | Sewer |
| 23 | Guntersville | $450,000 | CDBG | Roads / Drainage |
| 24 | Hackleburg | $85,000 | CDBG | Roads |
| 25 | Hanceville | $250,000 | CDBG | Senior Center |
| 26 | Hayneville | $349,601 | CDBG | Sewer |
| 27 | Heflin | $40,000 | CDBG | Planning |
| 28 | Kinston | $350,000 | CDBG | Residential Rehabilitation |
| 29 | Lanett | $250,000 | CDBG | Downtown Revitalization |
| 30 | Leighton | $350,000 | CDBG | Water |
| 31 | Level Plains | $250,000 | CDBG | Roads / Drainage |
| 32 | Loxley | $350,000 | CDBG | Sewer |
| 33 | Marengo County | $350,000 | CDBG | Roads |
| 34 | Marion | $450,000 | CDBG | Sewer |
| 35 | Marion County | $350,000 | CDBG | Water |
| 36 | Millbrook | $250,000 | CDBG | Senior Center |
| 37 | Millport | $203,315 | CDBG | Water |
| 38 | Monroeville | $450,000 | CDBG | Sewer |
| 39 | New Site | $177,460 | CDBG | Senior Center |
| 40 | Oneonta | $450,000 | CDBG | Sewer |
| 41 | Opp | $450,000 | CDBG | Sewer |
| 42 | Pell City | $450,000 | CDBG | Sewer |
| 43 | Pennington | $350,000 | CDBG | Roads |
| 44 | Perry County | $350,000 | CDBG | Water |
| 45 | Randolph County | $350,000 | CDBG | Water |
| 46 | Reform | $350,000 | CDBG | Sewer |
| 47 | Repton | $350,000 | CDBG | Roads / Drainage |
| 48 | Robertsdale | $450,000 | CDBG | Sewer / Drainage |
| 49 | Scottsboro | $208,958 | CDBG | Demolition & Clearance |
| 50 | Silas | $350,000 | CDBG | Roads / Drainage |
| 51 | Steele | $189,977 | CDBG | Demolition & Clearance |
| 52 | Sylacauga | $250,000 | CDBG | Demolition & Clearance |
| 53 | Tallapoosa County | $350,000 | CDBG | Water |
| 54 | Tallassee | $250,000 | CDBG | Demolition & Clearance |
| 55 | Town Creek | $350,000 | CDBG | Sewer |
| 56 | Tuscaloosa County | $235,990 | CDBG | Water |
| 57 | Valley | $450,000 | CDBG | Sewer / Drainage |
| 58 | Weaver | $122,628 | CDBG | Demolition & Clearance |
| Total CDBG | | $18,714,219 | | |
| Total Economic Development | | $0.00 | | |
| Total CDBG + Economic Development | | $18,714,219 | | |

Thus, for these 58 open grants expending PY2019 funds identified hereinabove in *Chart 11*, the priority (primary) activities for the grants are as follows:

19 involved the sewer activity,

17 involved the water activity,

9 involved the drainage activity,

16 involved the roads activity,

1 involved the downtown revitalization activity,

7 involved the demolition and clearance activity,

2 involved the residential rehabilitation activity,

3 involved the construction of a senior center activity, and

1 involved the planning activity.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Thus, Alabama's use of its annual allocation of CDBG funds addresses the long-term and short-term objectives through the local communities' annual expenditure of the grant funds within the State's non-entitlement areas. For the Program Years encompassing 2009-2019, ADECA had 197 open grants that were open/active during the April 1, 2019-March 31, 2020 reporting period and that remained open as of March 31, 2020. For these 197 open grants, identified hereinabove in *Chart 1* through *Chart 11*, the priority activities for the grants are as follows:

68 involved the sewer activity,

60 involved the water activity,

24 involved the drainage activity,

56 involved the roads activity,

2 involved the downtown revitalization activity,

13 involved the demolition and clearance activity,

1 involved the construction of a railroad crossing activity,

1 involved the construction of a railroad spur activity,

1 involved the construction of a fire station activity,

3 involved the parks and recreation activity,

4 involved the building rehabilitation activity,

6 involved the residential rehabilitation activity,

5 involved the construction of a senior center activity,

2 involved the construction of a community center activity,

1 involved the purchase of a building,

1 involved the removal of architectural barriers activity,

1 involved the construction of lighting for a truck stop activity, and

3 involved the planning activity.

During the period of April 1, 2019 through March 31, 2020 (for Program Years 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019), there were 41 grants that were closed-out by ADECA as of March 31, 2020, and 4 additional grants were terminated. These grants were to the communities identified in *Chart 12* as follows:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Chart 12**  **Program Year 2009 through Program Year 2019 CDBG Grants**  **Closed During the Reporting Period of April 1, 2019 through March 31, 2020** | | | | | | | | | |
| **Number of Grants Closed: 41** | | **Subrecipient /**  **Local Community Name** | | **Grant Award Amount to Subrecipient /**  **Local Community** | | **Type of Grant** | | **Activity** | |
| 1 | | Section | | $246,500 | | CDBG | | Sewer Hookups | |
| 2 | | Union | | $350,000 | | CDBG | | Residential  Rehabilitation of  20 Homes  /  Roads | |
| 3 | | Addison | | $350,000 | | CDBG | | Water  (waterline extension over utility bridge) | |
| 4 | | Foley | | $450,000 | | CDBG | | Sewer | |
| 5 | | Jackson | | $450,000 | | CDBG | | Water / Sewer / Roads / Drainage | |
| 6 | | Napier Field | | $238,770  (Final expenditure: $220,596.93) | | CDBG | | Sewer | |
| 7 | | Susan Moore | | $250,000 | | CDBG | | Water | |
| 8 | | Ragland | | $28,000 | | CDBG | | Planning | |
| 9 | | Greene County | | $250,000 | | CDBG | | E-911 Center | |
| 10 | | Paint Rock | | $349,570.72  (Final expenditure: $341,645.12) | | CDBG | | Roads / Drainage | |
| 11 | | Taylor | | $205,604 | | CDBG | | Roads | |
| 12 | | Albertville | | $450,000 | | CDBG | | Sewer | |
| 13 | | Hartford | | $350,000 | | CDBG | | Sewer | |
| 14 | | Headland | | $100,000  (Final expenditure: $89,431.25) | | CDBG | | Sewer | |
| 15 | | Ashford | | $146,807  (Final expenditure: $129,933.04) | | CDBG | | Roads | |
| 16 | | Daleville | | $450,000  (Final expenditure: $425,865.10) | | CDBG | | Water / Roads | |
| 17 | | Franklin County | | $350,000 | | CDBG | | Roads / Drainage | |
| 18 | | Livingston | | $450,000 | | CDBG | | Sewer | |
| 19 | | Muscle Shoals | | $250,000 | | CDBG | | Parks & Recreation (disability-accessible baseball field) | |
| 20 | | River Falls | | $250,000  (Final expenditure: $243,108) | | CDBG | | Roads | |
| 21 | | Andalusia | | $280,000  (Final expenditure: $275,208.25) | | CDBG | | Roads | |
| 22 | | Detroit | | $350,000 | | CDBG | | Water | |
| 23 | | Piedmont | | $450,000  (Final expenditure: $444,496.06) | | CDBG | | Sewer | |
| 24 | | Marshall County | | $350,000 | | CDBG | | Roads | |
| 25 | | Vernon | | $350,000  (Final expenditure: $337,537.58) | | CDBG | | Sewer / Roads | |
| 26 | | Jasper | | $450,000 | | CDBG | | Sewer | |
| 27 | | Thorsby | | $250,000  (Final expenditure: $200,598.98) | | CDBG | | Demolition & Clearance of 1 structure | |
| 28 | | Athens | | $450,000 | | CDBG | | Drainage | |
| Total CDBG | | | | $8,665,251.72  (Final expenditure: $8,508,524.31) | | | | | |
| 29 | | Jackson County | | $209,443.51 ($149,500.47 +  $59,943.04 = $209,443.51) | | CDBG - Economic Development | | Water  for  Scottsboro  Aluminum,  BL Fabricators,  and  Telko Enterprise, Inc. | |
| 30 | | Fayette | | $300,000  (Final expenditure: $213,192.00) | | CDBG - Economic Development | | Sewer  for  Alabama Department of Transportation's West Alabama Office relocation | |
| 31 | | Lincoln | | $250,000 | | CDBG - Economic Development | | Water / Sewer  for  Speedway and Pilot Travel Plaza | |
| 32 | | Crossville | | $249,548  ($203,792 + $45,756 =  $249,548) | | CDBG - Economic Development | | Sewer  for  Koch Foods | |
| 33 | | Double Springs | | $400,000  (Final expenditure: $332,650.00) | | CDBG - Economic Development | | Building Rehabilitation  for  Winston Home Builders | |
| 34 | | Steele | | $118,000 | | CDBG - Economic Development | | Water  (water tank refurbishment)  for  Unipres, Inc. | |
| 35 | | Hayden | | $345,000 | | CDBG - Economic Development | | Roads  for  High Tide Oil Company | |
| 36 | | Bridgeport | | $190,422 | | CDBG - Economic Development | | Water  for  Mohawk Industries | |
| 37 | | Arab | | $150,000 | | CDBG - Economic Development | | Roads  for  Zaxby’s Restaurant | |
| 38 | | Good Hope | | $200,000 | | CDBG - Economic Development | | Sewer  for  AGCOR Steel, LLC | |
| 39 | | Rainsville | | $200,000 | | CDBG - Economic Development | | Sewer  for  RTI (and existing industry) | |
| 40 | | Fayette | | $250,000  (Final expenditure: $228,977) | | CDBG - Economic Development | | Building Incubator  for  Fayette Center of Manufacturing and Innovation | |
| 41 | | Jasper | | $200,000 | | CDBG - Economic Development | | Sewer  for  Mar-Jac Poultry | |
| Total Economic Development | | | | $2,882,413.51  (Final expenditure: $2,707,232.51) | | | | | |
| Total CDBG + Economic Development | | | | $11,547,665.23  ($8,665,251.72 + $2,882,413.51 = $11,547,665.23)  (Final expenditure: $11,215,756.82  ($8,508,524.31 + $2,707,232.51 = $11,215,756.82) | | | | | |
| 1 | Alexander City | | $300,000 | | CDBG | | Water / Sewer | | **Terminated on 4/24/2019** |
| 2 | Jemison | | $350,000 | | CDBG | | Sewer /  Sewer Hookups | | **Terminated on 6/24/2019** |
| 3 | Washington County | | $85,000 | | CDBG | | Water | | **Terminated on 9/18/2019** |
| 4 | Elmore County | | $1,700,000 | | CDBG - Economic Development | | Float Loan  To  River Region Medical Center | | **Terminated on 1/31/2020** |
| Total **Terminated** CDBG + Economic Development | | | $2,435,000 | | | | | | |

Thus, for these 41 now-closed CDBG grants, the priority (primary) activities for the grants are as follows:

17 involved the sewer activity,

9 involved the water activity,

13 involved the roads activity,

4 involved the drainage activity,

1 involved a local planning grant,

1 involved the residential rehabilitation activity (20 houses rehabbed),

2 involved a building renovation,

1 involved a construction of an E-911 Center,

1 involved parks and recreation,

1 involved demolition and clearance, and

a total of 14 businesses were assisted that created 861 jobs through grants for economic development, of which 680 jobs were made available to persons of low and moderate income.

Also during the period of April 1, 2019 through March 31, 2020, ADECA administered **2012 and 2013 CDBG Disaster Grant funds**, which were distributed to the following local communities destroyed/affected by the tornadoes that struck Alabama in April 2011, identified in *Chart 13* and *Chart 14* as follows:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Chart 13**  **CDBG Disaster Grants in Program Year 2012**  **State Disaster Grant Award Total Amount: $24,697,966.00** | | | | | |
| **Number of Open Grants: 5** | **Subrecipient /**  **Local Community Name** | | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1: | | Tuscaloosa: |  | CDBG-DR | 2 activities: |
| 1a | | Loan Pool | $500,000 | CDBG-DR | Loan Pool |
| 1b | | Multi-Family  Housing | $2,970,000 | CDBG-DR | Multi-Family  Housing |
| 2. | | Jefferson County | $461,355 | CDBG-DR | Storm Shelter |
| 3: | | State of Alabama: | $8,402,021  Admin: $1,234,898  Agencies: $7,167,123 | CDBG-DR | Disaster Program Administration / Implementation Services by  Local Contractor: |
| 3a | | Community  Action  Partnership of  North Alabama  (in Decatur, AL) | Funds are drawn  as needed | CDBG-DR | Disaster Program  Administration /  Implementation  Services by  Local Contractor |
| 3b | | Alabama Rural  Coalition for the  Homeless (in  Montgomery,  AL) | Funds are drawn  as needed | CDBG-DR | Disaster Program  Administration /  Implementation  Services by  Local Contractor |
| **TOTAL** | |  | **$12,333,376** |  |  |

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Chart 14**  **CDBG Disaster Grants in Program Year 2013**  **State Disaster Grant Award Total Amount: $49,157,000.00** | | | | |
| **Number of Open Grants: 4** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** |
| 1: | Tuscaloosa: |  | CDBG-DR | 3 activities: |
| 1a | "The Edge"  Business Incubator | $3,600,000 | CDBG-DR | Economic  Development  Business Incubator |
| 1b | Business Loan  Pool | $1,000,000 | CDBG-DR | Business Loan  Pool |
| 2 | State of Alabama: | $11,268,975  Admin: $2,457,850  Agencies: $8,811,125 | CDBG-DR | Disaster Program Administration / Implementation Services by  Local Contractor |
| 2a | Community  Action  Partnership of  North Alabama  (in Decatur, AL) | Funds are drawn  as needed | CDBG-DR | Disaster Program  Administration /  Implementation  Services by  Local Contractor |
| 2b | Habitat for Humanity  of Tuscaloosa, Inc.  (in Tuscaloosa, AL) | Funds are drawn  as needed | CDBG-DR | Disaster Program  Administration /  Implementation  Services by  Local Contractor |
| **TOTAL** |  | **$15,868,975** |  |  |

Thus, as exhibited in *Chart 1* through *Chart 14*, ADECA achieved CDBG Program progress via implementing the Program's plan through infrastructure grants, community enhancement grants, planning grants, and economic development grants of federal funds to localities designed to:

(i) improve their communities' and citizen beneficiaries' health and living conditions via increased access to clean water, sewer, drainage, sidewalks, and paved streets and roads;

(ii) increase their citizen beneficiaries’ quality of life and safety via development of downtown and community master plans, senior centers, parks and recreation areas, community centers, food banks, emergency 911 services, and increased fire protection;

(iii) improve their communities through the removal of slum and blight via demolition and clearance, residential rehabilitation for affordable housing; and newly-constructed multi-family housing following a disaster; and

(iv) improve their residents’ employment opportunities via economic development grants for land development, road construction, rail spur construction, gas line/utilities relocation, and business incubator development for new/start-up, expanding, and/or relocating businesses.

With specific regard to disaster recovery, ADECA achieved the CDBG Disaster Program’s progress via implementing the Disaster portion of the plan through grants of 2012 and 2013 Disaster Funds to Alabama's tornado-affected localities for purposes of:

(i) construction of new facilities to replace those that were destroyed (including multi-family housing); and

(ii) establishing a pool of loan funds through which business loans were made to businesses/business owners seeking to either return to the tornado-impacted area to restart a business, relocate or expand an existing business to the tornado-impacted area from another unaffected geographic area, or start-up an entirely new business by locating it in the tornado-impacted area.

**HOME**: The PY2019 HOME Action Plan indicates the following priorities for the use of HOME funds:

* Projects that add to the affordable housing stock;
* Projects, which, without HOME funds, would not likely set aside units for lower income tenants; inclusive of tenants with disabilities and/or those who are homeless;
* Projects which use additional assistance through federal, state, or local subsidies;
* Projects which promote healthy living and tenant quality of life by providing tenant services such as blood pressure screening, CPR and first aid training, promoting nutrition and healthy eating, budget counseling and various other quality of life services, and
* Balanced distribution of HOME funds throughout the state in terms of geographical regions, counties, and urban/rural areas.

In an attempt to address the priorities, set forth in the HOME Action Plan, AHFA has used each of the annual HOME allocations (PY1992-PY2019) for the production of multi-family rental housing for low-income households. All the selected projects have been new construction. Efforts have been made to limit the award of HOME funds to duplicate cities and/or counties in the same year in the attempt to spread HOME funds geographically throughout the state, however, due to the increasing number of previously HOME funded projects applying for rehabilitation awards, AHFA will award a new construction and a rehabilitation project within the same county providing the market supports the need for the addition of the new units.

AHFA utilizes a Point Ranking System when evaluating HOME applications. Preference points are given to projects as follows:

(1) The highest scoring project per county with ownership by an AHFA-approved CHDO will be funded until the regulatory 15% CHDO set-aside has been met.

(2) The highest scoring Housing Credit project and/or HOME project combined with Housing Credits will be allocated per county until all available 2019 Housing Credits and HOME Funds have been allocated, subject to the following exception. AHFA will allocate Housing Credits to 2 projects in the same county or city only if both projects score high enough to be funded, are otherwise eligible to be funded under this HOME Action Plan, and one of the projects being considered has all of the following attributes at the time of application: (i) has received a HOME Loan from AHFA, (ii) has at least 85% occupancy, and (iii) has either (a) repaid the HOME Loan in full, or (b) has a fully executed commitment with AHFA for a 15-year extension of the debt evidenced by the outstanding HOME loan.

(3) If all available 2019 Housing Credits have been allocated and there still remains available HOME Funds, the highest scoring HOME project combined with Housing Credits may be allocated per county, subject to a future-year Housing Credit allocation.

Beyond these preferences, the projects are awarded points toward ranking as follows:

(1) Project Characteristics:

* extra amenities
* use of energy efficient / conservation / healthy living materials / appliances
* use of low maintenance building materials
* rent affordability / rental and operating subsidies
* set-asides for elderly
* targeting low-income families (individuals with children)
* set-asides for tenants with disabilities or homeless
* targeting households on the public housing waiting list
* percentage of units designed and constructed to be readily accessible for tenants with mobility or sensory impairments.
* Preservation of multifamily housing
* project proximity to neighborhood services
* census tract location

(2) Applicant Characteristics:

* minorities or women with ownership in the project or applicant guarantees at least 10% of total building cost is awarded to minority- or women-owned businesses
* successful experience in the development of multifamily housing
* sound experience as managing agents of low-income multifamily housing

Points can also be lost due to:

(1) Negative Neighborhood Services

(2) Poor Accessibility from surrounding area

(3) Changes to AHFA-funded projects without AHFA consent

(4) Uncorrected negative findings from compliance monitoring

While these lists are not all-inclusive, they are generally representative of the process for scoring competitive applications.

All projects must comply with Alabama Housing Finance Authority’s Design Quality Standards and Construction Manual which is included in the 2019 HOME Action Plan as Addendum C and can be found at [www.ahfa.com](http://www.ahfa.com). All projects must be designed and constructed in accordance with the applicable requirements of the 2010 Americans with Disabilities Act Accessibility Guidelines, Section 504 of the Rehabilitation Act, Fair Housing Act, state and local disaster mitigation standards, 2009 or 2012 International Building Code-International Residential Code, 2009 International Energy Conservation Code for single-family homes, 2007 American Society of Heating, Refrigerating and Air-conditioning Engineers 90.1 for multifamily buildings and any more restrictive local building code requirements.

The anticipated usage of HOME funds by the Alabama Housing Finance Authority is as follows:

Loans: 75%

CHDO's: 15%

Administration: 10%

In 2019, eight (8) projects were awarded HOME funds (see table below). The projects awarded in 2019 will be monitored by AHFA during the construction process and HOME funds will be disbursed upon completion of construction.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **2019 HOME Awards** | | | | | |
| **Project Name** | **AHFA**  **Project #** | **Project County** | **Award Amount** | **Tenant Type** | **HOME Assisted Units** |
| Atmore Senior Village | 2019036 | Escambia | $1,504,940 | Elderly | 50 |
| Clement Park at Troy | 2019009 | Pike | $2,085,020 | Family | 56 |
| Landing at Eastleigh (The) | 2019039 | DeKalb | $1,199,070 | Elderly | 30 |
| Lantana Trace | 2019014 | Mobile | $2,016,970 | Elderly | 56 |
| Oakleigh Crossing | 2019046 | Tallapoosa | $2,085,020 | Family | 56 |
| Pines at Harwick (The) | 2019038 | Houston | $1,942,060 | Family | 56 |
| Providence Park | 2019017 | Lee | $1,342,970 | Elderly | 56 |
| Providence Place II | 2019012 | Tuscaloosa | $2,085,020 | Family | 56 |

**ESG**: For PY2019, Alabama received an allocation of $2,639,097 in ESG funds. The ESG Program’s goals are to assist homeless persons and victims of domestic violence, to upgrade facilities for the homeless, and to provide essential services to homeless persons. During April 1, 2019, through March 31, 2020, the ESG program assisted 9,632 homeless persons in the State of Alabama. Assistance was provided to 2,716 persons with a history of domestic violence.

**HOPWA**: For PY2019, Alabama received an allocation of $2,232,232 in HOPWA funds. During April 1, 2019, through March 31, 2020, a total of 304 qualified consumers living with HIV and 97 other household members received direct housing assistance through this funding. Over 43,139 legs of transportation were provided to more than 746 unduplicated households, which is more than the proposed goal of 20,000 legs of client transports. HOPWA funding provided supportive services for 7,904 unduplicated households throughout the State. Contracts with seven additional AIDS Service Organizations (ASOs) assisted AIDS Alabama in meeting this goal. Efforts to disseminate HIV-specific housing and resource information were successful during this program year; more than 5,926 unduplicated households were reached. HOPWA funds were used to supplement the operational cost of 74 units of housing statewide, as well as the operations of a community facility in Birmingham. Those units include 12 transitional housing beds and 78 permanent housing units scattered throughout the State of Alabama. This support also includes salaries for 1 FTE Housing Director to ensure that AIDS Alabama is providing safe, affordable, and decent housing.

AIDS Alabama is dedicated to a statewide system of responding to the needs of low-income, HIV-positive Alabamians. The AIDS Service Organization Network of Alabama (ASONA), a unique body comprised of leadership from each of the nine AIDS Service Organizations, allows for complete coverage of the entire state. As the lead agency for HIV-specific housing, AIDS Alabama coordinates a collaborative effort to provide housing assistance and supportive services to each of the 67 counties. Statewide funding is allocated through a competitive process between ASOs each grant period with the commitment to provide equitable resources to all eligible HIV-positive persons.

Waiting List: Through its network of partner organizations across the State, AIDS Alabama maintains a waiting list for each of its housing programs. Through a referral system, ASOs connect eligible clients with existing housing programs. If there are no current vacancies, the client is added to a waiting list until that unit, or a comparable unit, is available. AIDS Alabama also maintains a statewide waiting list for HOPWA Tenant-Based Rental Assistance. Historically there has been a freeze on adding additional clients to this program. This decision, resolved by ASONA, arose from the demand and the expense of the program, and the determination to maintain the assistance of those persons previously enrolled. However, during this grant cycle the decision was made to allow each project sponsor two additional TBRA voucher. Project Sponsors were also given the flexibility to reuse a voucher when a client moves into other subsidized or non-subsidized permanent housing or passes away. This decision did not, however, alleviate the waiting list. The TBRA waiting list, as well as all HOPWA funding, will continue to be monitored monthly.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, 2018 and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period (see Table below).

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **2019 HTF Awards** | | | | | |
| **Project Name** | **AHFA**  **Project #** | **Project County** | **Award Amount** | **Tenant Type** | **HTF Assisted Units** |
| Appaloosa Run |  | Covington | $408,332 | Multifamily | 3 |
| Cottages at Four Points |  | Montgomery | $2,500,000 | Multifamily | 16 |
| Cottages at Georgia Road |  | Jefferson | $933,328 | Multifamily | 8 |
| Trinity Lake |  | Lee | $449,160 | Multifamily | 3 |
| Village at Rock Springs |  | St. Clair | $513,330 | Elderly | 4 |
| Juanita Hill Place |  | Jefferson | $513,330 | Multifamily | 6 |
| **Total Awards** | | | **$5,317,480** | **Total Units** | **40** |

**Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives [24 CFR 91.520(g)]** Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

**Table 1 - Accomplishments - Program Year (April 1, 2019 through March 31, 2020)**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | | | |
| **Alabama's CDBG Program** | **Non-Housing Community Development** | **Source: CDBG**  **Amount: PY2019 = $22,938,818**  **(plus funds awarded from PY2009, PY2010,**  **PY2011,**  **PY2012, PY2013, PY2014, PY2015,**  **PY2016, PY2017,**  **and 2018)** | **Indicator** | | **Expected** | **Actual** | | **Unit of Measure** | **Percent complete** |
|  | | | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | 60,000 | | 27,331 | Persons Assisted | | 45.5% |
|  | | | Public Facility or Infrastructure Activities for Low/Moderate  Income Housing Benefit | 45 | | 20 | Households Assisted | | 44% |
|  | | | Homeowner Housing Rehabilitated | 20 | | 20 | Household Housing Unit | | 100% |
|  | | | Jobs created / retained | 1,000 | | 861 | Jobs | | 86.1% |
|  | | | Businesses  Assisted | 10 | | 15 | Businesses Assisted | | 150% |
|  | | | Buildings Demolished | 100 | | 1 | Buildings | | 1% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's Disaster / CDBG-DR Program** | **Other - Disaster Recovery** | **2012 = $24,697,966**  **2013 = $49,157,000** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent complete** |
| These figures are derived from the “urgent need” activities. | | | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | 15,000 | 0 | Persons Assisted | 0% |
| These figures are derived from the “Low- and Moderate Income (LMI)” activities. | | | Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit | 15,000 | 0 | Households Assisted | 0% |
|  | | | Rental units constructed | 150 | 228 | Household Housing Unit | 152% |
| These figures include replacement mobile homes. | | | Homeowner Housing Added | 10 | 41 | Household Housing Unit | 410% |
|  | | | Homeowner Housing Rehabilitated | 300 | 22 | Household Housing Unit | 7.33% |
|  | | | Direct Financial Assistance to Homebuyers | 10 | 12 | Households Assisted | 120% |
|  | | | Jobs created / retained | 200 | 100 | Jobs | 50% |
|  | | | Businesses Assisted | 25 | 35 | Businesses Assisted | 140% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's ESG Program** | **Homeless** | **Source: ESG**  **Amount: PY2019 = $2,639,097** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent complete** |
|  | | | Tenant-based rental assistance / Rapid Rehousing | 250 | 339 | Households Assisted | 136% |
|  | | | Homeless Person Overnight Shelter | 3,500 | 8,218 | Persons Assisted | 235% |
|  | | | Overnight / Emergency Shelter / Transitional Housing Beds added | 100 | 0 | Beds | 0% |
|  | | | Homelessness Prevention | 300 | 660 | Persons Assisted | 220% |
|  | | | Housing for Homeless Added | 250 | 339 | Household Housing Unit | 136% |

**ESG**: The number of Overnight / Emergency Shelter / Transitional Housing Beds added and Housing for Homeless Added are dependent on the amount of funding available to provide those services.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HOME Program** | **Affordable Housing** | **Source: HOME** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent Complete** |
|  |  | **TOTAL Closed: $2,111,920** | Rental units constructed | 250 | 104 | Household Housing Unit | 42% |
|  |  |  | Rental units rehabilitated | N/A | N/A | Household Housing Unit | N/A |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Detailed Plan Progress in HOME Program – Reporting Period April 1, 2019 – March 31, 2020 (PY2019)** | | | | | |
| Program Year Awarded | Project Name | **Program Funding Closed in PY2019** | Project County | Units | Type |
| 2016 | Rivers Edge Apartments | $893,710 | Morgan | 48 | Multifamily |
| 2016 | The Village at Hixon Pond | $1,218,210 | DeKalb | 56 | Multifamily |
|  |  | $2,111,920 |  | 104 |  |

**HOME**: In PY2019, construction was completed, and HOME Loans closed on two (2) HOME Projects (totaling 104 units) utilizing $2,111,920 of HOME funds from PY2014 and PY2015 (See ConPlan Goals and Accomplishments IDIS Report and PR05-Drawdown Report by Project and Activity). Both were issued HOME Commitments in the 2016 Application Cycle.

1. **Rivers Edge Apartments** (AHFA #2016040) in Morgan County: 48-unit, multifamily and special needs apartment community. Funded with PY14-EN ($893,710) funds.

2. **The Village at Hixon Pond** (AHFA #2016006) in DeKalb County: 56-unit multifamily and special needs apartment community. Funded with PY16-CR ($1,218,210) funds.

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HOPWA Program** | **Homeless** | **Source: HOPWA**  **Amount: PY2019 = $2,232,232** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent Complete** |
|  |  |  | Tenant-based rental assistance / Rapid Rehousing | 100 | 81 | Households Assisted | 81% |
|  |  |  | Homeless Person Overnight Shelter | 80 | 238 | Persons Assisted | 95.2% |
|  |  |  | HIV/AIDS Housing Operations | 80 | 93 | Household Housing Unit | 116% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HTF Program** | **Affordable Housing** | **Source: HTF** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent Complete** |
|  |  | **Amount: PY2016 – PY2019 = $9,000,000** | Rental Units Constructed | 15 | 0 | Household Housing Unit | 0% |

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period (see table below).

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **2019 HTF Awards** | | | | | |
| **Project Name** | **AHFA**  **Project #** | **Project County** | **Award Amount** | **Tenant Type** | **HTF Assisted Units** |
| Appaloosa Run |  | Covington | $408,332 | Multifamily | 3 |
| Cottages at Four Points |  | Montgomery | $2,500,000 | Multifamily | 16 |
| Cottages at Georgia Road |  | Jefferson | $933,328 | Multifamily | 8 |
| Trinity Lake |  | Lee | $449,160 | Multifamily | 3 |
| Village at Rock Springs |  | St. Clair | $513,330 | Elderly | 4 |
| Juanita Hill Place |  | Jefferson | $513,330 | Multifamily | 6 |
| **Total Awards** | | | **$5,317,480** | **Total Units** | **40** |

The PY2019 National Housing Trust Fund Allocation Plan indicates the following Performance Goals for the use of HTF funds:

* Decrease the number of unsheltered or homeless veterans by fifteen (15).
* Provide fifteen (15) affordable housing units to veterans who are in the extremely low income (ELI) category.
* Help ELI veterans (especially chronically homeless) make the transition to permanent housing and independent living.
* Facilitate access for ELI veterans and all ELI households to affordable housing units and prevent recently homeless individuals and families from becoming homeless again.
* Increase awareness of the availability of permanent housing and related services for ELS veterans and ELI households.

To address the priorities set forth in the HTF Allocation Plan, AHFA is using each of the annual HTF allocations to date (PY2016-PY2019) for the production of rental housing for extremely low-income households. All the selected projects are new construction and must meet or exceed the HTF general requirements. AHFA utilizes a Point Ranking System when evaluating HTF applications. AHFA awards funds to the highest-scoring project until all HTF funds are allocated. In the event of a tie between two or more applications; the projects are ranked order of pre-defined tie-breakers. Scoring criterion and tie-breaker details are available in the 2019 AHFA National Housing Trust Fund Allocation Plan found at [www.AHFA.com](http://www.AHFA.com).

The anticipated usage of HTF funds by the Alabama Housing Finance Authority is as follows:

HTF Awards: 90%

Administration: 10%

In 2019, six (6) projects were awarded HTF funds (see table above). The projects awarded in 2019 will be monitored by AHFA during the construction process and HTF funds will be disbursed upon completion of construction.

1. **Appaloosa Run** (AHFA #2018645) in Covington County: 3 HTF units in a multifamily and special needs apartment community. Funded with PY16 HTF ($408,332) funds.

2. **Cottages at Four Points** (AHFA #2018447) in Montgomery County: 16 HTF units, multifamily and special needs apartment community. Funded with PY16 and PY17 HTF ($2,700,000) funds.

3. **Cottages at Georgia Road** (AHFA #2018448) in Jefferson County: 8 HTF units, multifamily and special needs apartment community. Funded with PY16 HTF ($933,328) funds.

4. **Trinity Lake** (AHFA #2017029) in Lee County: 3 HTF units in a multifamily and special needs apartment community. Funded with PY17 HTF ($449,160) funds.

5. **Village at Rock Springs** (AHFA #2017016) in St. Clair County: 4 HTF units, elderly and special needs apartment community. Funded with PY17 HTF ($513,330) funds.

6. **Juanita Hill Place** (AHFA #2018805) in Jefferson County: 6 HTF units, multifamily and special needs apartment community. Funded with PY17 HTF ($513,330) funds.

**Table 2 - Accomplishments - Strategic Plan to Date (2015-2019)**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's CDBG Program** | **Non-Housing Community Development** | **Source: CDBG**  **Amount: PY2019 = $22,938,818**  **(plus funds awarded from PY2009, PY2010,**  **PY2011,**  **PY2012, PY2013, PY2014, PY2015,**  **PY2016, PY2017, and PY2018)** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent complete** |
|  | | | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | 60,000 | 177,010  (76,393 persons assisted in PY2015 + 24,284 persons assisted in PY2016 + 15,615 persons assisted in PY2017 + 33,387  persons assisted in PY2018 +  27,331  persons assisted in PY2019 = 177,010) | Persons Assisted | 295% |
|  | | | Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit | 100 | 75,975  (31,579 households assisted in PY2015 + 9,599 households assisted in PY2016 + 7,749 households assisted in PY2017 + 15,222 households assisted in PY2018 + 11,826 households assisted in PY2019  = 75,975) | Households Assisted | 75,975% |
| **CDBG Grants (41) Closed by 3/31/2020 involving the following activities:**  Sewer = 17  Water = 9  Roads = 13  Drainage = 4  Demolition = 1  Planning Grant = 1  Residential Rehabilitation = 1  Parks / Recreation = 1  Building Renovation = 2  E-911 Center Construction = 1 | | | Public service activities for Low / Moderate Income Housing Benefit | 100 | 45,834  (4,538 households assisted in PY2015 + 1,422 households assisted in PY2016 + 12,826 households assisted in PY2017 +  15,222 households assisted in PY2018 +  11,826  households assisted in PY2019 =  45,834) | Households Assisted | 45,834% |
|  | | | Homeowner Housing Rehabilitated | 10 | 182  (0 housing units in PY2015 + 111 housing units in PY2016 + 20 housing units in PY2017 + 31 housing units in PY2018 + 20 housing units in PY2019 =  182) | Household Housing Unit | 1,820% |
|  | | | Jobs created /  Retained | 10 | 5,463  (500 jobs in PY2015 + 1,863 jobs in PY2016 + 1,247 jobs in PY2017 + 992 jobs in PY2018  + 861 jobs in PY2019 = 5,463) | Jobs | 54,630% |
|  | | | Businesses Assisted | 10 | 77  (16 businesses assisted in PY2015 + 16 businesses assisted in PY2016 + 15 businesses assisted in PY2017 + 15 businesses assisted in PY2018 + 15 businesses assisted in PY2019 =  77) | Businesses Assisted | 770% |
|  | | | Buildings Demolished | 10 | 225  (30  buidlings demolished in PY2015 + 16 buildings demolished in PY2016 + 110  buildings demolished in PY2017 + 68 buildings demolished in PY2018 + 1 building demolished in PY2019 = 225) | Buildings | 2,250% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's Disaster / CDBG-DR Program** | **Other - Disaster Recovery** | **Source: Other**  **Amount:**  **PY2017 = $28,875,022** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent complete** |
|  | | | Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit | 15,000 | 29,390 | Persons Assisted | 196% |
|  | | | Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit | 15,000 | 4,873 | Households Assisted | 32.48% |
|  | | | Rental units constructed | 150 | 314 | Household Housing Unit | 209% |
|  | | | Homeowner Housing Added | 10 | 179 | Household Housing Unit | 1,790% |
|  | | | Homeowner Housing Rehabilitated | 300 | 319 | Household Housing Unit | 106% |
|  | | | Direct Financial Assistance to Homebuyers | 10 | 36 | Households Assisted | 360% |
|  | | | Jobs created /  Retained | 200 | 191 | Jobs | 95.5% |
|  | | | Businesses  Assisted | 25 | 42 | Businesses Assisted | 168% |
|  | | | Buildings Demolished | 1 | 7 | Buildings | 700% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's ESG Program** | **Homeless** | **Source: ESG**  **Amount:**  **PY2019 = $2,639,097** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent complete** |
|  | | | Tenant-based rental assistance / Rapid Rehousing | 1,250 | 339 | Households Assisted | 27% |
|  | | | Homeless Person Overnight Shelter | 17,500 | 8,218 | Persons Assisted | 47% |
|  | | | Overnight / Emergency Shelter / Transitional Housing Beds added | 500 | 0 | Beds | 0% |
|  | | | Homelessness Prevention | 1,500 | 660 | Persons Assisted | 44% |
|  | | | Housing for Homeless Added | 1,250 | 339 | Household Housing Unit | 27% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HOME Program** | **Affordable Housing** | **Source: HOME**  **PY15-PY19**  **Total Closed:**  **$17,220,780** | **Indicator**  **Rental units constructed** | **Expected**  **1084** | **Actual**  **1309** | **Unit of Measure**  **Household Housing Unit** | **Percent Complete**  **121%** |
|  |  | PY2015 Closed $9,438,130 | Rental units constructed | 100 | 444 | Household Housing Unit | 444% |
|  |  | PY2016 Closed  $7,782,650 | Rental units constructed | 284 | 294 | Household Housing Unit | 103% |
|  |  | PY2017 Closed $10,994,090 | Rental units constructed | 200 | 369 | Household Housing Unit | 185% |
|  |  | PY2018  Closed  $2,404,900 | Rental units constructed | 250 | 98 | Household Housing Unit | 39% |
|  |  | PY2019  Closed  $2,111,920 | Rental units constructed | 250 | 104 | Household Housing Unit | 42% |
|  |  |  | Rental units rehabilitated | N/A | N/A | Household Housing Unit | N/A |
|  |  |  | Homeowner Housing Added | N/A | N/A | Household Housing Unit | N/A |

In PY2015, Alabama received an allocation of $7,819,900 and 5 projects received HOME commitments. Two (2) of the projects are CHDO developments. As of March 31, 2016 (the last day of the reporting period), 3 of the five projects had begun construction. Over two-thirds (71%) of the PY15 HOME funds are being used to provide housing to special needs populations (including the elderly, mentally ill, mentally or physically disabled, sensory impaired, etc). Of 250 total units, 42 or 20% are for families, 208 or 80% are for elderly, and 18 or 7% are for special needs residents, including residents who are disabled or sensory impaired.

The PY15 project mix is as follows:

(1) Lee County, 56 units (elderly and special needs)

(2) Elmore County, 56 units (elderly and special needs)

(3) Monroe County, 40 units (elderly and special needs)

(4) Talladega County, 42 units (family and special needs)

(5) Lauderdale County, 56 units (elderly and special needs)

In PY2015, construction was completed and HOME Loans closed on nine (9) HOME Projects for which HOME funds were disbursed and the projects were closed. Four (4) of these projects were issued HOME Commitments in the 2012 Funding Cycle. Five (5) were issued HOME Commitments in the 2013 Funding Cycle. The following list of HOME Projects were completed in PY2015:

(1) Hallmark at Selma in Dallas County: 56 unit apartment community for elderly.

(2) Hurricane Creek Trace in Tuscalooa County: 50 unit apartment community for elderly.

(3) Ridgecrest Estates in Calhoun County: 56 unit apartment community for families.

(4) Hallmark at Phenix in Russell County: 56 unit apartment community for elderly.

(5) French Farms Village in Limestone County: 56 unit apartment community for elderly.

(6) Somerville in Tuscaloosa County: 50 unit apartment community for elderly.

(7) The Village at Oliver Place in Franklin County: 24 unit apartment community for families.

(8) Baytown Senior Village in Mobile County: 48 unit apartment community for elderly.

(9) Waterford Farms Apartments in Marshall County: 48 unit apartment community for families.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Strategic Plan / Action Plan Progress in HOME Program - PY2015** | | | | | | |
| Award Program Year | Project Name | **ACTION PLAN (Awarded in PY2015)** | **STRATEGIC PLAN (Closed in PY2015)** | Project County | Units | Type |
| PY2015 | Shiloh | $1,217,660.00 | $0.00 | Lee | 56 | Elderly |
| PY2015 | Village at Mill Creek | $914,250.00 | $0.00 | Elmore | 56 | Elderly |
| PY2015 | Mockingbird Pointe II | $1,425,000.00 | $0.00 | Monroe | 40 | Elderly |
| PY2015 | Red Oak Ridge | $1,490,650.00 | $0.00 | Talladega | 42 | Multifamily |
| PY2015 | Creekview Village | $1,563,980.00 | $0.00 | Lauderdale | 56 | Elderly |
|  |  | $6,611,540.00 |  |  | 250 |  |
| PY2013 | Waterford Farms Apartments | $0.00 | $1,538,090.00 | Cullman | 48 | Multifamily |
| PY2013 | Baytown Senior Village | $0.00 | $1,000,000.00 | Mobile | 48 | Elderly |
| PY2013 | French Farms Village Apartments | $0.00 | $1,632,620.00 | Limestone | 56 | Elderly |
| PY2013 | Somerville Apartments | $0.00 | $1,038,090.00 | Tuscaloosa | 50 | Elderly |
| PY2013 | The Village at Oliver Place | $0.00 | $580,030.00 | Franklin | 24 | Multifamily |
| PY2013 | Hallmark at Phenix | $0.00 | $842,900.00 | Russell | 56 | Elderly |
| PY2012 | Ridgecrest Estates | $0.00 | $600,000.00 | Calhoun | 56 | Multifamily |
| PY2012 | Hurricane Creek Trace | $0.00 | $1,141,410.00 | Tuscaloosa | 50 | Elderly |
| PY2012 | Hallmark at Selma | $0.00 | $1,064,990.00 | Dallas | 56 | Elderly |
|  |  |  | $9,438,130.00 |  | 444 |  |

In PY2016, Alabama received an allocation of $8,106,352.00 and three (3) projects received HOME commitments. One (1) of the projects is a CHDO development. As of March 31, 2017 (the last day of the reporting period), none of projects had begun construction. Over one-third (35%) of the PY2016 HOME funds are being used to provide housing to special needs populations (including the elderly, mentally ill, mentally or physically disabled, sensory impaired, etc). Of 152 total units, 104 (or 68%) are for families, 48 (or 35%) are for elderly, and 11 or 7% are for special needs residents, including residents who are disabled or sensory impaired.

The PY2016 project mix is as follows:

(1) Baldwin County, 48 units (elderly and special needs)

(2) Morgan County, 48 units (multifamily and special needs)

(3) DeKalb County, 56 units (multifamily and special needs)

In PY2016, construction was completed, and HOME Loans closed on six (6) HOME Projects (totaling 294 units) utilizing $8,705,900 of HOME funds from PY 2011 through PY 2016 (See ConPlan Goals and Accomplishments IDIS Report and PR05-Drawdown Report by Project and Activity). One (1) of the closed projects was issued a HOME Commitment in the 2012 Funding Cycle. Two (2) were issued HOME Commitments in the 2013 Funding Cycle. Three (3) were issued HOME Commitments in the 2014 Funding Cycle. The following list of HOME Projects were completed in PY2016:

(1) Alexander Village Apartments (#2014042) in Lauderdale County: 48 unit apartment community for elderly and special needs. Funded with PY2012 ($1,500) and PY2013 ($1,622,890) funds.

(2) Cypress Trace Apartments (#2014028) in Baldwin County: 40 unit apartment community for elderly and special needs. Funded with PY2012-EN ($1500), PY2016-PI ($328,396.89), and PY2013-EN ($1,201,523.11) funds.

(3) Deer Ridge II (#2013062) in DeKalb County: 52 unit apartment community for elderly and special needs. Funded with PY2011-EN ($1,496.14), PY2014-PI ($3.86), PY2012-EN ($583,919.29), PY2013-EN ($570,396.07) and PY2016-PI ($41.90) funds.

(4) Grace Pointe (#2014010) in Lee County: 56 unit apartment community for elderly and special needs. Funded with PY2015-PI ($1500) and PY2014-CR ($1,448,530) funds.

(5) The Flats at Colebridge (#2012078) in Jefferson County: 56 unit apartment community for elderly and special needs. Funded with PY2016-PI ($1,317,780) funds.

(6) Tuxedo Park (#2013062) in Jefferson County: 42 unit apartment community for elderly and special needs. Funded with PY2013-CR ($226,410) funds.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Strategic Plan / Action Plan Progress in HOME Program - PY2016** | | | | | | |
| Award Program Year | Project Name | **ACTION PLAN (Awarded in PY2016)** | **STRATEGIC PLAN (Closed in PY2016)** | Project County | Units | Type |
| PY2016 | Rivers Edge Apartments | $893,710.00 | $0.00 | Morgan | 48 | Multifamily |
| PY2016 | Village at Hixon Pond | $1,218,210.00 | $0.00 | DeKalb | 56 | Multifamily |
|  |  | $2,111,920.00 |  |  | 104 |  |
| PY2012 | The Flats at Colebridge | 0 | $1,317,780.00 | Jefferson | 56 | Elderly |
| PY2013 | Tuxedo Park | 0 | $226,410.00 | Jefferson | 42 | Elderly |
| PY2013 | Deer Ridge II | 0 | $1,632,620.00 | DeKalb | 52 | Elderly |
| PY2014 | Alexander Village Apartments | 0 | $1,624,390.00 | Lauderdale | 48 | Elderly |
| Py2014 | Cypress Trace Apartments | 0 | $1,531,420.00 | Baldwin | 40 | Elderly |
| PY2014 | Grace Pointe | 0 | $1,450,030.00 | Lee | 56 | Elderly |
|  |  |  | $7,782,650.00 |  | 294 |  |

\*HOME Award for Graceway Apartments was returned by awardee.

In PY2017, Alabama received an allocation of $8, 96,341.00 and seven (7) projects received HOME commitments. One (1) of the projects is a CHDO development. As of March 31, 2018 (the last day of the reporting period), none of projects had begun construction. Over half (57%) of the PY2017 HOME funds are being used to provide housing to special needs populations (including the elderly, mentally ill, mentally or physically disabled, sensory impaired, etc). Of 392 total units, 168 (or 43%) are for families, 224 (or 57%) are for elderly, and 28 or 7% are for special needs residents, including residents who are disabled or sensory impaired.

The PY2017 project mix is as follows:

(1) Dallas County, 56 units (family and special needs)

(2) Limestone County, 56 units (elderly and special needs)

(3) Jackson County, 56 units (elderly and special needs)

(4) Lee County, 56 units (family and special needs)

(5) Chilton County, 56 units (family and special needs)

(6) Chambers County, 56 units (elderly and special needs)

(7) St. Clair County, 56 units (elderly and special needs)

In PY2017, construction was completed, and HOME Loans closed on eight (8) HOME Projects (totaling 369 units) utilizing $10,994,090 of HOME funds from PY2012 through PY2015 (See ConPlan Goals and Accomplishments IDIS Report and PR05-Drawdown Report by Project and Activity). One (1) of the closed projects was issued a HOME Commitment in the 2013 Funding Cycle. Five (5) were issued HOME Commitments in the 2014 Funding Cycle. Two (2) were issued HOME Commitments in the 2015 Funding Cycle. The following list of HOME Projects were completed in PY2017:

(1) The Reserve at Spring Creek (AHFA #2014017) in Marshall County: 35-unit apartment community for elderly and special needs. Funded with PY13-EN ($557,925.50), PY14-EN ($758,394.50) and PY15-PI ($1,500) funds.

(2) Hummingbird Landing (AHFA #2014029) in Covington County: 56-unit apartment community for elderly and special needs. Funded with PY2012-EN ($1500), PY2013-EN ($127,058.56), PY14-EN ($1,529,941.44) and PY15-PI ($1,500) funds.

(3) McCay Senior Gardens (AHFA #2014044) in Blount County: 40-unit apartment community for elderly and special needs. Funded with PY12-EN ($1,500), PY2014-EN ($1,328,920) and PY16-PI ($1,500) funds.

(4) Mockingbird Pointe II (AHFA #2015005) in Monroe County: 40-unit apartment community for elderly and special needs. Funded with PY15-EN ($1,347,000) and PY16-PI ($1,500) funds.

(5) 5. Shoals Mill Village Apartments (AHFA #2014040) in Shelby County: 30-unit apartment community for elderly and special needs. Funded with PY13-EN ($1,200,819.09) and PY16-PI ($10.91) funds.

(6) Shiloh (AHFA #2015007) in Lee County: 56-unit apartment community for elderly and special needs. Funded with PY15-CR ($1,216,160) and PY16-PI ($1,500) funds.

(7) Creekview Village (AHFA #2015035) in Lauderdale County: 56-unit apartment community for elderly and special needs. Funded with PY15-EN ($1,563,980.00) funds.

(8) Clarkston Square (AHFA #2013025) in Madison County: 56-unit apartment community for elderly and special needs. Funded with PY13-CR ($1,353,380) funds.

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| --- | --- | --- | --- | --- | --- | --- |
| **Strategic Plan / Action Plan Progress in HOME Program - PY2017** | | | | | | |
| Award Program Year | Project Name | **ACTION PLAN (Awarded in PY2017)** | **STRATEGIC PLAN (Closed in PY2017)** | Project County | Units | Type |
| PY2017 | Lodges on Lincoln | $1,600,000 | $0.00 | Dallas | 56 | Family |
| PY2017 | Peyton Ridge Village | $1,600,000 | $0.00 | Limestone | 56 | Elderly |
| PY2017 | Orchard View Apartments | $1,600,000 | $0.00 | Jackson | 56 | Elderly |
| PY2017 | Trinity Lake | $1,600,000 | $0.00 | Lee | 56 | Family |
| PY2017 | The Estates at Kendal | $1,595,300 | $0.00 | Chilton | 56 | Family |
| PY2017 | Valley Senior Village | $1,600,000 | $0.00 | Chambers | 56 | Elderly |
| PY2017 | Village at Rock Springs (CHDO) | $1,600,000 | $0.00 | St. Clair | 56 | Elderly |
|  |  | **$11,195,300** |  |  | **392** |  |
| PY2014 | The Reserve at Spring Creek | 0 | $1,317,820 | Marshall | 35 | Elderly |
| PY2014 | Hummingbird Landing | 0 | $1,660,000 | Covington | 56 | Elderly |
| PY2014 | McCay Senior Gardens | 0 | $1,331,920 | Blount | 40 | Elderly |
| PY2014 | Mockingbird Pointe II | 0 | $1,348,500 | Monroe | 40 | Elderly |
| PY2014 | Shoals Mill Village Apartments | 0 | $1,200,830 | Shelby | 30 | Elderly |
| PY2015 | Shiloh (CHDO) | 0 | $1,217,660 | Lee | 56 | Elderly |
| PY2015 | Creekview Village | 0 | $1,563,980 | Lauderdale | 56 | Elderly |
| PY2013 | Clarkston Square | 0 | $1,353,380 | Madison | 56 | Elderly |
|  |  |  | **$10,994,090** |  | **369** |  |

In PY2018, Alabama received an allocation of $11,817,675.00 and ten (10) projects received HOME commitments. One (1) of the projects is a CHDO development. As of March 31, 2019 (the last day of the reporting period), none of projects had begun construction. Over one-third (41%) of the PY2019 HOME funds are being used to provide housing to special needs populations (including the elderly, mentally ill, mentally or physically disabled, sensory impaired, etc). Of 526 total units, 310 (or 59%) are for families, 216 (or 41%) are for elderly, and 37 or 7% are for special needs residents, including residents who are disabled or sensory impaired.

The PY2018 project mix is as follows:

(1) Covington County, 56 units (family and special needs)

(2) Shelby County, 46 units (family and special needs)

(3) Madison County, 56 units (elderly and special needs)

(4) Marshall County, 56 units (family and special needs)

(5) Baldwin County, 48 units (elderly and special needs)

(6) Houston County, 48 units (family and special needs)

(7) Etowah County, 56 units (family and special needs)

(8) Elmore County, 56 units (elderly and special needs)

(9) Clarke County, 48 units (family and special needs)

(10) Cullman County, 56 units (elderly and special needs)

In PY2018, construction was completed, and HOME Loans closed on three (3) HOME Projects (totaling 154 units) utilizing $3,968,880 of HOME funds from PY2014 through PY2016 (See ConPlan Goals and Accomplishments IDIS Report and PR05-Drawdown Report by Project and Activity). All of the projects were issued HOME Commitments in the 2015 Funding Cycle.

The following list of HOME Projects were completed in PY2018:

(1) **Red Oak Ridge** (AHFA #2015006) in Talladega County: 42-unit, multifamily and special needs apartment community. Funded with PY14-EN ($1,489,150) and PY15-EN ($1,500) funds.

(2) **Creekview Village** (AHFA #2015035) in Lauderdale County: 56-unit elderly and special needs apartment community. Funded with PY15-EN ($1,563,980) funds.

(3) **The Village at Mill Creek** (AHFA #2015005) in Elmore County: 56-unit elderly and special needs apartment community. Funded with PY14-CR ($567,517.26), PY15-CR ($343,732.74) and PY16-CR ($3,000) funds.

Based on the HOME awards expected to close, the AHFA projects that approximately eight (8) HOME projects with approximately (50) units each will close in the PY2018 reporting period (the remainder of the Consolidated Plan period) resulting in a total of approximately eight (8) new apartment communities and five hundred (400) new units created in the non-entitlement areas of Alabama. This will result in approximately two thousand (2,000) new units created in the five-year Strategic Planning period (2015-2019).

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| --- | --- | --- | --- | --- | --- | --- |
| **Strategic Plan / Action Plan Progress in HOME Program - PY2018** | | | | | | |
| Award Program Year | Project Name | **ACTION PLAN (Awarded in PY2018)** | **STRATEGIC PLAN (Closed in PY2018)** | Project County | Units | Type |
| PY2018 | Appaloosa Run | $2,363,535 | $0.00 | Covington | 56 | Family |
| PY2018 | Bluegrass Apartments | $2,363,535 | $0.00 | Shelby | 46 | Family |
| PY2018 | Cottages at Indian Creek | $2,144,320 | $0.00 | Madison | 56 | Elderly |
| PY2018 | The Cove at Newhaven | $2,201,090 | $0.00 | Marshall | 56 | Family |
| PY2018 | Graceway | $244,060 | $0.00 | Baldwin | 48 | Elderly |
| PY2018 | Honeysuckle Grove | $2,363,535 | $0.00 | Houston | 48 | Family |
| PY2018 | The Legacy at St. Andrews | $2,337,400 | $0.00 | Etowah | 56 | Family |
| PY2018 | Nathan’s Ridge | $2,363,535 | $0.00 | Elmore | 56 | Elderly |
| PY2018 | Southern Villas of Thomasville | $2,149,820 | $0.00 | Clarke | 48 | Family |
| PY2018 | Village at Bridge Creek | $1,046,201 | $0.00 | Cullman | 56 | Elderly |
|  |  | **$19,577,031** |  |  | **526** |  |
| PY2015 | Red Oak Ridge | 0 | $1,490,650 | Talladega | 42 | Multifamily |
| PY2015 | The Village at Mill Creek | 0 | $914,250 | Elmore | 56 | Elderly 55 |
|  |  |  | **$2,404,900** |  | **98** |  |

In PY2019, Alabama received an allocation of $10,425,124.00 and eight (8) projects received HOME commitments. One (1) of the projects is a CHDO development. As of March 31, 2020 (the last day of the reporting period), none of projects had begun construction. Forty-six (46%) of the PY2019 HOME funds are being used to provide housing to special needs populations (including the elderly, mentally ill, mentally or physically disabled, sensory impaired, etc). Of 416 total units, 224 (or 54%) are for families, 192 (or 46%) are for elderly, and 30 or 7% are for special needs residents, including residents who are disabled or sensory impaired.

The PY2019 project mix is as follows:

(1) Mobile County, 56 units (elderly and special needs)

(2) Escambia County, 50 units (elderly and special needs)

(3) Lee County, 56 units (elderly and special needs)

(4) Tallapoosa County, 56 units (family and special needs)

(5) DeKalb County, 30 units (elderly and special needs)

(6) Pike County, 56 units (family and special needs)

(7) Tuscalooa County, 56 units (family and special needs)

(8) Houston County, 56 units (family and special needs)

In PY2019, construction was completed, and HOME Loans closed on two (2) HOME Projects (totaling 104 units) utilizing $2,111,920 of HOME funds from PY2014 and PY2016 (See ConPlan Goals and Accomplishments IDIS Report and PR05-Drawdown Report by Project and Activity). Both projects were issued HOME Commitments in the 2016 Funding Cycle.

The following list of HOME Projects were completed in PY2019:

(1) **Rivers Edge Apartments** (AHFA #2016040) in Morgan County: 48-unit, multifamily and special needs apartment community. Funded with PY14-EN ($893,710) funds.

**(2) Village at Hixon Pond** (AHFA #2016006) in DeKalb County: 56-unit multifamily and special needs apartment community. Funded with PY16-CR ($1,218,210) funds.

The HOME funded projects closed in the 5-year Strategic Planning Period of PY2015 - PY2019 resulted in a total of twenty-seven (27) new apartment communities and one thousand, three hundred nine (1,309) new units created in the non-entitlement areas of Alabama.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Strategic Plan / Action Plan Progress in HOME Program - PY2019** | | | | | | |
| Award Program Year | Project Name | **ACTION PLAN (Awarded in PY2019)** | **STRATEGIC PLAN (Closed in PY2019)** | Project County | Units | Type |
| PY2019 | The Landing at Eastleigh | $1,199,070 |  | DeKalb | 30 | Elderly |
| PY2019 | Atmore Senior Village | $1,504,940 |  | Escambia | 50 | Elderly |
| PY2019 | Lantana Trace | $2,016,970 |  | Mobile | 56 | Elderly |
| PY2019 | Clement Park at Troy | $2,085,020 |  | Pike | 56 | Multifamily |
| PY2019 | Oakleigh Crossing | $2,085,020 |  | Tallapoosa | 56 | Multifamily |
| PY2019 | The Pines at Harwick | $1,942,060 |  | Houston | 56 | Multifamily |
| PY2019 | Providence Place II | $2,085,020 |  | Tuscaloosa | 56 | Multifamily |
| PY2019 | Providence Park | $1,342,970 |  | Lee | 56 | Elderly |
|  |  | **$14,261,070** |  |  | **416** |  |
| PY2016 | Rivers Edge |  | $893,710 | Morgan | 48 | Multifamily |
| PY2016 | Village at Hixon Pond |  | $1,218,210 | DeKalb | 56 | Multifamily |
|  |  |  | **$2,111,920** |  | **104** |  |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HOPWA Program** | **Homeless** | **Source: HOPWA**  **Amount: PY2019 = $2,232,232** | **Indicator** | **Expected** | **Actual** | **Unit of Measure** | **Percent Complete** |
|  |  |  | Tenant-based rental assistance / Rapid Rehousing | 100 | 81 | Households Assisted | 81% |
|  |  |  | Homeless Person Overnight Shelter | 250 | 238 | Persons Assisted | 95.2% |
|  |  |  | Housing for People with HIV/AIDS added | 80 | 76 | Household Housing Unit | 95% |
|  |  |  | HIV/AIDS Housing Operations | 80 | 93 | Household Housing Unit | 116% |

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Goal** | **Category** | **Funding** | **Outcome** | | | | |
| **Alabama's HTF Program** | **Affordable Housing** | **Source: HTF**  **$9,000,000** | **Indicator** | **Expected**  **45** | **Actual   0** | **Unit of Measure** | **Percent Complete  0%** |
|  |  | PY2016 = $3,000,000 | PY16 Rental Units Constructed | 15 | 0 | Household Housing Unit | 0% |
|  |  | PY2017 = $3,000,000 | PY17 Rental Units Constructed | 15 | 0 | Household Housing Unit | 0% |
|  |  | PY2018 = $3,000,000 | PY18 Rental Units | 15 | 0 | Household Housing Unit | 0% |
|  |  | PY2019 = $3,000,000 | PY19 Rental Units | 15 | 0 | Household Housing Unit | 0% |

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018 and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period (see table below).

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **2019 HTF Awards** | | | | | |
| **Project Name** | **AHFA**  **Project #** | **Project County** | **Award Amount** | **Tenant Type** | **HTF Assisted Units** |
| Appaloosa Run |  | Covington | $408,332 | Multifamily | 3 |
| Cottages at Four Points |  | Montgomery | $2,500,000 | Multifamily | 16 |
| Cottages at Georgia Road |  | Jefferson | $933,328 | Multifamily | 8 |
| Trinity Lake |  | Lee | $449,160 | Multifamily | 3 |
| Village at Rock Springs |  | St. Clair | $513,330 | Elderly | 4 |
| Juanita Hill Place |  | Jefferson | $513,330 | Multifamily | 6 |
| **Total Awards** | | | **$5,317,480** | **Total Units** | **40** |

**Assess how the State's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

**CDBG**: The CDBG Program’s priorities are reflected in the objectives stated herein above, more specifically the following:

Long-Term (Five Year 2015-2019) Objectives:

1. To provide important community facilities that address all aspects of community development (CDBG);

2. To promote economic development that creates new jobs, retains existing employment, and expands the local tax base (CDBG); and

3. To meet the affordable housing needs of low- and moderate-income Alabamians (HOME and CDBG).

Short-Term (One Year 2018) Objectives:

1. To allow communities to address the community development needs perceived to be the most important at the local level (CDBG);

2. To encourage communities to develop and implement infrastructure plans for the near-future (CDBG);

3. To assist communities in responding to economic and development needs in a timely manner primarily through infrastructure assistance (CDBG);

4. To provide a mechanism for managing health hazards and urgent needs so that communities can readily respond to crises (CDBG); and

5. To provide a mechanism for addressing a wide variety of community development needs including housing rehabilitation (CDBG).

Alabama's use of its annual allocation of CDBG funds addresses the long-term and short-term objectives through the local communities' annual expenditure of the grant funds within the State's non-entitlement areas. ADECA closed-out 41 grants that were open/active during the April 1, 2019-March 31, 2020 reporting period, in that all 41 grants were closed-out as of March 31, 2020. These 41 grants had expended CDBG funds from Program Years 2009 through 2019 and are identified hereinabove in *Chart 12 - Program Year 2009 through Program Year 2019 CDBG Grants Closed During the Reporting Period of April 1, 2019 through March 31, 2020*. Of these 41 now-closed CDBG grants, the priority (primary) activities for the grants are as follows:

17 involved the sewer activity,

9 involved the water activity,

13 involved the roads activity,

4 involved the drainage activity,

1 involved a local planning grant,

1 involved the residential rehabilitation activity (20 houses rehabbed),

2 involved a building renovation,

1 involved a construction of an E-911 Center,

1 involved parks and recreation,

1 involved demolition and clearance, and

a total of 14 businesses were assisted that created 861 jobs through grants for economic development, of which 680 jobs were made available to persons of low and moderate income.

Below in *Chart 15*, these 41 now-closed grants are identified to include the number of beneficiaries (both persons and households) who were reported to ADECA as having been assisted through the expenditure of CDBG funds by these local communities.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Chart 15**  **Number of Beneficiaries (Persons and Households)**  **Assisted in CDBG Closed Grants**  **Open During April 1, 2019-March 31, 2020 Reporting Period** | | | | | | |
| **Number**  **Of**  **Grants Closed:**  **41** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Type of Grant** | **Activity** | **Number of Persons Assisted (Beneficiaries)** | **Number of Households Assisted (Beneficiaries)** |
| **BENEFICIARIES:** | | | | | | |
| 1 | Section | $246,500 | CDBG | Sewer Hookups | 152 Beneficiaries | 47 Households |
| 2 | Union | $350,000 | CDBG | Residential Rehabilitation of 20 Houses  /  Roads | 45 Beneficiaries | 20 Households |
| 3 | Addison | $350,000 | CDBG | Waterline over utility bridge | 758 Beneficiaries | 483 Households |
| 4 | Foley | $450,000 | CDBG | Sewer | 742 Beneficiaries | 259 Households |
| 5 | Jackson | $450,000 | CDBG | Water / Sewer / Roads / Drainage | 74 Beneficiaries | 28 Households |
| 6 | Napier Field | $238,770  (Final expenditure: $220,596.93) | CDBG | Sewer | 59 Beneficiaries | 26 Households |
| 7 | Susan Moore | $250,000 | CDBG | Water | 59 Beneficiaries | 22 Households |
| 8 | Ragland | $28,000 | CDBG | Planning | Planning Grant = 0 (zero beneficiaries are counted for a Planning Grant) | Planning Grant = 0 (zero beneficiaries are counted for a Planning Grant) |
| 9 | Greene County | $250,000 | CDBG | E-911 Center | 9,974 Beneficiaries | 3,931  Households |
| 10 | Paint Rock | $349,570.72  (Final expenditure: $341,645.12) | CDBG | Roads / Drainage | 210 Beneficiaries | 95 Households |
| 11 | Taylor | $205,604 | CDBG | Roads | 173 Beneficiaries | 58 Households |
| 12 | Albertville | $450,000 | CDBG | Sewer | 523 Beneficiaries | 155 Households |
| 13 | Hartford | $350,000 | CDBG | Sewer | 328 Beneficiaries | 129 Households |
| 14 | Headland | $100,000  (Final expenditure: $89,431.25) | CDBG | Sewer | 74 Beneficiaries | 31 Households |
| 15 | Ashford | $146,807  (Final expenditure: $129,933.04) | CDBG | Roads | 56 Beneficiaries | 21 Households |
| 16 | Daleville | $450,000  (Final expenditure: $425,865.10) | CDBG | Roads / Water | 124 Beneficiaries | 53 Households |
| 17 | Franklin County | $350,000 | CDBG | Roads / Drainage | 258 Beneficiaries | 100 Households |
| 18 | Livingston | $450,000 | CDBG | Sewer | 147 Beneficiaries | 91 Households |
| 19 | Muscle Shoals | $250,000 | CDBG | Parks & Recreation | 11,772 Beneficiaries | 4,765 Households |
| 20 | River Falls | $250,000  (Final expenditure: $243,108) | CDBG | Roads | 173 Beneficiaries | 53 Households |
| 21 | Andalusia | $280,000  (Final expenditure: $275,208.25) | CDBG | Roads | 158 Beneficiaries | 51 Households |
| 22 | Detroit | $350,000 | CDBG | Water | 230 Beneficiaries | 99 Households |
| 23 | Piedmont | $450,000  (Final expenditure: $444,496.06) | CDBG | Sewer | 202 Beneficiaries | 75 Households |
| 24 | Marshall County | $350,000 | CDBG | Roads | 242 Beneficiaries | 68 Households |
| 25 | Vernon | $350,000  (Final expenditure: $337,537.58) | CDBG | Sewer / Roads | 92 Beneficiaries | 43 Households |
| 26 | Jasper | $450,000 | CDBG | Sewer | 352 Beneficiaries | 136 Households |
| 27 | Thorsby | $250,000  (Final expenditure: $200,598.98) | CDBG | Demolition & Clearance of 1 structure | Demolition Grant = 0 (zero beneficiaries are counted for a Demolition Grant) | 1 unit demolished  (Demolition Grant = beneficiaries are counted in number of units) |
| 28 | Athens | $450,000 | CDBG | Drainage | 354 Beneficiaries | 150 Households |
| **Total** | **Persons** | $8,665,251.72  (Final expenditure: $8,508,524.31) | **CDBG** |  | **27,331 Beneficiaries** | **10,989 Households** |
| **JOBS:** | | | | | | |
| 29 | Jackson County | ($149,500.47 + $59,943.04 =)  $209,443.51 | CDBG - Economic Development | Water  for  Scottsboro Aluminum,  BL Fabricators,  and  Telko Enterprise, Inc. | 77 jobs | 77 Households |
| 30 | Fayette | $300,000  (Final expenditure: $213,192.00) | CDBG - Economic Development | Sewer  for  Alabama Department of Transportation's West Alabama Office relocation | 30 jobs | 30 Households |
| 31 | Lincoln | $250,000 | CDBG - Economic Development | Water / Sewer  for  Speedway and Pilot Travel Plaza | 33 jobs | 13 Households |
| 32 | Crossville | ($203,792 +  $45,756 =)  $249,548 | CDBG - Economic Development | Sewer  for  Koch Foods | 35 jobs | 35 Households |
| 33 | Double Springs | $400,000  (Final expenditure: $332,650) | CDBG - Economic Development | Building rehabilitation  for  Winston Home Builders | 201 jobs | 197 Households |
| 34 | Steele | $118,000 | CDBG - Economic Development | Water tank refurbishment  for  Unipres, Inc. | 76 jobs | 76 Households |
| 35 | Hayden | $345,000 | CDBG - Economic Development | Roads  for  High Tide Oil Company | 63 jobs | 63 Households |
| 36 | Bridgeport | $190,422 | CDBG - Economic Development | Water  for  Mohawk Industries | 101 jobs | 101 Households |
| 37 | Arab | $150,000 | CDBG - Economic Development | Roads  for  Zaxby’s Restaurant | 49 jobs | 49 Households |
| 38 | Good Hope | $200,000 | CDBG - Economic Development | Sewer  for  AGCOR Steel, LLC | 27 jobs | 27 Households |
| 39 | Rainsville | $200,000 | CDBG - Economic Development | Sewer  for  RTI (an existing industry) | 57 jobs | 57 Households |
| 40 | Fayette | $250,000  (Final expenditure: $228,977) | CDBG - Economic Development | Building Incubator  for Fayette Center of Manufacturing and Innovation | 62 jobs | 62 Households |
| 41 | Jasper | $200,000 | CDBG - Economic Development | Sewer  for  Mar-Jac Poultry | 50 jobs | 50 Households |
| **Total** | **Jobs** | $2,882,413.51  (Final expenditure: $2,707,232.51) | CDBG - Economic Development |  | **861 Jobs** | **837 Households** |
| **Total** | **Persons + Jobs** | $11,547,665.23  (Final expenditure: $11,215,756.82) | CDBG and CDBG - Economic Development |  | **28,192 Beneficiaries**  **(27,331 Persons +**  **861 Jobs =**  **28,192 Beneficiaries)** | **11,826**  **Households**  **(10,989 Persons +**  **837 Jobs =**  **11,826 Households)** |

Also below, in *Chart 16*, these 41 now-closed grants are identified to include the number of beneficiaries by race (by both persons and households) who were reported to ADECA as having been assisted through the expenditure of CDBG funds by these local communities.

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Chart 16**  **Racial Composition of Beneficiaries (Persons and Households)**  **Assisted in CDBG Closed Grants**  **Open During April 1, 2019-March 31, 2020 Reporting Period** | | | | | | | | | | | |
| **#** | **Race:** | **White** | **Black** | **Asian** | **Amer. Indian** | **Pacific Islander** | **2 or More Races** | **Other / Multi- racial** | **Hispanic** | **Non-hispanic** | **Total** |
| # | **BENEFICIARIES:** | **Persons /**  **House-holds (hh)** | **Persons / House-holds (hh)** | **Persons / House-holds (hh)** | **Persons**  **/**  **House-holds (hh)** | **Persons**  **/**  **House-holds**  **(hh)** | **Persons**  **/**  **House-holds (hh)** | **Persons**  **/**  **House-holds (hh)** | **Persons**  **/**  **House-holds**  **(hh)** | **Persons**  **/**  **House-**  **holds**  **(hh)** | **Persons**  **/**  **House-**  **holds**  **(hh)** |
| 1 | Section | **152 /**  **47 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **152 /**  **47 hh** | **152 /**  **47 hh** |
| 2 | Union | **2 /**  **1 hh** | **43 /**  **19 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **45 /**  **20 hh** | **45 /**  **20 hh** |
| 3 | Addison | **751 /**  **479 hh** | **1 /**  **1 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **2 hh** | **3 /**  **1 hh** | **755 /**  **482 hh** | **758 /**  **483 hh** |
| 4 | Foley | **233 /**  **82 hh** | **509 /**  **177 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **742 /**  **259 hh** | **742 /**  **259 hh** |
| 5 | Jackson | **0 /**  **0 hh** | **74 /**  **28 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **74 /**  **28 hh** | **74 /**  **28 hh** |
| 6 | Napier Field | **54 /**  **25 hh** | **5 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **2 hh** | **54 /**  **24 hh** | **59 /**  **26 hh** |
| 7 | Susan Moore | **59 /**  **22 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **59 /**  **22 hh** | **59 /**  **22 hh** |
| 8 | Ragland | **1,818 /**  **718 hh** | **330 /**  **131 hh** | **3 /**  **1 hh** | **2 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **36 /**  **14 hh** | **7 /**  **3 hh** | **2,182 /**  **862 hh** | **2,189 /**  **865 hh** |
| 9 | Greene County | **1,904 /**  **756 hh** | **8,013 /**  **3,152 hh** | **8 /**  **3 hh** | **12 /**  **5 hh** | **0 /**  **0 hh** | **10 /**  **4 hh** | **27 /**  **11 hh** | **0 /**  **0 hh** | **9,974 /**  **3,931 hh** | **9,974 /**  **3,931 hh** |
| 10 | Paint Rock | **194 /**  **88 hh** | **2 /**  **1 hh** | **0 /**  **0 hh** | **4 /**  **2 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **10 /**  **4 hh** | **0 /**  **0 hh** | **210 /**  **95 hh** | **210 /**  **95 hh** |
| 11 | Taylor | **151 /**  **51 hh** | **18 /**  **6 hh** | **0 /**  **0 hh** | **4 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **2 hh** | **168 /**  **56 hh** | **173 /**  **58 hh** |
| 12 | Albertville | **507 /**  **150 hh** | **11 /**  **4 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **1 hh** | **0 /**  **0 hh** | **246 /**  **55 hh** | **277 /**  **100 hh** | **523 /**  **155 hh** |
| 13 | Hartford | **281 /**  **110 hh** | **38 /**  **17 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **9 /**  **2 hh** | **0 /**  **0 hh** | **53 /**  **21 hh** | **275 /**  **108 hh** | **328 /**  **129 hh** |
| 14 | Headland | **62 /**  **26 hh** | **12 /**  **5 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **74 /**  **31 hh** | **74 /**  **31 hh** |
| 15 | Ashford | **35 /**  **13 hh** | **21/**  **8 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **56 /**  **21 hh** | **56 /**  **21 hh** |
| 16 | Daleville | **101 /**  **43 hh** | **18 /**  **8 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **2 hh** | **5 /**  **2 hh** | **119 /**  **51 hh** | **124 /**  **53 hh** |
| 17 | Franklin County | **258 /**  **100 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **94 /**  **24 hh** | **164 /**  **76 hh** | **258 /**  **100 hh** |
| 18 | Livingston | **7 /**  **6 hh** | **137 /**  **83 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **147 /**  **91 hh** | **147 /**  **91 hh** |
| 19 | Muscle Shoals | **9,638 /**  **3,901 hh** | **1,831 /**  **741 hh** | **106 /**  **43 hh** | **33 /**  **13 hh** | **5 /**  **2 hh** | **144 /**  **58 hh** | **15 /**  **6 hh** | **153 /**  **61 hh** | **11,619 /**  **4,704 hh** | **11,772 /**  **4,765 hh** |
| 20 | River Falls | **111 /**  **34 hh** | **58 /**  **18 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **4 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **173 /**  **53 hh** | **173 /**  **53 hh** |
| 21 | Andalusia | **26 /**  **8 hh** | **132 /**  **43 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **158 /**  **51 hh** | **158 /**  **51 hh** |
| 22 | Detroit | **191 /**  **83 hh** | **32 /**  **13 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **7 /**  **3 hh** | **1 /**  **1 hh** | **229 /**  **98 hh** | **230 /**  **99 hh** |
| 23 | Piedmont | **148 /**  **57 hh** | **51 /**  **17 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **3 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **202 /**  **75 hh** | **202 /**  **75 hh** |
| 24 | Marshall County | **241 /**  **67 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **165 /**  **45 hh** | **77 /**  **23 hh** | **242 /**  **68 hh** |
| 25 | Vernon | **70 /**  **33 hh** | **22 /**  **10 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **92 /**  **43 hh** | **92 /**  **43 hh** |
| 26 | Jasper | **286 /**  **110 hh** | **66 /**  **26 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **11 /**  **3 hh** | **341 /**  **133 hh** | **352 /**  **136 hh** |
| 27 | Thorsby (Demolition = zero beneficiaries counted) | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** |
| 28 | Athens | **258 /**  **110 hh** | **63 /**  **26 hh** | **3 /**  **1 hh** | **2 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **28 /**  **12 hh** | **32 /**  **14 hh** | **322 /**  **136 hh** | **354 /**  **150 hh** |
| **Totals –**  **Persons /**  **Households** | | **17,538 /**  **7,120 hh** | **11,487 /**  **4,535 hh** | **122 /**  **50 hh** | **58 /**  **24 hh** | **5 /**  **2 hh** | **175 /**  **67 hh** | **134 /**  **55 hh** | **780 /**  **234 hh** | **28,740 /**  **11,620 hh** | **29,520 /**  **11,854 hh** |
| **JOBS:** | | **White** | **Black** | **Asian** | **Amer. Indian** | **Pacific Islander** | **2 or More Races** | **Other / Multi- racial** | **Hispanic** | **Non-hispanic** | **Total** |
| 29 | Jackson County | **72 /**  **72 hh** | **4 /**  **4 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **77 /**  **77 hh** | **77 /**  **77 hh** |
| 30 | Fayette | **29 /**  **29 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **30 /**  **30 hh** | **30 /**  **30 hh** |
| 31 | Lincoln | **18 /**  **7 hh** | **10 /**  **4 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **5 /**  **2 hh** | **1 /**  **1 hh** | **32 /**  **12 hh** | **33 /**  **13 hh** |
| 32 | Crossville | **35 /**  **35 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **13 /**  **13 hh** | **22 /**  **22 hh** | **35 /**  **35 hh** |
| 33 | Double Springs | **187 /**  **184 hh** | **10 /**  **10 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **3 /**  **2 hh** | **14 /**  **13 hh** | **187 /**  **184 hh** | **201 /**  **197 hh** |
| 34 | Steele | **52 /**  **52 hh** | **24 /**  **24 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **4 /**  **4 hh** | **72 /**  **72 hh** | **76 /**  **76 hh** |
| 35 | Hayden | **59 /**  **59 hh** | **2 /**  **2 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **1 /**  **1 hh** | **62 /**  **62 hh** | **63 /**  **63 hh** |
| 36 | Bridgeport | **88 /**  **88 hh** | **13 /**  **13 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **14 /**  **14 hh** | **87 /**  **87 hh** | **101 /**  **101 hh** |
| 37 | Arab | **47 /**  **47 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **2 /**  **2 hh** | **1 /**  **1 hh** | **48 /**  **48 hh** | **49 /**  **49 hh** |
| 38 | Good Hope | **26 /**  **26 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **27 /**  **27 hh** | **27 /**  **27 hh** |
| 39 | Rainsville | **50 /**  **50 hh** | **2 /**  **2 hh** | **1 /**  **1 hh** | **1 /**  **1 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **3 /**  **3 hh** | **15 /**  **15 hh** | **42 /**  **42 hh** | **57 /**  **57 hh** |
| 40 | Fayette | **60 /**  **60 hh** | **2 /**  **2 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **1 /**  **1 hh** | **61 /**  **61 hh** | **62 /**  **62 hh** |
| 41 | Jasper | **36 /**  **36 hh** | **14 /**  **14 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **8 /**  **8 hh** | **42 /**  **42 hh** | **50 /**  **50 hh** |
| **Totals –**  **Jobs +**  **Households** | | **759 /**  **745 hh** | **82 /**  **76 hh** | **1 /**  **1 hh** | **3 /**  **3 hh** | **0 /**  **0 hh** | **0 /**  **0 hh** | **16 /**  **12 hh** | **72 /**  **71 hh** | **789 /**  **766 hh** | **861 /**  **837 hh** |
| **Totals –**  **Persons /**  **Jobs**  **+**  **Households** | | **17,538 /**  **7,120 hh +**  **759 /**  **745 hh =**  **18,297 /**  **7,865 hh** | **11,487 /**  **4,535 hh +**  **82 /**  **76 hh =**  **11,569 /**  **4,611 hh** | **122 /**  **50 hh +**  **1 /**  **1 hh =**  **123 /**  **51 hh** | **58 /**  **24 hh +**  **3 /**  **3 hh =**  **61 /**  **27 hh** | **5 /**  **2 hh +**  **0 /**  **0 hh**  **=**  **5 /**  **2 hh** | **175 /**  **67 hh +**  **0 /**  **0 hh =**  **175 /**  **67 hh** | **134 /**  **55 hh +**  **16 /**  **12 hh =**  **150 /**  **67 hh** | **780 /**  **234 hh**  **+**  **72 /**  **71 hh =**  **852 /**  **305 hh** | **28,740 /**  **11,620 hh +**  **789 /**  **766 hh =**  **29,529 /**  **12,386 hh** | **29,520 /**  **11,854 hh + 861 /**  **837 hh =**  **30,381 /**  **12,691 hh** |

NOTE: Planning Grant = zero beneficiaries are counted for a Planning Grant;

Residential Rehabilitation = zero beneficiaries are counted for this Grant; # of units are counted; and

Demolition Grant = zero beneficiaries are counted for a Demolition Grant; # of units are counted.

And below in *Chart 17*, these 41 now-closed grants are identified to include the number of beneficiaries by moderate, low, and very low income levels (by total number of persons / beneficiaries; by total number of LMI beneficiaries; and by total number of persons as moderate-income, as low-income, and as very-low income beneficiaries) who were reported to ADECA as having been assisted through the expenditure of CDBG funds by these local communities.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Chart 17**  **Number of Moderate-Income, Low-Income, and Very-Low Income Beneficiaries**  **(Persons and Households)**  **Assisted in CDBG Closed Grants**  **Open During April 1, 2019-March 31, 2020 Reporting Period** | | | | | | | | |
| **Number**  **Of**  **Grants Closed:**  **41** | **Subrecipient /**  **Local Community Name** | **Grant Award Amount to Subrecipient /**  **Local Community** | **Activity** | **Total Number of Beneficiaries**  **(Persons / Households)** | **Total LMI**  **Bene-**  **ficiaries** | **Moderate**  **Income**  **Bene-**  **Ficiaries** | **Low**  **Income**  **Bene-**  **Ficiaries** | **Very Low**  **Income**  **Bene-**  **Ficiaries** |
| 1 | Section | $246,500 | Sewer Hookups | 152 / 47 hh | 152 /  47 hh | 50 /17 hh | 102 /  30 hh | 0 /  0 hh |
| 2 | Union | $350,000 | Residential Rehabilitation  and  Roads | 45 / 20 hh | 45 /  20 hh | 13 / 3 hh | 21 /  11 hh | 11 /  6 hh |
| 3 | Addison | $350,000 | Waterline over utility bridge | 758 / 483 hh | 332 /  212 hh | 157 /  99 hh | 107 /  68 hh | 68 / 45 hh |
| 4 | Foley | $450,000 | Sewer Rehabilitation | 742 / 259 hh | 653 / 228 hh | 234 /  82 hh | 329 /  115 hh | 90 /  31 hh |
| 5 | Jackson | $450,000 | Water / Sewer / Roads / Drainage | 74 / 28 hh | 71 /  27 hh | 8 /  4 hh | 23 /  10 hh | 40 /  13 hh |
| 6 | Napier Field | $238,770  (Final expenditure: $220,596.93) | Sewer | 59 / 26 hh | 59 /  26 hh | 4 /  1 hh | 33 /  14 hh | 22 /  11 hh |
| 7 | Susan Moore | $250,000 | Water | 59 / 22 hh | 44 /  16 hh | 13 /  5 hh | 17 /  6 hh | 14 /  5 hh |
| 8 | Ragland | $28,000 | Planning Grant = 0 (zero beneficiaries are counted for a Planning Grant) | 0 / 0 hh | 0 / 0 hh | 0 / 0 hh | 0 / 0 hh | 0 / 0 hh |
| 9 | Greene County | $250,000 | E-911 Center | 9,974 /  3,931 hh | 8,578 / 3,380 hh | 1,696 / 668 hh | 2,992 / 1,179 hh | 3,890 / 1,533 hh |
| 10 | Paint Rock | $349,570.72  (Final expenditure: $341,645.12) | Roads / Drainage | 210 / 95 hh | 130 /  59 hh | 7 / 3 hh | 72 /  33 hh | 51 / 23 hh |
| 11 | Taylor | $205,604 | Roads | 173 / 58 hh | 156 /  52 hh | 99 / 33 hh | 51 /  17 hh | 6 / 2 hh |
| 12 | Albertville | $450,000 | Sewer | 523 / 155 hh | 437 / 125 hh | 170 /  52 hh | 213 /  56 hh | 54 / 17 hh |
| 13 | Hartford | $350,000 | Sewer | 328 / 129 hh | 215 /  83 hh | 97 /  34 hh | 86 /  34 hh | 32 / 15 hh |
| 14 | Headland | $100,000  (Final expenditure: $89,431.25) | Sewer | 74 / 31 hh | 33 /  14 hh | 23 /  10 hh | 10 /  4 hh | 0 / 0 hh |
| 15 | Ashford | $146,807  (Final expenditure: $129,933.04) | Roads | 56 / 21 hh | 44 /  17 hh | 29 /  11 hh | 15 /  6 hh | 0 /  0 hh |
| 16 | Daleville | $450,000  (Final expenditure: $425,865.10) | Water / Roads | 124 / 53 hh | 93 /  40 hh | 52 /  22 hh | 40 /  17 hh | 1 /  1 hh |
| 17 | Franklin County | $350,000 | Roads / Drainage | 258 / 100 hh | 211 /  66 hh | 84 /  26 hh | 74 /  22 hh | 53 /  18 hh |
| 18 | Livingston | $450,000 | Sewer | 147 / 91 hh | 143 /  90 hh | 20 /  11 hh | 24 /  16 hh | 99 /  63 hh |
| 19 | Muscle Shoals | $250,000 | Parks & Recreation | 11,772 /  4,765 hh | 3,262 /  1,320 hh | 1,571 /  636 hh | 818 /  331 hh | 873 /  353 hh |
| 20 | River Falls | $250,000  (Final expenditure: $243,108) | Roads | 173 / 53 hh | 163 /  50 hh | 74 /  22 hh | 71 /  22 hh | 18 /  6 hh |
| 21 | Andalusia | $280,000  (Final expenditure: $275,208.25) | Roads | 158 / 51 hh | 155 /  49 hh | 57 /  18 hh | 35 /  11 hh | 63 /  20 hh |
| 22 | Detroit | $350,000 | Water | 230 / 99 hh | 170 /  73 hh | 84 /  36 hh | 39 /  17 hh | 47 /  20 hh |
| 23 | Piedmont | $450,000  (Final expenditure: $444,496.06) | Sewer | 202 / 75 hh | 186 /  72 hh | 30 /  12 hh | 58 /  24 hh | 98 /  36 hh |
| 24 | Marshall County | $350,000 | Roads | 242 / 68 hh | 229 /  64 hh | 30 /  8 hh | 51 /  14 hh | 148 /  42 hh |
| 25 | Vernon | $350,000  (Final expenditure: $337,537.58) | Sewer / Roads | 92 / 43 hh | 83 /  39 hh | 19 /  9 hh | 12 /  6 hh | 52 /  24 hh |
| 26 | Jasper | $450,000 | Sewer | 352 / 136 hh | 307 /  118 hh | 98 /  38 hh | 149 /  57 hh | 60 /  23 hh |
| 27 | Thorsby | $250,000  (Final expenditure: $200,598.98) | Demolition & Clearance of 1 structure | Demolition Grant = 0 (zero beneficiaries are counted for a Demo-lition Grant) | 0 / 0 hh | 0 /0 hh | 0 /0 hh | 0 /0 hh |
| 28 | Athens | $450,000 | Drainage | 354 / 150 hh | 216 /  91 hh | 84 /  36 hh | 63 /  27 hh | 69 /  29 hh |
| **Total** | **Persons** | $8,665,251.72  (Final expenditure: $8,508,524.31) | **CDBG** | **27,331**  **Total**  **Beneficiaries**  **/ 10,989 hh** | **16,167**  **Total LMI**  **Bene-**  **ficiaries**  **/**  **6,378**  **hh** | **4,803**  **Moderate**  **Income**  **Bene-**  **ficiaries**  **/**  **1,896**  **hh** | **5,505**  **Low**  **Income**  **Bene-**  **ficiaries**  **/**  **2,147**  **hh** | **5,859**  **Very Low**  **Income**  **Bene-**  **ficiaries**  **/**  **2,336**  **Hh** |
| **JOBS:** |  |  |  |  |  |  |  |  |
| 29 | Jackson County | ($149,500.47+  $59,943.04 =)  $209,443.51 | Water  for  Scottsboro Aluminum,  BL Fabricators,  and  Telko Enterprise, Inc. | 77 jobs /  77 hh | 56 jobs / 56 hh | 34 jobs /  34 hh | 15 jobs /  15 hh | 7 jobs /  7 hh |
| 30 | Fayette | $300,000  (Final expenditure:  $213,192.00) | Sewer  for  Alabama Department of Transportation's West Alabama Office relocation | 30 jobs /  30 hh | 18 jobs / 18 hh | 11 jobs /  11 hh | 6 jobs /  6 hh | 1 job /  1 hh |
| 31 | Lincoln | $250,000 | Water / Sewer  for  Speedway / Pilot Travel Plaza | 33 jobs /  13 hh | 29 jobs / 11 hh | 4 jobs /  2 hh | 7 jobs /  3 hh | 18 jobs /  7 hh |
| 32 | Crossville | ($203,792 +  $45,756 =)  $249,548 | Sewer  for  Koch Foods | 35 jobs /  35 hh | 32 jobs / 32 hh | 18 jobs /  18 hh | 11 jobs /  11 hh | 3 jobs /  3 hh |
| 33 | Double Springs | $400,000  (Final expenditure:  $332,650.00) | Building rehabilitation  for  Winston Home Builders | 201 jobs /  197 hh | 159 jobs / 157 hh | 62 jobs /  61 hh | 48 jobs /  47 hh | 49 jobs /  49 hh |
| 34 | Steele | $118,000 | Water tank refurbishment  for  Unipres, Inc. | 76 jobs /  76 hh | 66 jobs / 66 hh | 15 jobs /  15 hh | 21 jobs /  21 hh | 30 jobs /  30 hh |
| 35 | Hayden | $345,000 | Roads  for  High Tide Oil Company | 63 jobs /  63 hh | 52 jobs / 52 hh | 8 jobs /  8 hh | 21 jobs /  21 hh | 23 jobs /  23 hh |
| 36 | Bridgeport | $190,422 | Water  for  Mohawk Industries | 101 jobs /  101 hh | 85 jobs / 85 hh | 35 jobs /  35 hh | 24 jobs /  24 hh | 26 jobs /  26 hh |
| 37 | Arab | $150,000 | Roads  for  Zaxby’s Restaurant | 49 jobs /  49 hh | 30 jobs / 30 hh | 15 jobs /  15 hh | 7 jobs /  7 hh | 8 jobs /  8 hh |
| 38 | Good Hope | $200,000 | Sewer  for  AGCOR Steel, LLC | 27 jobs /  27 hh | 15 jobs / 15 hh | 9 jobs /  9 hh | 3 jobs /  3 hh | 3 jobs /  3 hh |
| 39 | Rainsville | $200,000 | Sewer  for  RTI | 57 jobs /  57 hh | 50 jobs / 50 hh | 26 jobs /  26 hh | 14 jobs /  14 hh | 10 jobs /  10 hh |
| 40 | Fayette | $250,000  (Final expenditure: $228,977) | Building incubator  for Fayette Center of Manufacturing and Innovation | 62 jobs /  62 hh | 49 jobs / 49 hh | 6 jobs /  6 hh | 14 jobs /  14 hh | 29 jobs /  29 hh |
| 41 | Jasper | $200,000 | Sewer  for  Mar-Jac Poultry | 50 jobs /  50 hh | 39 jobs / 39 hh | 14 jobs /  14 hh | 14 jobs /  14 hh | 11 jobs /  11 hh |
| **Total** | **Jobs** | $2,882,413.51  (Final expenditure: $2,707,232.51) |  | **861**  **Total Number of Beneficiaries**  **(Jobs)**  **/ 837 hh** | **680**  **Total LMI**  **Bene-**  **ficiaries**  **(Jobs)**  **/ 660 hh** | **257**  **Moderate**  **Income**  **Bene-**  **ficiaries**  **(Jobs)**  **/ 254 hh** | **191**  **Low**  **Income**  **Bene-**  **ficiaries**  **(Jobs)**  **/ 186 hh** | **218**  **Very Low**  **Income**  **Bene-**  **ficiaries**  **(Jobs)**  **/ 207 hh** |
| **Total** | **Persons + Jobs** | $11,547,665.23  (Final expenditure: $11,215,756.82) |  | **28,192**  **Total Number of Beneficiaries**  **/ 11,826 hh**  **(Persons + Jobs)**  **-----------------**  **(27,331**  **Total**  **Beneficiaries**  **/**  **10,989 hh +**  **861 Jobs**  **/**  **837 hh**  **=**  **28,192**  **Beneficiaries**  **/**  **11,826 hh)**  **(Persons**  **+ Jobs)** | **16,847**  **Total LMI**  **Bene-**  **ficiaries**  **/ 7,038 hh**  **----------**  **(16,167**  **Total LMI**  **Bene-**  **ficiaries**  **/**  **6,378**  **hh + 680**  **Total LMI**  **Bene-**  **ficiaries**  **(Jobs) / 660 hh = 16,847 Bene-**  **ficiaries**  **/ 7,038 hh)**  **(Persons + Jobs)** | **5,060**  **Moderate**  **Income**  **Bene-**  **ficiaries**  **/ 2,150**  **hh**  **------------**  **(4,803**  **Moderate**  **Income**  **Bene-**  **ficiaries**  **/**  **1,896**  **hh +**  **257**  **Moderate**  **Income**  **Bene-**  **ficiaries**  **(Jobs) / 254 hh**  **=**  **5,060 Bene-**  **ficiaries**  **/ 2,150 hh)**  **(Persons + Jobs)** | **5,696**  **Low**  **Income**  **Bene-**  **ficiaries**  **/ 2,333 hh**  **-----------**  **(5,505**  **Low**  **Income**  **Bene-**  **ficiaries**  **/**  **2,147**  **hh +**  **191**  **Low**  **Income**  **Bene-**  **ficiaries**  **(Jobs) / 186 hh =**  **5,696**  **Bene-**  **ficiaries**  **/ 2,333 hh)**  **(Persons + Jobs)** | **6,077**  **Very Low**  **Income**  **Bene-**  **ficiaries**  **/ 2,543**  **hh**  **------------**  **(5,859**  **Very Low**  **Income**  **Bene-**  **ficiaries**  **/**  **2,336**  **hh +**  **218**  **Very Low**  **Income**  **Bene-**  **ficiaries**  **(Jobs) / 207 hh =**  **6,077**  **Bene-**  **ficiaries**  **/ 2,543**  **hh)**  **(Persons + Jobs)** |

ADECA also administered 197 CDBG grants that were open during the April 1, 2019-March 31, 2020 reporting period - but which grants remained open beyond the March 31, 2020 closeout date. These 197 grants are identified hereinabove in *Chart 1* through *Chart 12*. They include 4 grants that were open with Program Year 2009 funds (see *Chart 1*), 2 grants that were open with Program Year 2010 funds (see *Chart 2*), 1 grant that was open with Program Year 2011 funds (see *Chart 3*), 3 grants that were open with Program Year 2012 funds (see *Chart 4*),

1 grant that was open with Program Year 2013 funds (see *Chart 5*), 8 grants that were open with Program Year 2014 funds (see *Chart 6*), 8 grants that were open with Program Year 2015 funds (see *Chart 7*), 10 grants that were open with Program Year 2016 funds (see *Chart 8*),

35 grants that were open with Program Year 2017 funds (see *Chart 9*), 67 grants that were open with Program Year 2018 funds (see *Chart 10*), and 58 grants that were open with Program Year 2019 funds (see *Chart 11*). As stated previously herein above, of these years' 197 open CDBG grants, their primary activities are as follows:

68 involved the sewer activity,

60 involved the water activity,

24 involved the drainage activity,

56 involved the roads activity,

2 involved the downtown revitalization activity,

13 involved the demolition and clearance activity,

1 involved the construction of a railroad crossing activity,

1 involved the construction of a railroad spur activity,

1 involved the construction of a fire station activity,

3 involved the parks and recreation activity,

4 involved the building rehabilitation activity,

6 involved the residential rehabilitation activity,

5 involved the construction of a senior center activity,

2 involved the construction of a community center activity,

1 involved the purchase of a building,

1 involved the removal of architectural barriers activity,

1 involved the construction of lighting for a truck stop activity, and

3 involved the planning activity.

**HOME**: The PY2019 HOME Action Plan indicates the following priorities for the use of HOME funds:

● Projects that add to the affordable housing stock;

● Projects, which, without HOME funds, would not likely set aside units for lower income tenants; inclusive of tenants with disabilities and/or those who are homeless;

● Projects which use additional assistance through federal, state, or local subsidies;

● Projects which promote healthy living and tenant quality of life by providing tenant services such as blood pressure screening, CPR and first aid training, promoting nutrition and healthy eating, budget counseling and various other quality of life services, and

● Balanced distribution of HOME funds throughout the state in terms of geographical regions, counties, and urban/rural areas.

To address the priorities, set forth in the HOME Action Plan, AHFA has used each of the annual HOME allocations (PY92-PY19) for the production of multi-family rental housing for low-income households. All the selected projects have been new construction. The multi-family staff has made a conscious effort to not award HOME funds to duplicate cities and/or counties in the attempt to spread HOME funds geographically throughout the state.

The multi-family staff utilizes a Point Ranking System when evaluating HOME applications. Preference points are given to projects that are:

● The highest scoring project per county with ownership by an AHFA-Approved CHDO will be funded until the regulatory 15% CHDO set-aside has been met.

● The highest scoring Housing Credit project and/or HOME project combined with Housing Credits will be allocated per county until all available 2019 Housing Credits and HOME Funds have been allocated, subject to the following exception. AHFA will allocate Housing Credits to 2 projects in the same county or city only if both projects score high enough to be funded, are otherwise eligible to be funded under this HOME Action Plan, and one of the projects being considered has all of the following attributes at the time of application: (i) has received a HOME Loan from AHFA, (ii) has at least 85% occupancy, and (iii) has either (a) repaid the HOME Loan in full, or (b) has a fully executed commitment with AHFA for a 15-year extension of the debt evidenced by the outstanding HOME loan. 2019 HOME Action Plan – Addendum A – Scoring A-2

● If all available 2019 Housing Credits have been allocated and there still remains available HOME Funds, the highest scoring HOME project combined with Housing Credits may be allocated per county, subject to a future-year Housing Credit allocation.

Beyond these preferences, the projects are awarded points toward ranking as follows:

● Project Characteristics:

○ extra amenities

○ use of energy efficient / healthy living materials / appliances

○ use of low maintenance materials

○ rent affordability / rental and operating subsidies

○ set-asides for elderly

○ targeting low-income families (Individuals with children)

○ set-asides for tenants with disabilities or homeless

○ targeting households on the public housing waiting list

○ percentage of units are readily accessible for tenants with mobility or sensory impairments.

○ preservation of multifamily housing

○ project proximity to neighborhood services

○ census tract location

● Applicant Characteristics:

○ minorities or women have ownership in the project or applicant guarantees at least 10% of total building cost is awarded to minority- or women-owned businesses

○ successful experience in the development of multifamily housing

○ sound experience as managing agents of low-income multifamily housing.

Points can also be lost due to:

● Negative Neighborhood Services

● Poor Accessibility from surrounding area

● Changes to AHFA-funded projects without AHFA consent

● Uncorrected negative findings from compliance monitoring.

While these lists are not all-inclusive, they are generally representative of the process for scoring competitive applications.

All projects must comply with Alabama Housing Finance Authority’s Design Quality Standards and Construction Manual which is included in the 2019 HOME Action Plan as Addendum C and can be found at [www.ahfa.com](http://www.ahfa.com). All projects must be designed and constructed in accordance with the applicable requirements of the 2010 Americans with Disabilities Act Accessibility Guidelines, Section 504 of the Rehabilitation Act, Fair Housing Act, state and local disaster mitigation standards, 2009 or 2012 International Building Code-International Residential Code, 2009 International Energy Conservation Code for single-family homes, 2007American Society of Heating, Refrigerating and Air-conditioning Engineers 90.1 for multifamily buildings and any more restrictive local building code requirements.

The anticipated usage of HOME funds by the Alabama Housing Finance Authority is as follows:

Loans: 75%

CHDO's: 15%

Administration: 10%

Private Sector Participation:AHFA has undertaken a number of efforts to maximize the participation of the private sector in Alabama’s HOME program. Seminars, taught by AHFA’s multifamily staff, are held annually and are attended by a wide range of participants, both veteran developers and inexperienced newcomers. AHFA offers Multifamily Essentials and Community Housing Development Organization (CHDO) training sessions which are attended by industry newcomers who wish to learn more about these AFHA programs. AHFA hosted Environmental Workshops for Environmental Professionals and potential applicants which focused on the changes to the AHFA Environmental Policy Requirements. These seminars and workshops review the latest HOME regulations, go over financial feasibility studies, and explain how to complete an AHFA funding application for HOME dollars.

To promote the HOME program, AHFA staff has participated in various seminars and meetings with organizations such as:

* Alabama Affordable Housing Association
* Alabama Bankers Association
* Alabama Association of Realtors
* Alabama Multifamily Loan Consortium
* Alabama Department of Economic and Community Affairs
* Alabama Rural Coalition for the Homeless
* Alabama Continuums of Care
* Alabama Department of Mental Health
* Alabama Department of Veterans Affairs
* Collaborative Solutions
* Federal Home Loan Bank of Atlanta
* Home Builders Association of Alabama
* Housing Assistance Council
* Neighborhood Housing Services
* National Council of State Housing Agencies
* USDA Rural Development (Alabama)

Multi-family staff served as moderators and co-chairs at seminars hosted by some of these organizations and spoke to a number of groups regarding the HOME program.

AHFA plans to continue conducting HOME seminars each year. The seminars are varied each year in an effort to provide new information to participants. HOME brochures, created by AHFA staff, are available at meetings and seminars. These materials along with other resources are posted on the AHFA website, [www.ahfa.com](http://www.ahfa.com). The website contains relevant HOME and multifamily development information such as multifamily notices, program plans and application materials, program guidelines, pre/post-construction materials, developer frequently asked questions, and an opportunity for interested parties to join our mailing list. Additionally, there is a page dedicated to Development Resources and a page which provides interactive maps of projects funded by programs administered by AHFA.

**ESG**: The ESG Program did not establish statewide priorities. Priorities are determined locally by the needs identified in the point-in-time counts conducted by the continuums of care. The use of funds is determined by the needs presented in the applications for funding. During April 1, 2019, through March 31, 2020, $427,570 was spent on homelessness prevention activities; $665,944 was spent on rapid re-housing activities; $1,429,188 was spent on emergency shelter activities; and $99,588 was spent on street outreach activities from funding from Program Years 2017, 2018, and 2019. From Program Year 2019 funding, $18,271 was spent on homelessness prevention activities; $148,766 was spent on rapid re-housing activities; $263,629 was spent on emergency shelter activities; and $1,920 was spent on street outreach activities.

**HOPWA**: See Part 3 Accomplishment Data Planned Goal and Actual Out, Section 1 HOPWA Performance Planned Goal and Actual Outputs on pages 21-22 of the attached HUD-40110-D.

**HTF**: To address the priorities set forth in the HTF Allocation Plan, AHFA is using each of the annual HTF allocations to date (PY2016-PY2019) to produce rental housing for extremely low-income households. All the selected projects are new construction and must meet or exceed the HTF general requirements. AHFA utilizes a Point Ranking System when evaluating HTF applications. AHFA awards funds to the highest-scoring project until all HTF funds are allocated. In the event of a tie between two or more applications; the projects are ranked order of pre-defined tie-breakers. Scoring criterion and tie-breaker details are available in the 2019 AHFA National Housing Trust Fund Allocation Plan found at [www.AHFA.com](http://www.AHFA.com).

**CR-10 Racial and Ethnic composition of families assisted [see 24 CFR 91.520(a)]**

**Describe the families assisted (including the racial and ethnic status of families assisted [24 CFR 91.520(a)]:**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | **CDBG** | | **HOME** | | **ESG** | | **HOPWA** | **HTF** |
| **Race:** | | | | | | | | |
| 1. White | | 18,297 persons in 7,865 households | | 78 households | 3,552 | 51 | | 0 |
| 2. Black or African  American | | 11,569 persons in 4,611 households | | 20 households | 5,305 | 248 | | 0 |
| 3. Asian | | 123 persons in  51 households | | 5 households | 23 | 0 | | 0 |
| 4. American Indian  or American  Native | | 61 persons in  27 households | | 1 household | 70 | 1 | | 0 |
| 5. Native Hawaiian  or Other Pacific  Islander | | 5 persons in  2 households | | 1 household | 36 | 1 | | 0 |
| **Total:** | | 30,381 persons in 12,691 households | | 104 households | 9,632 persons\*  \*Multiple Races = 227  \*Don’t know = 89  \*Data not collected = 189 | 301 | | 0 |
| **Ethnicity:** | | | | | | | | |
| Hispanic | | 852 persons in 305 households | | 8 households | 333 persons | 5 | | 0 |
| Not Hispanic | | 29,529 persons in 12,386  households | | 96 households | 8,807 persons | 296 | | 0 |

**Narrative:**

**CDBG**: Hereinabove in *Chart 16* are the number of beneficiaries by race (for both persons and households) who were reported to ADECA as having been assisted through the expenditure of PY2009 through PY2019 CDBG funds by the local communities that received those 41 now-closed grants (which 41 closed grants are identified in *Chart 12*, *Chart 15*, *Chart 16*, and *Chart 17*). Note that local grant beneficiaries are reported to ADECA by the communities only when the grants are closed-out. Thus, the number of beneficiaries by race for both persons and households reported herein are for those CDBG grants that were open but which had closed-out between April 1, 2019 and March 31, 2020. These numbers do not include beneficiaries for any open grants that were open during the April 1, 2019 through March 31, 2020 time period, and which remained open after the March 31, 2020 closeout date.

The CDBG Program's beneficiary count includes a total of 30,381 beneficiaries residing in 12,691 households. These totals are comprised of the seven racial categories of (1) White/ Caucasian, (2) Black/African American, (3) Asian, (4) Native American Indian, (5) Native Hawaiian/Other Pacific Islander, (6) Two or More Races, and (7) Other/Mixed Race. These are the seven racial categories used by ADECA to capture the local community grant recipients' CDBG beneficiary data. But, for the breakdown of beneficiaries per the five racial categories used by HUD [those being (1) White/Caucasian, (2) Black/African American, (3) Asian, (4) Native American Indian, and (5) Native Hawaiian/Other Pacific Islander], ADECA reports that the numbers of these local beneficiaries are as follows: 30,381 persons in 12,691 households, reported as (1) 18,297 Whites/Caucasians in 7,865 households, (2) 11,569 Blacks /African Americans in 4,611 households, (3) 123 Asians in 51 households, (4) 61 Native American Indians in 27 households, and (5) 5 Native Hawaiians/Other Pacific Islanders in 2 households, (5) 175 as 2 or more races in 67 households, and (6) 150 other/multi-racial in 67 households, with 852 persons in 305 households who identified themselves as being of Hispanic ethnicity, and 29,529 persons in 12,386 households who identified themselves as being of non-Hispanic ethnicity.

**HOME**: See data in the chart at CR-10 above, and the narrative below:

AHFA requires that each developer who is awarded HOME funds certify to further affirmative marketing procedures. Elements of this certification include:

(1) the establishment of affirmative marketing procedures which effectively prohibit any exclusionary practices;

(2) compliance with the Fair Housing Act and the Age Discrimination Act of 1975;

(3) the display of the “Fair Housing” logo at the leasing or sales office;

(4) certifications by the owner, architect and builder that the property complies with Section 504;

(5) the written submission to AHFA of plans to solicit applications of persons who are unlikely to apply without special outreach; and

(6) the maintenance and annual submission to AHFA of a list of characteristics of tenants renting HOME-assisted units.

**ESG**: The ESG Program served 3,552 White persons; 5,305 Black or African American persons; 23 Asian persons; 70 American Indian or Alaska Native persons; and 36 persons of Native Hawaiian or Other Pacific Island descent. A total of 8,807 non-Hispanic persons were assisted, and 333 Hispanic persons were assisted.

**HOPWA**: See Part 7 Summary Overview of Grant Activites, Section 1 HOPWA- Eligible Individuals Who Received HOPWA Housing Subsidy Assistance on pages 32-35 of the attached HUD-40110-D for households’ prior living situation, veteran status, chronically homeless status, age, gender, race, ethnicity, and percentage of area median income.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019 through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period.

**CR-15 Resources and Investments [see 24 CFR 91.520(a)]**

**Identify the resources made available.**

|  |  |  |
| --- | --- | --- |
| **Source of Funds** | **Resources Made Available** | **Amount Expended During Program Year**  **\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **Program Year 2019** |
| CDBG | PY2009: $26,234,736.81  PY2010: $28,111,805.39  PY2011: $23,604,977.00  PY2012: $20,526,279.79  PY2013: $22,187,337.59  PY2014: $22,177,726.18  PY2015: $20,878,135.91  PY2016: $20,369,454.78  PY2017: $16,320,633.55  PY2018: $13,545,420.15  PY2019: $53,468.20  **TOTAL: $214,009,975.35** | PY2009: $139,862.81  PY2010: $47,606.99  PY2011: $0.00  PY2012: $43,393.25  PY2013: $67,204.18  PY2014: $688,930.83  PY2015: $687,254.51  PY2016: $658,923.29  PY2017: $8,137,657.54  PY2018: $13,244,086.54  PY2019: $53,468.20  **TOTAL: $23,768,388.14** |
| HOME | PY2014: $893,710  PY2015: $2,565,537 PY2016: $6,402,006  PY2017: $8,096,341  PY2018: $11,817,675  PY2019: $10,426,124  **TOTAL: $40,201,393** | PY2014: $893,710 PY2016: $1,218,210  **TOTAL: $2,111,920** |
| HOPWA | PY2018: $1,975,929.00  PY2019: $523,415.08  **TOTAL: $2,499,344.08** | PY2018: $1,080,834.91  PY2019: $523,415.08  **TOTAL: $1,604,249.99** |
| ESG | PY2017: $2,754,579.00  PY2018: $2,120,629.24  PY2019: $57,885.60  **TOTAL: $4,933,093.84** | PY2017: $769,490.54  PY2018: $2,093,530.68  PY2019: $57,885.60  **TOTAL: $2,920,906.82** |
| Housing Trust Fund – HTF | PY2016: $3,000,000  PY2017: $3,000,000  PY2018: $3,000,000  PY2019: $3,000,000  **TOTAL: $12,000,000** | $0.00 Disbursed  **TOTAL: $0.00** |
| Other:  CDBG-DR | 2012 CDBG-DR: $24,190,354.99  2013 CDBG-DR: $47,779,687.58  **TOTAL: $71,970,042.57** | 2012 CDBG-DR: $217,024.70  2013 CDBG-DR: $991,716.04  **TOTAL: $1,208,740.74** |

**Narrative.**

**CDBG**: For the CDBG Program, ADECA reports that it expended a total of $23,768,388.14 in CDBG funds during the April 1, 2019-March 31, 2020 reporting period. This amount included $613,627.72 in funds drawn down for ADECA’s own program administration and technical assistance expenses, plus $23,154,760.42 in funds drawn down for PY2009-PY2019 CDBG grant payments to units of local government. For only the PY2019 CDBG funds allocated to ADECA:

**Amount of Program Year 2019 CDBG Funds (for PY2019 funds ONLY):**

1. Financial Status:

A. Total Funds:

1. PY2019 CDBG Allocation: $22,938,818

2. Program Income as of March 31, 2020: $162,780.42

B. Amount Obligated to Recipients: $17,996,507.85

C. Amount Drawn Down: $0.00

D. Amount for State Administration: $558,776.00 (+ $4,883.41 from

Program Income)

E. Technical Assistance: $229,388.00

F. Section 108 Loan Guarantee Payments: $0.00

2. Three National Objectives:

A. Period Specified for Benefit: April 1, 2019 to March 31, 2020

B. Amounts Used to:

1. Benefit to Low/Moderate Income Persons: At least 70% of the

PY2019 CDBG allocation is to be used to benefit persons

of low and moderate income.

2. Prevent/Eliminate Slums/Blight and

3. Meet Urgent Community Development Needs: Apart from

CDBG funds used to pay for Administration and Technical

Assistance, the remaining funds are to be used for the

national objectives of “Preventing/Eliminating Slums and Blight” and “Meeting Urgent Community Development Needs.”

Also, ADECA administered and closed-out 41 grants that were open/active during the April 1, 2019-March 31, 2020 reporting period, but that were closed-out as of March 31, 2020. Those 41 closed-out grants expended CDBG funds from Program Years 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, and 2018, and are identified hereinabove in *Chart 12*. The final amount of expended funds from those 41 closed grants equals $11,547,665.23 (see *Chart 12*).

Additionally, ADECA also administered 197 CDBG grants that were open during the April 1, 2019-March 31, 2020 reporting period, and which remained open beyond the March 31, 2020 closeout date. These 197 grants are identified hereinabove in *Chart 1* through *Chart 11*. They include 4 grants that were open with Program Year 2009 funds (see *Chart 1*), 2 grants that were open with Program Year 2010 funds (see *Chart 2*), 1 grant that was open with Program Year 2011 funds (see *Chart 3*), 3 grants that were open with Program Year 2012 funds (see *Chart 4*), 1 grant that was open with Program Year 2013 funds (see *Chart 5*), 8 grants that were open with Program Year 2014 funds (see *Chart 6*), 8 grants that were open with Program Year 2015 funds (see *Chart 7*), 10 grants that were open with Program Year 2016 funds (see *Chart 8*), 35 grants that were open with Program Year 2017 funds (see *Chart 9*), 67 grants that were open with Program Year 2018 funds (see *Chart 10*), and 58 grants that were open with Program Year 2019 funds (see *Chart 11*). The CDBG funds available for expenditure included (1) $528,162.63 remaining from PY2009, (2) $153,889.60 remaining from PY2010, (3) $2,521.00 remaining from PY2011, (4) $867,946.21 remaining from PY2012, (5) $200,000 remaining from PY2013, (6) $1,373,598.62 remaining from PY2014, (7) $2,368,257.61 remaining from PY2015 funds, (8) $3,308,379.75 in PY2016 funds, (9) $11,287,188 in PY2017 funds, (10) $20,360,558.65 in PY2018 funds, and (11) $18,714,219 in PY2019 funds. Thus, according to *Chart 1* through *Chart 11*, there was a total of $59,164,721.07 of awarded grant funds that remained available to be expended by the 197 open grants - that remained open beyond March 31, 2020. According to *Chart 1* through *Chart 11*, that amount is detailed as follows:

**Resources Made Available (April 1, 2019 through March 31, 2020):**

*Chart 1*: PY2009: $528,162.63

*Chart 2*: PY2010: $153,889.60

*Chart 3*: PY2011: $2,521.00

*Chart 4*: PY2012: $867,946.21

*Chart 5*: PY2013: $200,000

*Chart 6*: PY2014: $1,373,598.62

*Chart 7*: PY2015: $2,368,257.61

*Chart 8* PY2016: $3,308,379.75

*Chart 9*: PY2017: $11,287,188

*Chart 10*: PY2018: $20,360,558.65

*Chart 11*: PY2019: $18,714,219

**TOTAL: $59,164,721.07**

**HOME**: AHFA’s total resources available during Program Year 2019 reporting period equal $40,201,339. AHFA drew down $0.00 from its allocation of PY2019 HOME funds during the reporting period, and this is due to the process whereby AHFA only draws down its HOME funds once its obligated projects are completed – which, for PY2019 HOME funds, will be approximately two years from when the PY2019 HOME allocation was awarded.

**ESG**: The ESG Program’s subrecipients reported that their expenditures for the April 1, 2019-March 31, 2020 reporting period included expenditures from Program Years 2017, 2018, and 2019, and totaled $2,920,906.82, calculated as follows: $769,490.54 was drawn from PY2017 funds; $2,093,530.68 was drawn from PY2018 funds; and $57,885.60 was drawn from PY2019 funds.

**HOPWA**: AIDS Alabama reports that its expenditures for the April 1, 2019 -March 31, 2020 reporting period totaled $1,604,249.99 in HOPWA funds calculated as follows: $1,080,834.91 was drawn from PY2018 funds; and $523,415.08 was drawn from PY2019 funds. During this program year, a total of 304 qualified consumers living with HIV and 97 other household members received direct housing assistance through this funding. Over 43,139 legs of transportation were provided to more than 746 unduplicated households, which is more than the proposed goal of 20,000 legs of client transports. HOPWA funding provided supportive services for 7,904 unduplicated households throughout the State. Contracts with seven additional AIDS Service Organizations (ASOs) assisted AIDS Alabama in meeting this goal. Efforts to disseminate HIV-specific housing and resource information were successful during this program year; more than 5,926 unduplicated households were reached.

**HTF**: AHFA drew down $0.00 from its allocation of PY2019 HTF funds during the reporting period, and this is due to the process whereby AHFA only draws down its HTF funds once its obligated projects are completed – which, for PY2019 HTF funds, will be approximately two years from when the PY2019 HTF allocation was awarded.

**CDBG-DR**: For the CDBG Disaster Program, as identified in *Chart 13* and *Chart 14*, ADECA administered 5 CDBG Disaster Grants awarded using $12,333,376 from the State’s PY2012 CDBG Disaster Grant Award allocation amount of $24,697,966.00, and ADECA administered 4 CDBG Disaster Grants awarded using $15,868,975 from the State’s PY2013 CDBG Disaster Grant Award allocation amount of $49,157,000.00. This yielded 9 disaster grants that were open/active during the April 1, 2019-March 31, 2020 reporting period. The information below provides a more detailed picture of ADECA’s specific expenditures of the PY2012 and PY2013 CDBG Disaster Grant funds during PY2019:

**Resources Made Available (2012 and 2013 allocations):**

*Chart 13*: 2012 CDBG-DR Grant Award: $24,697,966.00

*Chart 14*: 2013 CDBG-DR Grant Award: $49,157,000.00

**TOTAL: $73,854,966.00**

**Amount Expended During Program Year 2019 (April 1, 2019 through March 31, 2020):**

2012 CDBG-DR Amount Expended: $215,120.17

2012 CDBG-DR Administration: $1,904.53

Total 2012 CDBG-DR: $217,024.70

2013 CDBG-DR Amount Expended: $956,652.09

2013 CDBG-DR Administration: $35,063.95

Total 2013 CDBG-DR: $991,716.04

**TOTAL: $1,208,740.74**

**Identify the geographic distribution and location of investments.**

|  |  |  |  |
| --- | --- | --- | --- |
| **Target Area** | **Planned Percentage of Allocation** | **Actual Percentage of Allocation** | **Narrative Description** |
| Alabama – CDBG | 100% | 100% | See "CDBG" below |
| State of Alabama – HOME | 100% | 100% | See "HOME" below |
| State of Alabama - statewide – ESG | 100% | 100% | See "ESG" below |
| State of Alabama statewide – HOPWA | 100% | 100% | See "HOPWA" below |
| State of Alabama statewide – HTF | 100% | 100% | See "HTF" below |

**Narrative.**

**CDBG**: For the CDBG Program, ADECA administered and closed-out 41 grants that were open/active during the April 1, 2019-March 31, 2020 CAPER reporting period, but that were closed-out as of March 31, 2020. Those 41 closed-out grants expended CDBG funds from Program Years 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019, and are identified hereinabove in *Chart 12*. The geographic distribution and location of investments for these 41 grants are identified within *Chart 12* under the column therein entitled “Subrecipient / Local Community Name.”

ADECA also administered 197 CDBG grants that were open during the April 1, 2019-March 31, 2020 reporting period, and which grants remained open beyond the March 31, 2020 closeout date. These 197 grants are identified hereinabove in *Chart 1* through *Chart 11*. They include 4 grants that were open with Program Year 2009 funds (see *Chart 1*), 2 grants that were open with Program Year 2010 funds (see *Chart 2*), 1 grant that was open with Program Year 2011 funds (see *Chart 3*), 3 grants that were open with Program Year 2012 funds (see *Chart 4*),

1 grant that was open with Program Year 2013 funds (see *Chart 5*), 8 grants that were open with Program Year 2014 funds (see *Chart 6*), 8 grants that were open with Program Year 2015 funds (see *Chart 7*), 10 grants that were open with Program Year 2016 funds (see *Chart 8*), 35 grants that were open with Program Year 2017 funds (see *Chart 9*), 67 grants that were open with Program Year 2018 funds (see *Chart 10*), and 58 grants that were open with Program Year 2019 funds (see *Chart 11*). The geographic distribution and location of investments for these 197 open grants are identified within *Chart 1* through *Chart 11* under the column within those charts entitled “Subrecipient / Local Community Name.”

The CDBG funds available for expenditure included (1) $528,162.63 remaining from PY2009, (2) $153,889.60 remaining from PY2010, (3) $2,521.00 remaining from PY2011, (4) $867,946.21 remaining from PY2012, (5) $200,000 remaining from PY2013, (6) $1,373,598.62 remaining from PY2014, (7) $2,368,257.61 remaining from PY2015 funds, (8) $3,308,379.75 in PY2016 funds, (9) $11,287,188 in PY2017 funds, (10) $20,360,558.65 in PY2018 funds, and (11) $18,714,219 in PY2019 funds. Thus, according to *Chart 1* through *Chart 11*, there was a total of **$59,164,721.07** of awarded grant funds available to be expended by the 197 open grants (that remained open beyond March 31, 2020).

Thus ADECA, as the State grant administrator for Alabama's CDBG Program, allocates 100% of its annual CDBG award through:

(1) awarding the grant funds to eligible units of local government via the County Fund, Large City Fund, Small City Fund, Economic Development Fund, Planning Fund, and Community Enhancement Fund (see the geographic distribution and location of investments for the current 197 open grants in *Chart 1* through *Chart 11* and for the 41 closed grants in *Chart 12* under the column within those charts entitled “Subrecipient / Local Community Name”);

(2) expenditure of the State Administration portion of the grant funds on ADECA's grant administration work; and

(3) expenditure of the State's Technical Assistance portion of the grant funds.

Also, throughout each program year, any grant funds that are returned to ADECA can be coupled with the current year's grant funds so that ADECA is able to award additional CDBG grants/grant funds to local communities.

Such returned grant funds include:

(1) any unexpended grant funds from local communities after their projects have concluded (for example, because the project came in under budget, or because the project was amended to reduce the amount of grant funds necessary to complete the project);

(2) any grant funds repaid by local communities after their projects were terminated (for example, due to the lack of progress on their construction or infrastructure projects, or due to a lack of job creation as a result of their economic development projects); and

(3) any grant funds returned by local communities for various/other reasons (such as funds considered to be program income that was earned by a local community in the amount of $100 or more having to be paid to ADECA).

Thus, ADECA is able to re-allocate / re-award those grant funds recouped from previous grant years when such funds are unexpended, returned to, and/or paid to the State (such as program income funds), and wherein such funds are coupled with a current year's remaining grant funds in the form of grant awards made to additional local government applicants so as to obligate (and ultimately expend) as many of the available grant funds as possible. And the State annually verifies the allocation of these funds through the submission of:

(1) a twelve-month timeliness report that is sent to HUD (within 12 months of the State’s receipt of the annual CDBG grant award) to validate that 95% of the current year's grant funds have been obligated within twelve months of Alabama's Governor signing the HUD contract/grant award; and

(2) a fifteen-month timeliness report that is sent to HUD (within 15 months of the State’s receipt of the annual CDBG grant award) to validate that 100% of the current year's grant funds have been obligated within fifteen months of Alabama's Governor signing the HUD contract/grant award.

For the State of Alabama’s PY2015 CDBG grant funds, ADECA sent the twelve-month timeliness report to HUD on September 2, 2016, and ADECA sent the fifteen-month timeliness report to HUD on December 2, 2016.

For the State of Alabama’s PY2016 CDBG grant funds, ADECA sent the twelve-month timeliness report to HUD on September 21, 2017, and ADECA sent the fifteen-month timeliness report to HUD on September 21, 2017 and again on February 16, 2018.

For the State of Alabama’s PY2017 CDBG grant funds, ADECA sent the twelve-month timeliness report to HUD on on November 7, 2018, and ADECA sent the fifteen-month timeliness report to HUD on February 11, 2019.

For the State of Alabama’s PY2018 CDBG grant funds, ADECA sent the twelve-month timeliness report to HUD on September 30, 2019, and ADECA sent the fifteen-month timeliness report to HUD on December 20, 2020.

And for the State of Alabama’s PY2019 CDBG grant funds, on September 17, 2019, ADECA received from HUD the State of Alabama’s PY2019 CDBG grant award, dated September 12, 2019. Alabama’s Governor Kay Ivey signed the grant award documents on October 21, 2019, and ADECA returned the signed grant award to HUD on October 23, 2019. ADECA’s twelve-month timeliness report will be submitted to HUD in October 2020, and ADECA’s fifteen-month timeliness report will be submitted to HUD in January 2021.

**HOME**: The highest scoring Housing Credit project and/or HOME project combined with Housing Credits will be allocated per county until all available 2019 Housing Credits and HOME Funds have been allocated, subject to the following exception. AHFA will allocate Housing Credits to 2 projects in the same county or city only if both projects score high enough to be funded, are otherwise eligible to be funded under this HOME Action Plan, and one of the projects being considered has all of the following attributes at the time of application: (i) has received a HOME Loan from AHFA, (ii) has at least 85% occupancy, and (iii) has either (a) repaid the HOME Loan in full, or (b) has a fully executed commitment with AHFA for a 15-year extension of the debt evidenced by the outstanding HOME loan.

Since the inception of the HOME program, techniques such as these have yielded the creation of new apartment communities in every county in the state. For PY2019, HOME Projects were awarded in the following Alabama counties: DeKalb, Escambia, Mobile, Pike, Tallapoosa, Houston, and Tuscaloosa.

**ESG**: Funds from the ESG Program were expended statewide. $47,552.25 of PY2019 funds were expended by the subrecipients during the reporting period; 1.8% of PY2019 funds along with PY2017 and PY2018 funds were expended by local units of government and private nonprofit organizations to assist 9,632 homeless persons.

**HOPWA**: The HOPWA Program is dedicated to a statewide system of responding to the needs of low-income, HIV-positive Alabamians. The AIDS Service Organization Network of Alabama (ASONA), a unique body comprised of leadership from each of the nine AIDS Service Organizations, allows for complete coverage of the entire state. As the lead agency for HIV-specific housing, AIDS Alabama coordinates a collaborative effort to provide housing assistance and supportive services to each of the 67 counties. Statewide funding is allocated through a competitive process between ASOs each grant period with the commitment to provide equitable resources to all eligible HIV-positive persons.

**HTF**: No more than one (1) new construction project is awarded within a county in any given competitive cycle.

**Leveraging.** Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the state that were used to address the needs identified in the plan.

**CDBG**: The State leverages its annual allocation of CDBG funds with the applicant local governments' matching funds if those respective local governments are able to commit their own financial resources to their CDBG projects. The State encourages its non-entitlement local governments to contribute their own funds in the CDBG application process, even if the project is a joint project to be administered via a collaboration between two participating jurisdictions.

This satisfaction of local matching funds requirements is explained in the CDBG Program's One-Year Annual Action Plans and in Alabama's 2015-2019 Five-Year Consolidated Plan. It is also explained in ADECA’s annual CDBG Grant Application Manual (available on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov)). More specifically, this Application Manual, produced annually by ADECA, discusses local matching funds (in the CDBG Program annual plan) at Tab 1, Tab 2 (which discusses Policy Letter 24 and administrative costs as local match), Tab 3 (which discusses Policy Letter 18 and hook-ups as local match), Tab 5 (which discusses local match in the application guide for Small City, Large City, and County Funds), Tab 6 (which discusses local match in the application guide for Community Enhancement Funds), Tab 7 (which discusses local match in the application guide for Planning Funds), and Tab 8 (which discusses local match in the application guide for local Economic Development Infrastructure Funds).

Alabama's matching funds requirement was satisfied as follows. ADECA divides its annual CDBG allocation into nine sections or "Funds": (1) the County Fund, (2) the Large City Fund (for cities with a population of 3,001 or more residents), (3) the Small City Fund (for cities and towns with a population of 3,000 or fewer residents), (4) the Community Enhancement Fund, (5) the Planning Fund, (6) Economic Development Grants (ED Grants), (7) ED Incubator Projects, (8) ED Loans, and (9) ED Float Loans.

1. For ADECA's County Fund, Large City Fund, and Small City Fund, up to 20 points on a local community's CDBG grant application score were made available to those communities that provided a local matching funds amount to expend with/as part of their local CDBG-funded projects. These points were awarded by ADECA based on the percent of local funds divided by (/) the total amount of requested CDBG dollars, as follows: 2 points were awarded for a 1 percent match, 4 points were awarded for a 2 percent match, and so on, up to 20 points being awarded for a 10 percent (10%) match. In those jurisdictions that were determined by the 2010 Census to have 1,000 or less persons, ADECA did not require that those local communities include a local matching amount as part of their respective project's budget, so the full 20 points was awarded to those particular jurisdictions' grant applications in the County Fund, Large City Fund, and Small City Fund categories.

2. For ADECA's Community Enhancement Fund, ADECA required a specific local match equal to or exceeding 10 percent of the amount of CDBG funds that the local community was requesting in its grant application. But for those jurisdictions determined by the 2010 Census to have 1,000 or less persons, no match was required when the local community applicant lacked the financial capacity to provide the matching funds for its respective project.

3. For ADECA's Planning Fund, ADECA required the local community applicant to provide a cash match of 20 percent of the project's cost. But for those jurisdictions determined by the 2010 Census to have 1,000 or less persons, no match was required when the local community applicant lacked the financial capacity to provide the matching funds for its respective project.

4. For ADECA's ED Grants, those projects were required to include a local match of at least 20 percent of the amount requested in the local community's ED Grant application. But in a jurisdiction that was determined by the 2010 Census to have 1,000 or less persons, no match was required if the local community applicant lacked the financial capacity to provide the match.

5. For ADECA's ED Incubator Projects, ADECA considered various factors when evaluating the worthiness of those local proposals. One such factor was whether or not the local community provided evidence of local support (financial, professional, or other) to use as local match for the intended project.

6. For ADECA's ED Loans, ADECA reviewed local applications for ED Loans to determine whether or not they conformed with the thresholds and other factors, to include any leverage ratio (private dollars put into the project as compared to CDBG dollars).

7. For ADECA's ED Float Loans, ADECA reviewed local applications for ED Float Loans via a thorough review of the proposed project. All funding decisions were based on factors that included loan security - in the form of an irrevocable letter of credit and/or other security deemed acceptable by the State.

ADECA also maintains several CDBG administrative policy letters that are used as guidance to assist the local governments in complying with their local matching funds requirements. The applicable policy letters are as follows:

● Policy Letter 3 - Revision 3, dated April 1, 2013 and May 15, 2013, allows local communities to use man-hours and equipment usage as local match for their projects.

● Policy Letter 8 - Revision 3, dated October 1, 2008, mandates that all local cash matching funds and in-kind matching contributions must be expended on a proportionate basis to the expenditure of CDBG funds drawn-down on the project.

● Policy Letter 18 - Revision 4, dated February 27, 2003, and its Attachment also dated February 27, 2003, allow local communities to include as their local match the cost of hook-ups of low- and moderate-income residences to water and sewer lines in the project area when it will serve a public purpose.

● Policy Letter 24, dated September 22, 1998, allows local communities to count as the local match the local government employees' time/pay and equipment expended on administrative work and/or construction work on the project.

These and all of ADECA's CDBG Program Policy Letters can be accessed on the ADECA website (www.adeca.gov) at http://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Policy.

**HOME**: To encourage developers to seek and secure additional subsidies to support (or leverage) a development, AHFA awards points as part of the competitive cycle to applications providing documentation of secured funding commitments from other reputable and vetted funding sources. These other funding sources may include local (city or county) funds, low-income housing tax credits, historic tax credits, Federal Home Loan Bank, loan consortia, for profit or non-profit foundations, etc. All additional funding sources must be vetted and approved by AHFA prior to points being given in a competitive funding cycle, therefore, sources should be introduced by interested parties during the Public Comment period for the Annual HOME Action Plan preceding the competitive cycle.

The matching requirement for the HOME Program is met with Alabama Housing Finance Authority’s Habitat for Humanity Loan Purchase Program and Down Payment Assistance Program. Qualifying Habitat for Humanity Loans are purchased at 0% interest and the calculated foregone interest for the life of loans purchased within the fiscal year is totaled annually and adjusted for any loan payoffs or foreclosures which are applicable. Additionally, the sweat equity and volunteer labor hours contributed by each Habitat home owner is calculated and totaled annually as donated contributions for the loans purchased within the fiscal year. The foregone interest calculated from loans obtained during the fiscal year using AHFA’s Down Payment Assistance Program is calculated annually and adjusted for any loan payoffs or foreclosures which are applicable. These calculated values combine to contribute to the HOME match requirement for Alabama. The HOME Match Liability amount (reported by fiscal year by HUD) for Alabama in FY2019 is $899,893. The HOME Match Contribution for Alabama for FY2019 totals $433,468. AHFA has an excess bank of uncommitted contributions from past years of HOME Match Contributions which totals $4,771,724.

**ESG**: A total of $537,376 of matching funds for Program Year 2019 was committed to the project. Matching funds included cash from private sources, fundraising events, and charitable organizations. In addition to cash, matching funds included in-kind donations such as rent for office space, case management services, and volunteer services. For Program Years 2017, 2018, and 2019, a total of $2,817,392 was applied as match.

**HOPWA**: The HOPWA Program’s Project Sponsor, AIDS Alabama coordinates with the City of Birmingham Community Development, Birmingham AIDS Outreach, Five Horizons, Formerly known as West Alabama AIDS Outreach, Unity Wellness Center, Thrive Alabama, formerly known as AIDS Action Coalition, Health Service Center, AIDS Alabama South, Selma AIR, Medical AIDS Outreach, Aletheia House, One Roof, Alabama Rural Coalition for the Homeless, Ryan White Consortium, Family Clinic at UAB, 1917 Clinic at UAB, Jefferson County Health Department, JBS Mental Health/Mental Retardation Authority, United Way of Central Alabama, United Way of Southwest Alabama, Alabama Department of Economic and Community Affairs (ADECA), Alabama Department of Public Health (ADPH), Alabama Department of Mental Health, AIDS Service Organization Network of Alabama (ASONA), and other state and local social service agencies as needed to leverage funding provided through Alabama’s HOPWA program.

The following describes how federal, state, and local public/private resources will be used to address the identified consumer needs:

● HOPWA entitlement funds are provided through the State of Alabama and the City of Birmingham and address the housing and supportive services needs of the HIV population by funding programs for rental assistance, supportive services such as case management and transportation, housing identification, and operations.

● HOPWA competitive grants fund the statewide HIV housing in the rural areas of the state plus the operation of a service-enriched facility for consumers dually diagnosed with severe mental illness and HIV.

● The Continuum of Care Program funds provide permanent housing for chronically homeless persons, permanent housing for trans-identified persons, and rapid re-housing for homeless persons including homeless youth.

● The Shelter-Plus Care Program, administered by the Jefferson County Housing Authority, provides permanent housing vouchers. In order to access these vouchers, partnering agencies must provide supportive services funded through other sources for the duration of the client’s use of the voucher.

● Section 811 housing provides permanent housing and a rental subsidy for qualified disabled persons.

● Part B Ryan White funding provides emergency financial assistance, insurance continuation, case management, and other needed services to persons living with HIV disease.

● The Centers for Disease Control (CDC) and the Alabama Department of Public Health support education, testing, behavioral interventions, and post-test education services.

● The State of Alabama, the City of Birmingham, and Jefferson County administer Emergency Solutions Grant funding.

● Enroll Alabama, a division of AIDS Alabama, has provided Insurance Marketplace navigation services for eligible persons.

● The City of Birmingham provides funds to AIDS Alabama to support HIV programs.

● The Community Foundation of Birmingham provides funds to support HIV programs.

● AIDS Alabama has made a concerted effort and has been successful in obtaining funds from other private corporations and foundations. The agency has also been able to significantly increase the amount of in-kind services from volunteers and donations from companies and individuals.

● Pharmaceutical companies have been supportive of educational and event-based services.

**HTF**: Leveraging from other non-federal resources is required to make HTF projects financially feasible. Leveraging with AHFA approved (vetted) sources is encouraged.

There is no MATCH requirement for HTF.

**Fiscal Year Summary - HOME Match**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| 1. Excess match from prior Federal fiscal year | | | | | | | **$5,238,148** | | |
| 2. Match contributed during current Federal fiscal year | | | | | | | **$433,468** | | |
| 3. Total match available for current Federal fiscal year (Line 1 plus Line 2) | | | | | | | **$5,671,616** | | |
| 4. Match liability for current Federal fiscal year | | | | | | | **$899,893** | | |
| 5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4) | | | | | | | **$4,771,724** | | |
| **Match Contribution for the Federal Fiscal Year** | | | | | | | | | |
| **Project Number or Other ID** | **Date of Contribution (mm/dd/yyyy)** | **Cash (non-Federal Sources)** | **Foregone Taxes, Fees, Charges** | **Appraised Land / Real Property** | **Required Infra-structure** | **Site Preparation, Construction Materials, Donated Labor** | **Bond Financing** | **Total Match** | **Action** |
| 1540700074 | 1/25/2019 |  | $48,681.48 |  |  | $11,330.00 |  | $60,011.48 |  |
| 1541000080 | 3/15/2019 |  | $22,991.02 |  |  | $11,000.00 |  | $33,991.02 |  |
| 1541700077 | 3/22/2019 |  | $59,246.42 |  |  | $26,510.00 |  | $85,756.42 |  |
| 1540700075 | 4/17/2019 |  | $30,405.95 |  |  | $4,110.00 |  | $34,515.95 |  |
| 1541300082 | 5/5/2019 |  | $38,359.75 |  |  | $21,000.00 |  | $59,359.75 |  |
| 1541700081 | 6/13/2019 |  | $72,811.47 |  |  | $32,230.00 |  | $105,041.47 |  |
| 1540800083 | 9/16/2019 |  | $40,341.93 |  |  | $14,450.00 |  | $54,791.93 |  |

**HOME MBE/WBE Report**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Program Income** - Enter the program income amounts for the reporting period | | | | | | | | | | | | | | | | |
| Balance on hand at beginning of reporting period  $12,630,152 | | | Amount received during reporting period  $5,459,939 | | | Total amount expended during reporting period  $183,722 | | | | | Amount expended for TBRA  $0 | | | | Balance on hand at end of reporting period  $17,906,369 | |
| **Minority Business Enterprises and Women Business Enterprises** - Indicate the number and dollar value of contracts for HOME projects completed during the reporting period | | | | | | | | | | | | | | | | |
|  | **Total** | | | **Minority Business Enterprises** | | | | | | | | | | | | |
|  |  | | | Alaskan Native or American Indian | | | | Asian or Pacific Islander | | Black Non-Hispanic | | | | Hispanic | | White Non-Hispanic |
| **Contracts:** Number | 0 | | | 0 | | | | 0 | | 0 | | | | 0 | | 0 |
| Dollar Amount | $0 | | | $0 | | | | $0 | | $0 | | | | $0 | | $0 |
| **Sub-Contracts:** Number | 1 | | | 0 | | | | 0 | | 0 | | | | 1 | | 0 |
| Dollar Amount | $48,791 | | | $0 | | | | $0 | | $0 | | | | $48,791 | | $0 |
|  | **Total** | | | **Women Business Enterprises** | | | | **Male** | | | | | | | | |
| **Contracts:** Number | 0 | | | 0 | | | | 0 | | | | | | | | |
| Dollar Amount | $0 | | | $0 | | | | $0 | | | | | | | | |
| **Sub-Contracts:** Number | 12 | | | 12 | | | | 0 | | | | | | | | |
| Dollar Amount | $1,679,902 | | | $1,679,902 | | | | $0 | | | | | | | | |
| **Minority Owners of Rental Property** - Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted | | | | | | | | | | | | | | | | |
|  | **Total** | | | **Minority Property Owners** | | | | | | | | | | | | |
|  |  | | | Alaskan Native or American Indian | | | Asian or Pacific Islander | | Black Non-Hispanic | | | | Hispanic | | White Non-Hispanic | |
| Number | 0 | | | 0 | | | 0 | | 0 | | | | 0 | | 0 | |
| Dollar Amount | $0 | | | $0 | | | $0 | | $0 | | | | $0 | | $0 | |
| **Relocation and Real Property Acquisition** - Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition | | | | | | | | | | | | | | | | |
|  | | | | | **Number** | | | | | | | **Cost** | | | | |
| Parcels Acquired | | | | | 0 | | | | | | | $0 | | | | |
| Businesses Displaced | | | | | 0 | | | | | | | $0 | | | | |
| Nonprofit Organizations Displaced | | | | | 0 | | | | | | | $0 | | | | |
| Households Temporarily Relocated, not Displaced | | | | | 0 | | | | | | | $0 | | | | |
| **Households Displaced** | | **Total** | | **Minority Business Enterprises** | | | | | | | | | | | | |
|  | |  | | Alaskan Native or American Indian | | | Asian or Pacific Islander | | Black Non-Hispanic | | | | Hispanic | | White Non-Hispanic | |
| Number | | 0 | | 0 | | | 0 | | 0 | | | | 0 | | 0 | |
| Cost | | $0 | | $0 | | | $0 | | $0 | | | | $0 | | $0 | |

**HOME**: In an effort to further the inclusion of minorities in Alabama’s HOME program, AHFA has established an allocation plan which awards preference points to developers who will pledge to commit at least 10% of their material and service contracts to Minority Business Enterprises (MBEs) or Women’s Business Enterprises (WBEs). The MBEs or WBEs may include real estate firms, construction firms, building material suppliers, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, providers of legal services, or other related entities. AHFA has developed a report that the developer completes prior to the HOME loan closing which indicates minority and/or women owned businesses used on the HOME project. In addition, all developers who are awarded HOME funds must certify that their projects will comply with the Equal Opportunity, Fair Housing, and Affirmative Marketing laws.

**HTF**: No HTF projects were completed within the PY2019 reporting period.

**CR-20 Affordable Housing [see 24 CFR 91.520(b)]**

Evaluation of the State's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

|  |  |  |
| --- | --- | --- |
| **Number of Households to be supported:** | **One-Year Goal**  **Persons** | **Actual**  **Persons** |
| **Homeless** | 250 (reported by ESG) | 576 (reported by ESG) |
| **Non-homeless** | 284 (reported by HOME) | 104 (reported by HOME) |
| **Special-needs** | 15 (reported by HOME) | 6 (reported by HOME) |
| **Total** | 250 + 284 + 15 =  549 | 576 + 104 + 6 =  686 |

|  |  |  |
| --- | --- | --- |
| **Number of Households supported through:** | **One-Year Goal**  **Households** | **Actual**  **Households** |
| **Rental Assistance** | 180 (reported by HOPWA) | 109 (reported by HOPWA) |
| **The Production of New Units** | 284 (reported by HOME) | 104 (reported by HOME) |
| **Rehab of Existing Units** | 370 (reported by CDBG) | 20 (reported by CDBG) |
| **Acquisition of Existing Units** | 0 | 0 |
| **Total** | 180 + 284 + 370 + 0 = 834 | 109 + 104 + 20 + 0 = 233 |

**Discuss the difference between goals and outcomes, and problems encountered in meeting these goals.**

**CDBG**: Each year, ADECA’s CDBG Program allocates funds to projects designed to create suitable living environments by (1) improving the availability of local government services (through water, sewer, and road improvements), (2) promoting and improving the sustainability of viable communities (through development of parks, senior centers, fire stations, and other community enhancement projects), and (3) improving accessibility to and sustainability of fair housing through improved housing affordability and created economic opportunities (through housing rehabilitation and demolition, local planning, and economic development projects for job-creation and job-retention). The State's CDBG funds are not expended to "directly provide" affordable housing to citizens. If and when CDBG funds are expended on "affordable housing," then such local government CDBG projects are for residential rehabilitation in designated areas within those local communities. Thus, the CDBG Program’s progress in providing affordable housing would only be applicable to CDBG-funded local government residential rehabilitation projects.

Of the 197 CDBG grants that were open during the April 1, 2019-March 31, 2020 reporting period identified hereinabove in *Chart 1* through *Chart 11*, there were 6 open CDBG grants to local communities which expended their funds on residential rehabilitation. These 6 open grants are as follows:

● *Chart 6 -* PY2014:

City of Hobson City: $350,000 CDBG grant for Residential Rehabilitation

● *Chart 8 -* PY2016:

Town of Epes: $350,000 CDBG grant for Residential Rehabilitation

● *Chart 10 -* PY2018:

City of Eufaula: $450,000 CDBG grant for Residential Rehabilitation

Montgomery County: $350,000 CDBG grant for Residential Rehabilitation

● *Chart 11 -* PY2019:

City of Abbeville: $350,000 CDBG grant for Residential Rehabilitation

Town of Kinston: $350,000 CDBG grant for Residential Rehabilitation

However, because local CDBG-funded projects report to ADECA their beneficiary numbers only when the respective project is closed out (the numbers and races of persons and households served via the number of very low-income, low-income, and moderate-income persons and households), ADECA does not have program beneficiary information to report on these 5 open CDBG grants residential rehabilitation projects at this time.

Of the 41 closed CDBG grants that expended CDBG funds from Program Years 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, and 2019 identified hereinabove in *Chart 12*, there was 1 CDBG grant to a local community which expended the grant funds on residential rehabilitation. This 1 closed grant is as follows:

● *Chart 12*:

Town of Union: $350,000 CDBG grant for Residential Rehabilitation of 20 houses.

ADECA maintains administrative policy letters as guidance to assist the local governments in complying with their CDBG residential rehabilitation projects, as follows:

● Policy Letter 13 - Revision 1, dated February 27, 2003, outlines the policy on housing standards and conditions for residential rehabilitation projects.

● Policy Letter 20, dated May 5, 1995, outlines the use of volunteer labor for residential rehabilitation projects.

● Policy Letter 23, applicable as of the FY1998 CDBG Action Plan, outlines eligibility requirements for local communities to use when determining which houses may be included in a residential rehabilitation project.

These and all of ADECA's CDBG Program Policy Letters can be accessed on the ADECA website (www.adeca.gov) at http://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Policy.

**HOME**: The Alabama Housing Finance Authority (AHFA) allocates HOME funds together with Housing Credits within Alabama which helps to develop projects throughout the State that provide housing units for low-income families. The goal is that 100% of the units produced are filled with low-income and moderate-income citizens. To date, AHFA is successful in fulfilling that goal. See *Chart 18* and *Chart 19* below.

**ESG**: The ESG Program provides emergency shelters, meals, child care services, transitional housing, services for homeless persons with alcohol/drug problems, vouchers for shelters, health care services, employment services, outreach services, mental health services, homeless prevention services, day shelters, and HIV/AIDS services. Twenty-six (26) program participants received TANF Child Care Services. Four (4) program participants received TANF Transportation Services. Nine (9) program participants received other TANF-funded services. 3,023 program paticipants had Medicaid as their health insurance coverage. One hundred twenty-eight (128) program participants received VA medical services.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs.

**Persons Served**: This information was uploaded into the ESG Sage HMIS Reporting Repository and is attached to CR-00.

**HOPWA**: AIDS Alabama’s HOPWA Program provides housing assistance services to AIDS clients that include rental assistance and provision of housing supportive services. The HOPWA Program’s goals, objectives, and outcomes were as follows:

**Goal 1: Support a statewide rental assistance program through qualified AIDS Service Organizations**

Objective: Provide 80 households with emergency Short-Term Rent/Mortgage and Utility (STRMU) assistance between April 1, 2019, and March 31, 2020.

Outputs Reported: AIDS Alabama provided 31 households in the entitlement area with short-term rental assistance between April 1, 2019, and March 31, 2020. Of those assisted, 100% remain stably housed without further assistance.

Outcome Assessment: Of the 31 households served, AIDS Alabama assesses that 100% remain stably housed without further assistance. This funding will keep 31 housed consumers from becoming homeless because of a temporary emergency situation.

Objective: Provide 100 households with long-term, Tenant-Based Rental Assistance (TBRA) between April 1, 2019, and March 31, 2020.

Outputs Reported: AIDS Alabama provided 81 households, 81% of goal, with TBRA between April 1, 2019, and March 31, 2020.

Outcome Assessment: These funds allow consumers to obtain and remain in affordable leased housing through monthly access to program subsidy and support. This agency achieved 81% of goal for creating housing stability and reducing homelessness.

Additional Outputs Reported: Although not a specific objective under the Rental Assistance goal in the 2015 Action Plan, AIDS Alabama was able to provide 16 households with Project-Based Rental Assistance (PBRA) between April 1, 2019, and March 31, 2020.

Outcome Assessment: These funds allow consumers to obtain and remain in affordable leased housing.

31 + 81 + 16 = 128 households.

The HOPWA Program’s Project served 267 extremely low income (0-30% of area median income) households, 16 very low income (31-50% of area median income) households, and 21 low income (51-80% of area median income) households with housing subsidy assistance, for a total of 304 households served with housing subsidy assistance. The HOPWA program cannot serve moderate income households.

267 + 16 + 21 = 304 households.

**Goal 2: Provide existing housing programs in the State with supportive services**

Objective: Provide 20,000 legs of transportation to social service and medical appointments between April 1, 2019, and March 31, 2020.

Outputs Reported: AIDS Alabama provided 43,139 legs of transportation to social service and medical appointments between April 1, 2019 and March 31, 2020.

Outcome Assessment: This connection to mainstream support services promotes healthier and more socially connected consumers who can live independently and remain in stable housing. As AIDS Alabama’s housing portfolio continued to expand, the Agency recognized the need for more transportation services.

Objective: Provide case management and support services to 2,750 consumers statewide between April 1, 2019, and March 31, 2020.

Outputs Reported: Case management and support services were provided to approximately 7,904 unduplicated households, between April 1, 2019, and March 31, 2020.

Outcome Assessment: Consumers are linked to mainstream resources that give them the ability to remain in stable housing and to live independently. The agency achieved 287% of goal for housing stability, reducing risks of homelessness, and improving access to care.

**Goal 3: Support operating costs of current housing.**

Objective: Supplement the operating cost of units of housing statewide and serving 300 persons between April 1, 2019, and March 31, 2020.

Outputs Reported: Units of housing statewide were provided to 192 eligible persons with HIV and 18 family members. AIDS Alabama has increased the amount of funds used in this category due to several reasons, including the aging of current property that has required major maintenance costs. The housing staff must constantly inspect and repair existing properties to keep the current housing in AIDS Alabama’s portfolio operational and safe. Existing properties throughout the state continue to require high rehabilitation funding. HOPWA funds were to used support the operating costs for 93 units statewide between April 1, 2019, and March 31, 2020. As properties age, the cost of maintenance grows. AIDS Alabama will continue to make every effort to focus the funding on the neediest properties.

Outcome Assessment: All current HIV-positive residents were provided a safe and suitable housing option. The agency achieved 100% of goal for housing stability, reducing risks of homelessness, and improving access to care.

**Goal 4: To support local efforts to fill housing gaps and to provide housing in which consumers can learn permanent housing management skills.**

Objective: Provide funding for the cost of two leases consisting of two-bedroom units in Mobile to AIDS Alabama South to be used as transitional housing for their consumers. The units will provide consumers with intermediate housing while the case manager links them to permanent housing options and helps them to avoid homelessness.

Outputs Reported: AIDS Alabama South supplemented the cost of one (1) two-bedroom units; this unit provided transitional housing for three households during the reporting period.

Outcome Assessment: AIDS Alabama South gained experience in operating housing in their areas to meet housing gaps. The residents were provided a safe and suitable housing option. The agency achieved the goal for housing stability and reducing risks of homelessness.

**Goal 5: Support resource identification efforts.**

Objective: Attend 100% of the appropriate HIV housing and homeless conferences between April 1, 2019 and March 31, 2020.

Outputs Reported: Funds were used to pay travel and expenses to send AIDS Alabama staff to all appropriate national and state meetings to foster collaborations that will expand affordable housing for low-income, HIV-positive consumers with in-state housing organizations, such as the Low Income Housing Coalition of Alabama, Alabama Rural Coalition for the Homeless, One Roof, Alabama Poverty Project, and others between April 1, 2019, and March 31, 2020.

Outcome Assessment: AIDS Alabama staff members expanded their knowledge of low-income housing options to persons and families living with HIV disease. The agency achieved 100% of this goal for obtaining information that will assist our consumers in housing stability, reducing risks of homelessness, and improving access to care.

**Goal 6: Support ongoing housing information efforts in the State.**

Objective: Provide 7,600 individuals with HIV housing information in a variety of venues, including health fairs, trade day events, HIV-awareness events, churches, non-traditional medical clinics, community clubs, shelters, substance abuse programs, beauty shops, jails, prisons, and schools, as well as through other community service providers statewide between April 1, 2019, and March 31, 2020.

Outputs Reported: Exactly 5,926 individuals received HIV education and were supplied housing information between April 1, 2019, and March 31, 2020.

Outcome Assessment: Exactly 5,926 Alabamians, including HIV-positive individuals and high-risk populations, now have an understanding of low-income housing options throughout the State. The agency provided information leading to housing stability, reducing risks of homelessness, and improving access to care.

**Goal 7: Provide technical assistance training around housing development in Alabama.**

Objective: AIDS Alabama will provide at least two consultations and technical assistance sessions to ASONA member agencies who are engaged in specific, qualified projects.

Outputs Reported: AIDS Alabama continues to provide consultation and technical assistance sessions annually with all seven participating ASOs. Two technical trainings were conducted with AIDS Alabama staff and other Alabama ASO staff (AIDS Alabama South and Thrive Alabama) who navigated HUD regulations and the appropriate use of rental assistance for housing residents across the state. Funds were used to pay salary and mileage of agency staff.

Outcome Assessment: Additional housing will be made available throughout the state, filling some of the gaps for such housing in rural areas. The agency achieved 100% of goal for information leading to housing stability, reducing risks of homelessness, and improving access to care.

**HTF**: Delays in HUD approval of the 2016 and 2017 HTF Plans caused a cascade delay in application intake for HTF funding. Additionally, two (2) non-profit organizations which applied for the funds turned down the award of funding for the projects submitted due to internal changes within the organizations. Three (3) awards were issued in FY2018 which were accepted, and we expect to be completed within the next two years.

The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018 and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs.

**Discuss how these outcomes will impact future annual action plans.**

**CDBG**: For Alabama to achieve progress in providing affordable housing, the State's Five-Year Consolidated Plan and One-Year Annual Action Plans include provisions for this activity.

More specifically, for the 2015-2019 Five-Year Consolidated Plan, expenditure of CDBG Program funds is focusing on community development, local planning, economic development, infrastructure and loan programs, health hazard and urgent crisis management, job creation/ growth/retention, housing rehabilitation, and Alabama’s Black Belt Region initiatives. Evidence of this can be seen in *Chart 1* through *Chart 11* herein above, wherein the PY2009, PY2010, PY2011, PY2012, PY2013, PY2014, PY2015, PY2016, PY2017, PY2018, and PY2019 identified open grants currently awarded to 197 local communities indicate that the priority (primary) activities for these grants are as follows:

68 involved the sewer activity,

60 involved the water activity,

24 involved the drainage activity,

56 involved the roads activity,

2 involved the downtown revitalization activity,

13 involved the demolition and clearance activity,

1 involved the construction of a railroad crossing activity,

1 involved the construction of a railroad spur activity,

1 involved the construction of a fire station activity,

3 involved the parks and recreation activity,

4 involved the building rehabilitation activity,

6 involved the residential rehabilitation activity,

5 involved the construction of a senior center activity,

2 involved the construction of a community center activity,

1 involved the purchase of a building,

1 involved the removal of architectural barriers activity,

1 involved the construction of lighting for a truck stop activity, and

3 involved the planning activity.

For PY2019 alone, as shown in *Chart 11* herein above, the PY2019 identified grants currently awarded to 58 local communities indicate that the priority (primary) activities for these grants are as follows:

19 involved the sewer activity,

17 involved the water activity,

9 involved the drainage activity,

16 involved the roads activity,

1 involved the downtown revitalization activity,

7 involved the demolition and clearance activity,

2 involved the residential rehabilitation activity,

3 involved the construction of a senior center activity, and

1 involved the planning activity.

**HOME**: The expenditure of HOME Program funds will focus on new multifamily rental housing across Alabama. The intent is that HOME Program tenants will include families, elderly citizens, and special needs households, all of whom will be low-income and in need of affordable housing units. The combination of HOME funds with Housing Credits has consistently produced decent, safe and affordable housing opportunities for low-income to moderate-income citizens in Alabama. This method of HOME fund utilization is expected to continue in future HOME Action Plans. See *PR-23 Summary of HOME Accomplishments*.

**ESG**: The expenditure of ESG Program funds will facilitate Alabama’s homeless population needs, and will focus on re-housing assistance, operating expenses, essential services, and homeless prevention assistance. The ESG Program will continue to provide emergency shelters, meals, child care services, transitional housing, services for homeless persons with alcohol/ drug problems, vouchers for shelters, health care services, employment services, outreach services, mental health services, homeless prevention services, day shelters, and HIV/AIDS services.

**HOPWA**: The expenditure of HOPWA Program funds will direct housing activities benefitting persons with HIV and their households and supportive services needed by tenants to maintain housing stability and avoid homelessness. Such direct housing activities include operational costs for existing HIV housing, as well as rental assistance programs that are both tenant-based and project-based rental assistance programs, and the Short-term Rent, Mortgage, and/or Utility Assistance (STRMU) Payment Program. Anticipated activities also include providing housing information and outreach services to consumers, identifying resources for accessing and maintaining permanent and/or transitional supportive housing for persons with HIV disease and their families by partnering with each local Continuum of Care and other housing and service entities, assisting with the acquisition of land for new construction projects, implementing a statewide master leasing program, and providing technical assistance to support efforts by local AIDS Service Organizations and other low income housing entities to increase local housing options. The HOPWA Program’s Project Sponsor, AIDS Alabama, in partnership the HOPWA Program’s grantee, ADECA, reviews and revises goals and objectives annually to reflect the needs of Alabama’s PLWHAs.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs.

**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

|  |  |  |  |
| --- | --- | --- | --- |
| **Number of Households Served** | **CDBG Actual:** | **HOME Actual** | **HTF Actual** |
| Extremely Low-Income | 6,077 persons / 2,543 households | 11 households | 0 households |
| Low-Income | 5,696 persons / 2,333 households | 42 households | N/A |
| Moderate-Income | 5,060 persons / 2,150 households | 45 households | N/A |
| Total (LMI) | 16,847 persons / 7,038 households | 98 households | N/A |

**Narrative:**

**CDBG**: One of the CDBG Program's national objectives is for the CDBG funds to be expended on activities that will serve the needs of low and moderate-income residents (beneficiaries) residing in the CDBG project areas of those local communities who are applying for the CDBG grant funds. At the grant application submission phase, in order to determine the eligibility of the activity, each community's grant application must project the anticipated number of total beneficiaries (persons) and the total number of low- to moderate-income (LMI) beneficiaries (persons) who will be served by the proposed project. At the grant closeout phase, each community must report the actual number of total direct beneficiaries (persons and households) served by the CDBG-funded project, to include the total number of low- and moderate-income (LMI) beneficiaries (persons and households), the total number of moderate-income beneficiaries (persons and households), the total number of low-income beneficiaries (persons and households), the total number of very-low income beneficiaries (persons and households), the total number of female-headed households/beneficiaries (persons and households), and the total number of disabled beneficiaries (persons and households). These direct beneficiaries (persons and households) must also be reported by racial composition in the following categories: White, Black, Asian, American Indian/Alaskan Native, Native Hawaiian/ Other Pacific Islander, American Indian/Alaskan Native & White, Asian & White, Black & White, American Indian/Alaskan Native & Black, and Other Multi-Racial. Thus, the CDBG numbers reported above reflect those persons who were reported by their local communities as being direct beneficiaries - in all of the LMI categories and racial categories - at the project closeout phase.

*Chart 17 - Number of Moderate-Income, Low-Income, and Very-Low Income Beneficiaries Assisted in CDBG Closed Grants Open During April 1, 2019-March 31, 2020 Reporting Period* contains the numbers of very-low-income persons, low-income persons, moderate-income persons, and the total number of persons (project beneficiaries) served by the 41 closed grants. Of the total number of project beneficiaries (30,381 persons in 12,691 households) reported in *Chart 17*, there were 6,077 very-low-income persons in 2,543 households, 5,696 low-income persons in 2,333 households, and 5,060 moderate-income persons in 2,150 households, making a total of 16,847 LMI persons in 7,038 households (beneficiaries) served.

**HOME**: The 2018 HOME Action Plan awards points to applications for projects targeting low-income families (individuals with children) with a minimum of 15% of the low-income units having three or more bedrooms. The HOME Program's "Summary of Housing Accomplishments" by the number of extremely low-income, low-income, and moderate-income persons served is stated in *Chart 18* below.

|  |  |
| --- | --- |
| **Chart 18**  **HOME Program - Summary of Housing Accomplishments**  **Number of Extremely Low-Income, Low-Income, and**  **Moderate-Income Persons Served** | |
| **Name of Grantee: State of Alabama** | **Program Year 2019** |

|  |  |
| --- | --- |
| Priority Need Category | Actual Units |
| **Renters** |  |
| 0 - 30% of AMI | 16 |
| 31 - 50% of AMI | 63 |
| 51 – 60% of AMI | 24 |
| 61 – 80% of AMI | 1 |
| Total 0 – 60% | 103 |
| Total 0 – 80% | 104 |
| **Owners** |  |
| 0 - 30% of MFI | N/A |
| 31 - 50% of MFI | N/A |
| 51 - 80% of MFI | N/A |
| Total | N/A |
| **Homeless\*** |  |
| Individuals | 0 |
| Families | 0 |
| Total | 0 |
| **Non-Homeless Special Needs** |  |
| Total | 6 |
| **Total Housing** | 104 |

\* Homeless families and individuals assisted with transitional and permanent housing.

The HOME Program's "Summary of Housing Accomplishments" for "Total Housing - Racial/Ethnic Composition" is stated in *Chart 19* below.

|  |  |
| --- | --- |
| **Chart 19**  **HOME Program**  **Summary of Housing Accomplishments**  **Total Housing -**  **Racial/Ethnic Composition** | |
| Hispanic | 78 |
| Non-Hispanic | 8 |
| White | 20 |
| Black | 0 |
| Native American | 5 |
| Asian/Pacific | 0 |
| Other | 1 |
| **Total Racial/Ethnic** | 104 |

**ESG**: Not Applicable.

**HOPWA**: The HOPWA Program’s Project also served 196 extremely low income (0-30% of area median income) households, 9 very low income (31-50% of area median income) households, and 13 low income (51-80% of area median income) households with housing subsidy assistance, for a total of 218 households served with housing subsidy assistance. The HOPWA program cannot serve moderate income households.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs.

**CR-25 Homeless and Other Special Needs [see 24 CFR 91.220(d) and (e); 91.320(d) and (e); 91.520(c)]**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs.**

**CDBG**: The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not directly expended for local community projects that serve homelessness / homeless persons / unsheltered persons. However, "residential rehabilitation" is an eligible activity for which a local community may apply for and expend CDBG funds, as is described herein above in the section entitled **CR-20 Affordable Housing.** And as is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involved “residential rehabilitation” as their primary grant activity.

**HOME**: The 2019 HOME Action Plan awards points to applications that set-aside units for tenants who have disabilities or are homeless. The units must be actively marketed and rented to households with at least one tenant with a disability or a tenant transitioning from being homeless. An approved marketing and preference plan is required.

**ESG**: A total of $1,920 of Program Year 2019 funds were spent on street outreach activities to engage literally homeless individuals. Individuals were provided case management, referrals, and essential services.

**HOPWA**: The HOPWA Program’s lead agency for HIV-specific housing, AIDS Alabama, coordinates a collaborative effort to provide housing assistance and supportive services to each of the 67 counties. Statewide funding is allocated through a competitive process between ASOs each grant period with the commitment to provide equitable resources to all eligible HIV-positive persons. Efforts to disseminate HIV-specific housing and resource information were successful during this program year; more than 5,926 unduplicated households were reached. Additionally, the HOPWA program provides outreach to homeless clientele by providing testing and education at shelters, treatment facilities, Project Homeless Connect, and other venues highly trafficked by homeless persons. The HOPWA Program coordinates closely with Continuum of Cares and Alabama’s statewide Homeless Management Information System (HMIS) to identify sheltered and unsheltered HIV positive clients in other programs including street outreach.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs.

**Addressing the emergency shelter and transitional housing needs of homeless persons.**

**CDBG**: The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not directly expended for local community projects that serve homelessness / homeless persons / unsheltered persons. However, "residential rehabilitation" is an eligible activity for which a local community may apply for and expend CDBG funds, as is described herein above in the section entitled **CR-20 Affordable Housing.** And as is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involved “residential rehabilitation” as their primary grant activity.

**HOME**: The 2019 HOME Action Plan awards points to applications that set-aside units for tenants who have disabilities or are homeless. The units must be actively marketed and rented to households with at least one tenant with a disability or a tenant transitioning from being homeless. An approved marketing and preference plan is required.

**ESG**: A total of $263,629 of Program Year 2019 funds were spent on emergency shelter activities to provide operations assistance to homeless shelters and transitional housing facilities across the state. Overnight shelter, essential services, food, case management, advocacy, and referral services were provided to residents of emergency shelter and transitional housing facilities.

**HOPWA**: See Part 4 Summary of Performance Outcomes, Section 1 Housing Stability, at Table B. Transitional Housing Assistance on page 24-25 of the attached HUD-40110-D.

**HTF**: HTF funds require that tenants sign a one-year lease. As such, the units which will be generated by the HTF program are not well suited to emergency shelter and transitional housing models. They may serve as a next step for tenants who are already in emergency or transitional housing situations and wish to improve their housing opportunities.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly-funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions) and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

**CDBG**: The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not directly expended for local community projects that serve homelessness / homeless persons / unsheltered persons. However, "residential rehabilitation" is an eligible activity for which a local community may apply for and expend CDBG funds, as is described herein above in the Section entitled **CR-20 Affordable Housing.** And as is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involved “residential rehabilitation” as their primary grant activity.

**HOME**: Points are awarded for services (such as grocery, physicians, pharmacy and banks) located within 2 miles of the site. Points are awarded to applicants with sound experience as managing agents of low-income multifamily housing. This experience is defined by the highest number of units currently managed. Only those units in projects that are considered low-income units will be counted in this total. Points were also awarded to applications with a commitment to set-aside units specifically for the homeless / special needs tenants. Further, applicants must have an MOU in place with local service providers which will provide the qualified tenant list for the set aside units and provide ongoing support service for any tenants from the list which are placed in the project set-aside units.

**ESG**: The ESG subrecipients and second-tier subrecipients work to inform those publicly funded institutions of the available housing options in their service area. This information is made available to those persons being discharged. The ESG subrecipients and second-tier subrecipients also work more closely with mainstream agencies serving individuals and families that are at risk for homelessness in an effort to inform them of available permanent housing, emergency shelter, and transitional housing availability.

**HOPWA**: See Part 4 Summary of Performance Outcomes, Section 1 Housing Stability, on page 24-25 of the attached HUD-40110-D.

**HTF**: HTF funds were offered to HOME/HC projects which qualify under HTF standards and commit to setting units for the specific purpose of housing extremely low income tenants.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.**

**CDBG**: The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not directly expended for local community projects that serve homelessness / homeless persons / unsheltered persons. However, "residential rehabilitation" is an eligible activity for which a local community may apply for and expend CDBG funds, as is described herein above in the Section entitled **CR-20 Affordable Housing.** And as is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involved “residential rehabilitation” as their primary grant activity.

**HOME**: The 2019 HOME Action Plan awards points to applications that set-aside units for tenants who have disabilities or are homeless. The units must be actively marketed and rented to households with at least one tenant with a disability or a tenant transitioning from being homeless. An approved marketing and preference plan is required.

**ESG**: The State’s goals are to shorten the length of time any homeless person remains homeless, facilitate access to affordable housing units, and prevent reoccurrences of homelessness. However, according to the National Low Income Housing Coalition (the Coalition), Alabama lacks over 95,000 available and affordable homes for its low-to-moderate income residents. According to the Coalition, a person must earn $14.92 per hour to be able to afford a basic two-bedroom apartment. This shortage creates a huge obstacle to obtaining these goals. However, case managers working with ESG funds will continue to seek supplemental assistance for their clients by coordinating with mainstream service providers.

**HOPWA**: See Part 4 Summary of Performance Outcomes, Section 1 Housing Stability, on page 24-25 of the attached HUD-40110-D.

**HTF**: HTF Plans awards points to applications which target homeless veteran and/or homeless families, and/or those with disabilities as tenants. The projects must have MOUs in place with local service providers who will assist the tenants in adjusting into a permanent and stable home environment. Awards will be reported in future CAPERs.

**CR-30 Public Housing [see 24 CFR 91.220(h); 91.320(j)]**

**Actions taken to address the needs of public housing.**

**CDBG**: Not applicable. The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not expended to serve the needs of public housing, as the needs of public housing are addressed by the local public housing authorities (PHAs). However, ADECA has awarded CDBG grant funds to non-entitlement communities for expenditure on infrastructure projects (such as new or improved water and sewer, fire hydrants, road paving and/or drainage) in areas where public housing is located.

**HOME**: See the response above for CDBG. Also, points are awarded to projects which have committed in writing to target households on the public housing waiting list.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: See the response above for CDBG.

**Actions taken to encourage public housing residents to become more involved in management and participate in homeownership.**

**CDBG**: The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not expended to serve public housing, as such is addressed by the local public housing authorities (PHAs).

However, to assist with serving the needs of local communities in Alabama's non-entitlement areas, ADECA does mandate that all local communities receiving CDBG Program funds from ADECA must complete - as a part of their Letter of Conditional Commitment compliance prior to the release of grant funds - the *Suggested Assessment Guide for Community Assessment of Fair Housing (formerly “Analysis of Impediments to Fair Housing Choice / AI”)* that is included below. This document is accessible on the ADECA website at

<https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Letter>. By mandating that the local communities complete this *Suggested Assessment Guide for Community Assessment of Fair Housing (formerly “Analysis of Impediments to Fair Housing Choice / AI”)*, ADECA is assisting the local communities in identifying and assessing local fair housing goals, which could include actions to encourage public housing residents to become more involved in management and participate in homeownership.

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| Suggested Assessment Guide  for  Community Assessment of Fair Housing  (formerly “Analysis of Impediments to Fair Housing Choice / AI”)  Subrecipient Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ADECA Reviewer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Assessment of Fair Housing (for All Cities, Towns, and Counties):**  A1. **Description of Citizen Participation Process to Develop AI / Assessment of Fair Housing:** Provide information on your community’s outreach activities to elicit public comments when conducting this AI / Assessment *(check all that apply):*   * Internet searches / invitations to post comments on community’s webpage * Phone interviews / In-person interviews * Local “Assessment of Fair Housing” Committee * Public meeting(s). List locations and dates: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ * Surveys. Describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ * Other. Describe: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   Provide a description of how Limited English Proficient citizens were engaged in this citizen participation process. *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A2. **Description of Past Goals and Achievements Toward Fair Housing Choice:** Provide information on your community’s previous efforts and progress made toward eliminating impediments to fair housing choice (such as public policies, actions, and steps taken to address fair housing issues prior to conducting this AI / Assessment). Include a description of how past goals influenced current goals. *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A3. **Demographic Description of Population:** Provide a demographic analysis of your community’s population (to include information on race, ethnicity, gender, income, employment, and racially/ethnically concentrated areas of housing and poverty). *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A4. **Description of Opportunity Disparities Based on Housing Location:** Provide a description of abilities and barriers experienced by your community’s population to access services based on where they reside (to include public services, jobs/employment opportunities, transportation, healthy living conditions, etc.). *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A5. **Description of Housing Issues:** Provide a description of the housing issues experienced by your community’s residents (to include housing needs, housing cost burdens, housing location issues, housing accessibility issues, etc.). *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A6. **Description of Contributing Factors Impacting Fair Housing:** Provide a description of factors that affect community residents’ housing choices (such as public policies, local zoning regulations, school districts, subdivision regulations, building codes and code enforcement, realtor availability, financial institution lending practices, deterioration and community revitalization strategies, “NIMBYism”, etc.). *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A7. **Description of Publicly-Supported Housing Opportunities:** Provide a description of your community’s availability of public housing authority units, Section 8 housing, housing for elderly and/or disabled residents, housing for persons with HIV / AIDS, use of Housing Choice Vouchers, use of Low Income Housing Tax Credits, etc. *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  A8. **Description of Civil Rights and/or Fair Housing Claims of Discrimination:** Provide information on any claims of discrimination based on a violation of (i) civil rights laws and/or (ii) fair housing laws that were filed against your community. Include federal, state, and/or local fair housing discrimination, how those cases were resolved, and affirmative steps taken and resources provided in response to those claims. *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Information and Data Collection (for Cities and Towns Only):**  B1. Are minorities residing in your community? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **If yes**, do minorities residing in your community limit themselves to residing only in certain neighborhoods? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  B2. Do minorities work in your community but reside elsewhere? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  B3. Are realtors/leasing agents hesitant to show to minorities housing units for rent or sale that are located in certain areas of the community, and/or that are located in certain apartment complexes, condominiums, or subdivisions? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  B4. Do local banks/financial institutions refuse to lend/provide mortgages and/or home improvement loans for housing located in certain areas of the community? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  B5. Do insurance companies refuse to offer/provide home owner/renter insurance coverage for housing located in certain areas of the community? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  B6. Is public-assisted housing and/or subsidized housing available in your community? YesNo  **If yes**, list the types and numbers of public-assisted and/or subsidized housing units available (for example, public housing authority units, Section 8 housing units, etc.): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Information and Data Collection (for Counties Only):**  C1. Are all or most of the minorities residing in your county concentrated in certain communities/areas? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  C2. Are realtors/leasing agents hesitant to show to minorities housing units for rent or sale that are located in certain communities/areas of the county?  YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  C3. Do local banks/financial institutions refuse to lend/provide mortgages and/or home improvement loans for housing located in certain communities/areas of the county? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  C4. Do insurance companies refuse to offer/provide home owner/renter insurance coverage for housing located in certain communities/areas of the county?  YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  C5. Is public-assisted housing and/or subsidized housing available in any communities/areas of your county? YesNo  **If yes**, list the types and numbers of public-assisted and/or subsidized housing units available (for example, public housing authority units, Section 8 housing units, etc.) and in which communities they are available: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Information and Data Collection (for All Cities, Towns, and Counties):**  D1. Per the most recent U.S. Census or American Community Survey data, is your community or county growing in population? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D2. Has your community or county added new single-family and/or multi-family housing units within the past five (5) years? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D3. Has your community or county enacted zoning ordinances or similar regulations? YesNo  **If yes**, list the date adopted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D4. Has your community or county adopted building code?  YesNo  **If yes**, list the date adopted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D5. Has your community or county adopted subdivision regulations?  YesNo  **If yes**, list the date adopted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D6. If you answered “yes” to questions D3, D4, and/or D5, are your community’s or county’s ordinances and regulations being enforced?  YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D7. If you answered “yes” to questions D3, D5, and/or D6, does your community’s or county’s zoning and subdivision regulations hinder the construction of multi-family housing units, low-income family housing units, and/or prohibit the location of modular/mobile homes? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D8. Do your community’s or county’s school district policies discourage or prohibit minorities from residing outside of predominantly minority areas?  YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D9. Has your community or county adopted a *Fair Housing Resolution*?  YesNo  **If yes**, list the date adopted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D10. Has your community or county adopted a *Fair Housing Ordinance*?  YesNo  **If yes**, list the date adopted: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D11. Does your community or county assist people with their claims if they believe they have experienced housing discrimination and/or been denied Fair Housing Choice opportunities? YesNo  Please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  D12. Has your community or county received a CDBG grant in the last five (5) years? YesNo  **If yes**, were any conditions placed on your community’s or county’s most recent CDBG grant by ADECA or HUD because of your community’s or county’s failure to comply with federal and/or state Fair Housing laws, rules, and regulations? YesNo  **If yes**, please explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Proposed Changes and Record Keeping (for All Cities, Towns, and Counties):**  E1. **Description of Goals and Priorities (Proposed Changes) to Address Factors Limiting Fair Housing Choice:** For your community’s identified impediments to fair housing choice, provide information on your community’s goals and priorities (proposed changes) to address those impediments. Explain how each goal will overcome the related impediment, what results will be achieved, and develop a timetable for addressing and eliminating the impediments. *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  E2. **Description of Record Keeping Process:** Provide information on your community’s process for maintaining records on this AI / Assessment (to include how records will be made available for public review and inspection, how records will be used as guidance for implementing the goals and priorities in the designated timeframes, etc.). *Use additional pages as necessary.*  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  I certify that to the best of my knowledge and belief, the above information is accurate and the identified documents are on file at the City Hall/County Courthouse. I understand that all documents may be reviewed at the time when this CDBG project is monitored.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Signature of Mayor/Chairman Date  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  ADECA Reviewer Date |

Additionally, each year during ADECA's CDBG grant application workshop (typically held in the Spring of each year) and during ADECA's CDBG grant compliance workshop (typically held in the Fall of each year), the local government attendees are presented with training on such "analysis of impediments to fair housing choice" - which is now termed "assessment of fair housing." The powerpoint presentations of such training are also posted on the ADECA website at

<https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Application-Manual.aspx>

and at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Compliance-Training-Videos.aspx> so as to allow anyone easy access anytime to such training.

Also, ADECA mandates that all local communities receiving CDBG Program funds from ADECA must complete - as a part of their Letter of Conditional Commitment compliance prior to the release of grant funds - the *Letter of Conditional Commitment - Fair Housing, Equal Opportunity, and Civil Rights Compliance Questionnaire* form that is included below. This document is accessible on the ADECA website at

<https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Letter>. By mandating that the local communities complete this *Letter of Conditional Commitment - Fair Housing, Equal Opportunity, and Civil Rights Compliance Questionnaire* form, ADECA is assisting the local communities in identifying and assessing local fair housing, equal opportunity, and civil rights goals, which could include actions to encourage public housing residents to become more involved in management and participate in homeownership.

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| **LETTER OF CONDITIONAL COMMITMENT** FAIR HOUSING, EQUAL OPPORTUNITY, and CIVIL RIGHTSCOMPLIANCE QUESTIONNAIRE Subrecipient Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  CDBG Project No. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ PART A: EQUAL EMPLOYMENT OPPORTUNITY 1. Does the Subrecipient utilize written employment and personnel policies with regard to hiring, promotion, and compensation? Yes \_\_\_\_\_ No \_\_\_\_\_  **If yes**, indicate the date the Personnel Policies were adopted: \_\_\_\_\_\_\_\_\_\_\_.  **If no,** for a community with 15 or more employees, written employment and personnel policies must be adopted, and documentation of same must be provided to ADECA during the monitoring of the CDBG project.  2. How does the Subrecipient follow Equal Opportunity guidelines when advertising  employment vacancies?  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  3. Does the Subrecipient maintain its personnel records in sufficient detail so as to assess its staff composition by sex/gender and race? Yes \_\_\_\_\_No \_\_\_\_\_  Subrecipient's total # of employees: \_ \_\_\_\_\_  # of White employees: # of Minority employees: \_\_\_\_\_\_\_\_  # of Male employees: # of Female employees: \_\_\_\_\_\_\_\_  4. Have any employment discrimination complaints been filed against the Subrecipient within the past 12 months? Yes \_\_\_\_\_No \_\_\_\_\_    **If yes**, explain\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ***PART B: SECTION 504 REHABILITATION / DISABILITIES ACT REQUIREMENTS*** 1. Has the Subrecipient conducted a written Self-evaluation to assess its compliance with all applicable Section 504 of the Rehabilitation Act and Americans with Disabilities Act (ADA) requirements? Yes \_\_\_\_\_ No \_\_\_\_\_  **If yes**, date Self-evaluation was finalized: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **If no**, a written Self-evaluation must be conducted and made available to ADECA during the monitoring of the CDBG project.  2. Detail how the Subrecipient communicates with the CDBG project's beneficiaries and members of the general public who have disabilities / hearing impairments:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  3. Are the Subrecipient’s public buildings and facilities (parking areas, entrances, interior doorways, bathroom fixtures, water fountains, elevators, etc.) and services accessible to persons with disabilities? Yes \_\_\_\_\_ No \_\_\_\_\_  **If no**, has the Subrecipient developed a written Transition Plan containing a schedule of corrective actions to be undertaken to make all of its buildings, facilities, and services accessible to persons with disabilities?  Yes \_\_\_\_\_ No \_\_\_\_\_  **If no**, a written Transition Plan must be developed and made available to ADECA during the monitoring of the CDBG project.   1. Does the Subrecipient employ 15 or more employees (full or part-time)?   Yes \_\_\_\_\_\_ No \_\_\_\_\_\_\_ **If yes**:  a. Has the Subrecipient designated a person to coordinate local government compliance with Section 504 and ADA requirements?  Yes \_\_\_\_\_ No \_\_\_\_\_ Name/Title \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   1. Has the Subrecipient adopted a grievance procedure to provide for the prompt and equitable resolution of complaints made concerning its compliance with Section 504 and ADA requirements?   Yes \_\_\_\_\_ No \_\_\_\_\_ Date adopted \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  c. Does the Subrecipient notify beneficiaries, employees, and the general public (via posted notices, newspaper ads, office memoranda, etc.) that the Subrecipient does not discriminate against persons with disabilities in its federally-assisted programs and activities? Yes \_\_\_\_\_\_ No \_\_\_\_\_\_  5. Do the Subrecipient’s hiring and promotion practices prohibit discrimination  against persons with disabilities who are otherwise qualified for employment?  Yes No ***PART C: FAIR HOUSING***  1. Does the Subrecipient participate in one or more of the following activities to increase community awareness of Federal Fair Housing Law requirements: 2. Display Fair Housing posters and/or brochures in its public buildings?   Yes \_\_\_\_ No \_\_\_\_  b. Use the “Equal Housing Opportunity” slogan and logo in its notices posted or published in local newspapers? Yes \_\_\_\_ No \_\_\_\_\_  2. What is the racial and ethnic composition of the Subrecipient’s population?  Race: # of White \_\_\_\_ # of Black \_\_\_\_ # of Other \_\_\_\_  Ethnicity: # of Hispanic \_\_\_\_ # of Non-Hispanic \_\_\_\_ ***PART D: ACCESSIBILITY OF CDBG PROJECT INFORMATION*** 1. Does the Subrecipient ensure that CDBG project information is accessible to persons with disabilities and persons with limited English Proficiency?  Yes \_\_\_\_ No \_\_\_\_\_  a. Did the Subrecipient conduct a Four-Factor Analysis consistent with the guidelines stated in the ADECA Language Assistance Plan?  Yes \_\_\_\_ No \_\_\_\_\_  **If no**, explain: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **If yes**, did the Subrecipient's Four-Factor Analysis reveal any threshold populations of persons with Limited English Proficiency?  Yes \_\_\_\_ No \_\_\_\_\_  b. Detail how the Subrecipient communicates with CDBG project beneficiaries and members of the general public, to include persons with disabilities and persons with Limited English Proficiency:    I certify that, to the best of my knowledge, the above information is accurate, and the identified documents are on file at the City Hall/County Courthouse. I understand that all documents may be reviewed at CDBG project monitoring.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Mayor/Chairman Date  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  ADECA Reviewer |

And at the compliance monitoring phase of each CDBG-funded project, ADECA staff complete the *Civil Rights Compliance Checklist* - that is included below - for each local government's CDBG project. This document is accessible on the ADECA website at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Compliance>. By monitoring the local communities for compliance with equal employment opportunity and civil rights compliance in contract management, ADECA is checking the local communities' efforts at identifying and assessing local equal opportunity and civil rights goals, which could include actions to encourage public housing residents to become more involved in the CDBG Program, and subsequently in participation in homeownership.

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| |  |  | | --- | --- | | Grantee Name |  | | Project No. |  | | Preparer |  | | Date Prepared |  | | Follow-up Review Indicated |  |  Civil Rights Compliance ChecklistPart A: EQUAL EMPLOYMENT OPPORTUNITY Does the grantee maintain a separate file on Equal Opportunity?  Yes \_\_\_\_\_ No \_\_\_\_\_  If no, explain\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Part B: SECTION 3/CONTRACT MANAGEMENT  1. Does the grantee seek female and minority-owned businesses in CDBG funded contracts and subcontracts by: 2. Notifying the Alabama Office of Minority Business Enterprise (OMBE), Yes No N/A 3. Submitting a bid notification to the Alabama Small Business Development Center, Yes No N/A 4. Direct solicitation to contractors listed on the ALDOT DBE certified listing, Yes No N/A 5. Other activities. Yes No N/A   Please describe all actions taken and list documents checked for verification. If the answer to a, b, or c above was “No,” please explain.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   1. Does the grantee seek Section 3 businesses in CDBG funded contracts and subcontracts by: 2. Direct solicitation to local contractors, Yes No N/A 3. Locally advertising and/or posting in public places any CDBG contracting or job opportunities, Yes No N/A 4. Coordination with the local housing authority or other community group in the project area, Yes No N/A 5. Other activities Yes No N/A   Please describe all actions taken and list documents checked for verification. If the answer to a or b above was “No,” please explain.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  3. Has the grantee documented to ADECA the participation of Minority and Female-owned businesses and low- and very low-income persons in the CDBG program (Form 2516 – Contract/Subcontract Activity and Form 60002 - Section 3 Summary Report Economic Opportunities for Low- and Very Low-Income Persons)?  Yes \_\_\_\_\_ No \_\_\_\_\_  If no, explain\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

**HOME**: See the response above for CDBG. Also, points are awarded to projects which have committed in writing to target households on the public housing waiting list.

**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: See the response above for CDBG.

**Actions taken to provide assistance to troubled public housing authorities (PHAs).**

**CDBG**: Not applicable. The CDBG Program’s funds that are sub-granted to local communities in Alabama's non-entitlement areas are not expended to serve public housing, as such is addressed by the local public housing authorities (PHAs).

**HOME**: Not applicable.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**CR-35 Other Actions [see 24 CFR 91.220(j)-(k); 91.320(i)-(j)]**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing, such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment.**

**CDBG**: ADECA has reviewed many locally-produced CDBG grant applications, engineer reports, infrastructure studies, economic development plans, community revitalization plans, community public hearing/meeting minutes, and "analyses of impediments to fair housing choice" questionnaires that have been conducted by local governments applying for and receiving CDBG Program funds. In doing so, the State has identified what local communities consider to be barriers to affordable housing at the local level. These can involve/result in negative effects within those communities. The State's actions taken to address, remove, or ameliorate such negative effects include:

1. Land Use Restrictions: While in a poor and principally rural state like Alabama, land use regulations are unlikely to be adopted and/or enforced, so the State’s CDBG Program strategy has been to:

**●** Encourage land use practices that could maximize housing affordability and accessibility for low- and moderate-income persons (through the emphasis of expending CDBG grant funds for local infrastructure improvement projects, street and road improvement projects, drainage projects, and residential rehabilitation projects).

**●** Encourage local governments to establish zoning and minimum housing standards in Alabama’s rural areas (through the emphasis of expending CDBG grant funds for local residential rehabilitation projects).

**●** Encourage local governments to implementstrategic infrastructure expansion to serve suitable development, including that which expands housing opportunities for low- and moderate-income persons (through the emphasis of expending CDBG grant funds for economic development projects, local infrastructure improvement projects, street and road improvement projects, drainage projects, and residential rehabilitation projects).

2. Building Codes: The State's strategy has been to:

● Encourage local governments to modify and improve building codes - with an emphasis on affordability and energy conservation (through the emphasis of expending CDBG grant funds for local residential rehabilitation projects).

● Encourage local governments to develop new building technologies and methods where feasible (through the emphasis of expending CDBG grant funds for local residential rehabilitation projects).

3. Absence of Land Use Regulation: The State's strategy has been to:

**●** Encourage local governments to promote the development of planned mobile home parks, particularly in rural and small town areas (through the emphasis of expending CDBG grant funds for local infrastructure improvement projects, street and road improvement projects, drainage projects, and planning grants).

●Encourage local governments to remove substandard structures that are eyesores and which deter development in low- and moderate-income neighborhoods (through the emphasis of expending CDBG grant funds for local commercial and residential demolition projects).

4. Credit Environment: The State's strategy has been to:

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with addressing housing purchase down-payment issues faced in cases where other credit qualification factors are strong but the down-payment aspect appears to be difficult when facilitating an applicant’s purchase of a home.

●Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with encouraging Alabama's banks to pursue Community Reinvestment Act activities.

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with maintaining flexibility and creativity in mortgage lending practices if possible and where appropriate.

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with promoting in-kind services by financial lenders.

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with promoting lending practices that balance the interest of financial institutions with those of low- and moderate-income persons seeking affordable housing.

5. Fair Housing Issues/Discrimination: The State's strategy has been to:

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), and the Alabama State Banking Commission (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with monitoring and educating financial institutions on discriminatory practices.

● Work with the Alabama Realtors Association, the Alabama Center for Real Estate (ACRE), the Alabama State Banking Commission, and local governments (via face-to-face meetings, discussions, training sessions, continuing education seminars, etc.) so as to assist with promoting education and advocacy efforts to overcome local impediments or barriers to fair housing choice.

6. The NIMBY Syndrome: The State's strategy has been to:

● Encourage local governments to address and prevent the construction of poorly-planned commercial and residential developments that tend to perpetuate stereotypical images of lower income housing (through the emphasis of expending CDBG grant funds for local infrastructure projects, street and road improvement projects, planning grant projects, economic development projects, commercial and residential demolition projects, and residential rehabilitation projects).

7. Land Ownership Patterns: The State's strategy has been to:

●Encourage local governments to take measures that could positively impact local land ownership patterns (through the emphasis of expending CDBG grant funds for local infrastructure projects, street and road improvement projects, drainage projects, planning grant projects, economic development projects, commercial and residential demolition projects, and residential rehabilitation projects).

● Encourage local governments to support local code enforcement programs that put pressure on negligent landlords / weigh the costs of mandated repairs (through the emphasis of expending CDBG grant funds for local planning grant projects, economic development projects, commercial and residential demolition projects, and residential rehabilitation projects).

8. Costs Associated With Accessibility Compliance: The State's strategy has been to:

●Encourage local governments to conduct a local "analysis of impediments to fair housing choice" to determine accessibility issues and develop/implement possible solutions.

● Encourage local governments to monitor changing regulations, realities, and technologies that affect housing accessibility through conducting a local "analysis of impediments to fair housing choice."

● Encourage local governments to expend CDBG grant funds for local commercial and residential demolition projects, street and road improvement projects, and residential rehabilitation projects.

9. Fire Protection Costs: The State's strategy has been to:

●Encourage local governments to upgrade rural fire protection services (through the emphasis of expending CDBG grant funds for local infrastructure improvement projects, street and road improvement projects, fire vehicle purchases, e-911 enhancement projects, and improved fire protection via increased water flow) to improve quality of life, safety, health, and help with lower housing costs.

● Encourage local governments to maintain local awareness of potential partner programs that could help them address the needs of rural areas (through the emphasis of expending CDBG grant funds for local planning grant projects).

10. Transportation Costs: The State's strategy has been to:

●Encourage local governments to review their options for using the CDBG Program's funds to address transportation issues (through the emphasis of expending CDBG grant funds for local planning grant projects, and street and road improvement projects).

● Encourage local governments to consider options for elderly and disabled persons to remain living in affordable housing instead of having to move to assisted care housing (through the emphasis of expending CDBG grant funds for local planning grant projects, street and road improvement projects, and residential rehabilitation projects).

All of these efforts are the State's actions taken - in concert with local governments - to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing. Additionally, the State of Alabama's 2014-2015 "Analysis of Impediments to Fair Housing Choice" suggested several strategies the State could utilize to remove/ameliorate the barriers to fair housing and affordable housing. These strategies are identified in Alabama's AI as follows:

1. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama – both housing providers and housing consumers – concerning the State’s Fair Housing laws (*Code of Alabama 1975*, as amended, at §24-8-1 through §24-8-15), and the federal Fair Housing Act of 1968 that is administered by HUD, and their rights and obligations under those State and federal laws, particularly actions that would be in violation of fair housing laws.

2. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama concerning how prospective housing consumers can acquire and maintain good credit – as part of their effort to afford a home purchase or rent for an apartment.

3. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama concerning the characteristics and attributes of a predatory lending style of loan.

4. The State of Alabama, through ADECA, could identify lenders in Alabama who have disproportionately engaged in predatory-style lending within the State, and then publish the findings so that consumers could more easily obtain this information about these lenders.

5. The State of Alabama, through ADECA, could conduct audit testing to determine the number of properties currently in violation of the fair housing laws, and in particular the disability/accessibility standards concerning housing.

6. The State of Alabama, through ADECA, could contract with the State’s three Fair Housing Initiative Program providers (the Fair Housing Center of Northern Alabama in Birmingham, the Central Alabama Fair Housing Center in Montgomery, and the Mobile Fair Housing Center, Inc. in Mobile) or other entities to conduct audit testing and track enforcement activities, particularly in the non-entitlement areas of the State, as a means of detecting fair and affordable housing abuses, determining the extent of existing affordable housing barriers, determining the success of strategies implemented to remove or ameliorate the identified barriers to affordable housing, and determining the extent of enforcement of the fair housing laws within the State.

7. The State of Alabama, through ADECA, could form a task force to devise and oversee methods for positively affecting the identified barriers to affordable housing, and provide reports to ADECA on the task force’s activities.

8. ADECA could post on its website ([www.adeca.alabama.gov](http://www.adeca.alabama.gov)) information on fair housing, affordable housing, and related information concerning how to file a complaint under the State’s fair housing laws.

9. The State of Alabama, through ADECA, could work in tandem with other State agencies, local governments, and prospective business and industry when recruiting economic development at the local level so that all parties involved would be aware of affordable housing news and issues in those locations.

Throughout each grant year, ADECA has worked with the Alabama Realtors Association, the Alabama State Banking Commission, HUD's Birmingham Office, the Alabama Housing Finance Authority, Alabama's Fair Housing Centers, and local governments - through face-to-face meetings, discussions, training sessions, continuing education seminars, and CDBG grant implementation requirements - as ways of implementing the AI's strategies stated above.

Also, as is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involved “residential rehabilitation” as their primary grant activity. When completed, such activity by the State and its applicable local communities serves as an additional way in which the State's actions, taken in concert with local governments, have served to improve opportunities for affordable housing.

**HOME**: See the response above for CDBG. Also, AHFA has undertaken a number of efforts to maximize the participation of the private sector in Alabama’s HOME program. Seminars, taught by AHFA’s multifamily staff, are held annually and are attended by a wide range of participants, both veteran developers and inexperienced newcomers. AHFA offers Multifamily Essentials and Community Housing Development Organization (CHDO) training sessions which are attended by industry newcomers who wish to learn more about these AFHA programs. AHFA hosted Environmental Workshops for Environmental Professionals and potential applicants which focused on the changes to the AHFA Environmental Policy Requirements. These seminars and workshops review the latest HOME regulations, go over financial feasibility studies, and explain how to complete an AHFA funding application for HOME dollars.

To promote the HOME program, AHFA staff has participated in various seminars and meetings with organizations such as:

* Alabama Affordable Housing Association
* Alabama Bankers Association
* Alabama Association of Realtors®
* Alabama Multifamily Loan Consortium
* Alabama Department of Economic and Community Affairs
* Alabama Rural Coalition for the Homeless
* Alabama Continuums of Care
* Alabama Department of Mental Health
* Alabama Department of Veterans Affairs
* Collaborative Solutions
* Federal Home Loan Bank of Atlanta
* Home Builders Association of Alabama
* Housing Assistance Council
* Neighborhood Housing Services
* National Council of State Housing Agencies
* USDA Rural Development (Alabama)

Multi-family staff served as moderators and co-chairs at seminars hosted by some of these organizations and spoke to a number of groups regarding the HOME program.

AHFA plans to continue conducting HOME seminars each year. The seminars are varied each year in an effort to provide new information to participants. HOME brochures, created by AHFA staff, are available at meetings and seminars. These materials along with other resources are posted on the AHFA website, [www.ahfa.com](http://www.ahfa.com). The website contains relevant HOME and multifamily development information such as multifamily notices, program plans and application materials, program guidelines, pre/post-construction materials, developer frequently asked questions, and an opportunity for interested parties to join our mailing list.

**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: See the response above for CDBG and HOME.

**Actions taken to address obstacles to meeting underserved needs [see 24 CFR 91.220(k); 91.320(j)].**

**CDBG**: At the CDBG Program level, Alabama continues to maintain its eligibility to apply for and receive/be awarded federal CDBG Program funds that are annually made available from HUD. Alabama achieves this by employing ADECA staff who maintain the State’s compliance with HUD’s CDBG Program requirements pursuant to the laws, rules, regulations, and policy letters governing same. Alabama continues to encourage its non-entitlement communities to maintain their eligibility to apply for and receive CDBG Program funds by providing annual training and technical assistance to those communities on grant program eligibility, application, compliance, and closeout requirements, financial (accounting and audit) responsibilities, record-keeping responsibilities, and all other aspects regarding the operation of the CDBG Program at the local level.

At the local level, Alabama provides information on compliance with federal and state fair housing laws through education and outreach to housing providers and housing consumers throughout the State. This information includes fair housing laws (particularly those pertaining to discriminatory terms and refusal to rent aspects and other conditions, privileges, or facilities relating to rental housing), and disability access laws (particularly those pertaining to rental housing with respect to discrimination, and facilities’ reasonable accommodations and modifications). Alabama makes available to the public the State of Alabama’s “Analysis of Impediments to Fair Housing Choice” by posting the AI on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/Strategic-Plans-and-Action-Plans.aspx>.

Alabama conducts research and accumulates information and data on housing from local community surveys and the U.S. Census website, and keeps current with how the “Analysis of Impediments to Fair Housing Choice” is having an impact through the local community sub-grant recipients' responses to ADECA's *Suggested Assessment Guide for Community Assessment of Fair Housing (formerly “Analysis of Impediments to Fair Housing Choice / AI”)* that is included herein above at **CR-30 Public Housing - Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**. Alabama also continues to correspond with / work with the three Fair Housing Centers in the State (the Fair Housing Center of Northern Alabama located in Birmingham, the Central Alabama Fair Housing Center located in Montgomery, and the Mobile Fair Housing Center located in Mobile) and other fair housing entities (such as those who work with the Hispanic population and the Asian population, and those who work with special needs populations including the disabled and the elderly residents) to keep actively engaged with them and their targeted populations within the local communities so that they are periodically providing to ADECA - through their local governments - information on housing/community/economic development issues and resolution efforts. Alabama also observes the month of April as Fair Housing Month within the State in that ADECA obtains an annual proclamation from the Governor’s Office declaring the month of April as Fair Housing Month in Alabama. ADECA annually distributes fair housing posters to local governments, grant administrators, housing providers, and interested consumers/members of the public throughout the State. ADECA posts the HUD fair housing posters on the ADECA website at www.adeca.alabama.gov and at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/Community-Development-Programs.aspx#News> and at <https://adeca.alabama.gov/Divisions/ced/cdp/Documents/April%202019%20Fair%20Housing%20Month.pdf>. ADECA also emphasizes Fair Housing Month activities at its annual CDBG grant application workshop and CDBG grant compliance workshop (see the ADECA website for these training resources at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Application-Manual.aspx> and at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Compliance-Training-Videos.aspx>).

In April 2015, ADECA also instituted its new fair housing compliance methods for local governments who are CDBG grant recipients. In conjunction with the 2015-2019 Five-Year Consolidated Plan, ADECA issued the "April 15, 2015 Fair Housing and Equal Opportunity Information" memorandum (included below) signed by ADECA’s then-Director Jim Byard. This memorandum was subsequently issued in April 2016, April 2017, and April 2018 to continue ADECA's fair housing efforts with its local government grant recipients. Per this memorandum, beginning with the PY2015 CDBG grants, the local government grant recipients are required to implement two activities (issue a “Fair Housing Month” proclamation during the month of April, and publish and/or display bilingual fair housing information for non-English speaking residents in the community) as well as implement at least two additional fair housing activities (listed in the memorandum) with the intent that these activities provide assistance in satisfying the requirements of the federal Fair Housing Act. ADECA also mandates that each CDBG-funded community document the fair housing actions that are implemented, those documents are to be retained in the community’s CDBG Program file, and that file must be made available to the public in an accessible format. ADECA also monitors each funded community for compliance with the fair housing and equal opportunity requirements.

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| (ADECA Letterhead)  April 15, 2015  **TO:** Interested Parties  **FROM:** Jim Byard, Jr.  ADECA Director  **SUBJECT:** Fair Housing and Equal Opportunity Information  April is Fair Housing Month. In recognition of this, the Governor of Alabama has issued a Proclamation in honor of Fair Housing Month in the State of Alabama. This Proclamation recognizes the significance of fair housing, and encourages all Alabamians to observe and support both the letter and spirit of the Fair Housing Laws. Attached is a copy of the Governor’s Proclamation. We encourage all grantees, as well as interested parties, to help us observe and support Fair Housing Month.  Each applicant for, and recipient of, HUD funds is expected to carry out the various “fair housing” and “equal opportunity” provisions of the Housing and Community Development Act of 1974, as amended, and other applicable civil rights laws and regulations. The attachment to this memorandum identifies and briefly summarizes a variety of statutory requirements, along with measures or actions that can be undertaken at the local government level, to assure compliance. In particular, the Fair Housing Act requires that each grantee work diligently to affirmatively further fair housing within its local jurisdiction by developing voluntary programs to achieve fair housing goals, and information on the attachment will assist local governments in achieving those goals.  Also, attached herewith are Equal Housing Opportunity posters that are published in both English and Spanish language versions. These posters should be displayed within the grantee’s local government buildings and other public facilities.  If you have questions or need additional information, please call Shabbir Olia, CED Unit Chief, at 334-242-5468.  JB:KR:dm  Attachments  ­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­­\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **Fair Housing and Equal Opportunity**  The U.S. Department of Housing and Urban Development (HUD) has put forth non-discrimination requirements that were compiled from a number of different federal laws designed to protect each individual’s right to fair housing and equal opportunity. These laws include the following:  **Title VIII of the Civil Rights Act of 1968 (the Fair Housing Act)**, as amended, prohibits discrimination in the sale, rental, advertisement, and financing of residential real estate on the basis of race, color, religion, sex, or national origin. The **Fair Housing Amendments Act of 1988** extended this protective coverage to disabled individuals and families with children.  **Title VI of the Civil Rights Act of 1964** provides that no person shall be excluded from participation in, be denied the program benefits of, nor subjected to discrimination on the basis of race, color, or national origin under any program receiving federal financial assistance.  **Section 504 of the Rehabilitation Act of 1973**, as amended, prohibits discrimination based on disability in any program or activity receiving federal financial assistance.  **Section 109 of Title I of the Housing and Community Development Act of 1974**, as amended, prohibits discrimination on the basis of race, color, national origin, sex, or religion in programs and activities receiving financial assistance from HUD.  **Section 3 of the Housing and Urban Development Act of 1968**, as amended, requires that recipients of certain HUD financial assistance, to the greatest extent feasible, provide job training, employment, and contracting opportunities for low or very-low income residents in connection with federally-financed projects and activities in their neighborhoods.  **The Age Discrimination Act of 1975**, as amended, prohibits discrimination on the basis of age in programs or activities receiving federal financial assistance.  **Executive Order 11063** prohibits discrimination in the sale, leasing, rental, or other disposition of properties and facilities owned or operated by the federal government or provided with federal funds.  **Executive Order 11246**, as amended, bars discrimination in federal employment because of race, color, religion, sex, or national origin during the performance of federal or federally-assisted contracts in excess of $10,000.  **The Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments (the Common Rule)** includes requirements to maximize the use of small, minority-owned, and female-owned businesses in procurement and contracting with federal funds.  In the State’s efforts to comply with these various laws as well as additional HUD program-related requirements, during 2014 and 2015 ADECA conducted an *Analysis of Impediments to Fair Housing Choice* (*AI*), which is now available for review on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov).  The *AI* will be used to assist the State in determining what barriers confront Alabama’s citizens who are seeking affordable housing for themselves and/or their families, and in determining what tools can be employed to assist the communities and their citizens in addressing and/or eliminating said barriers.  Contained on the below *List of Required Activities to Affirmatively Further Fair Housing* are activities to be implemented as a means for a community to comply with HUD’s requirement to affirmatively further fair housing within its jurisdiction. Each community that receives PY2015 CDBG Program funds from ADECA will be required to implement the following two (2) activities:  **LIST OF REQUIRED ACTIVITIES TO AFFIRMATIVELY FURTHER FAIR HOUSING:**  1. Issue a “Fair Housing Month” proclamation during the month of April.  2. Publish and/or display bilingual fair housing information for non-English speaking residents in the community.  Further, ADECA requests that each funded community implement at least two (2) additional fair housing activities. The grantee may select these activities contained on the below *List of Suggested Activities to Affirmatively Further Fair Housing*, with the intent that these activities are designed to provide assistance in satisfying the requirements of the Fair Housing Act. However, each community may find it necessary to modify one or more of the suggested activities to address the special needs and demands of that particular community. Also, this list of activities is not meant to be all inclusive. Other activities may be devised and implemented based upon the funded community’s needs.  Each funded community must document the fair housing actions that are implemented, which documents are to be retained in the community’s CDBG Program file that is maintained on the funded project. That file must be made available to the public in an accessible format. Each funded community will also be monitored by ADECA for compliance with these fair housing and equal opportunity requirements. Such information and documentation will be required to be submitted to ADECA at appropriate times during the grant process.  **LIST OF SUGGESTED ACTIVITIES TO AFFIRMATIVELY FURTHER FAIR HOUSING:**   1. Design and air radio or television public service announcements on fair housing.   2. Develop a public information program on fair housing by using local media (newspapers, radio stations, billboards), bulletin boards, churches, utility bill mailings, and other similar measures to ensure that all segments of the community - particularly real estate brokers, landlords, financial institutions, and the minority community - are aware of fair housing requirements.  3. Examine local zoning ordinances to guard against exclusionary or discriminatory zoning practices that impact fair housing.  4. Enact and enforce a local fair housing ordinance and/or resolution.  5. Form a local task force to develop a fair housing assistance program within the community.  6. Provide fair housing counseling that assists minorities in finding housing outside of areas of minority concentration.  7. Develop local fair housing enforcement and complaint resolution mechanisms so that they include the following activities:  (i) advertise how fair housing enforcement and complaint processes work,  (ii) establish a procedure for investigating fair housing complaints,  or partner with a regional Fair Housing Initiative Program  (FHIP) office to do so,  (iii) develop fair housing complaint forms,  (iv) notify residents where to file complaint forms and who to  contact regarding the fair housing complaints,  (v) pursue resolution of fair housing discrimination complaints,  (vi) monitor for compliance, and  (vii) document and maintain records on these processes.  8. Survey the special housing needs of minorities, women, families, the elderly, and the disabled within the community, determine any effects of fair housing discrimination on those populations, and determine methods to address the effects.  9. Maintain continual contact with local banking and financial lending institutions, including pay-day lenders, to ensure non-discrimination and equal treatment in their lending rates and loan approvals for use in housing purchases, particularly those involving black, Hispanic, and female householders/loan applicants.  10. Provide and/or conduct outreach and education activities for prospective housing consumers on the topic of how to acquire and maintain good credit for use in the rental or purchase of housing, and maintain information on the outreach and education activities undertaken and the number of participants in those activities.  11. Provide and/or conduct outreach and education activities for the community’s prospective housing consumers on the topic of predatory-style lending, and maintain information on the outreach and education activities undertaken and the number of participants in those activities.  12. Provide and/or conduct outreach and education activities for housing providers and housing consumers on the topic of actions that are in violation of fair housing laws, and maintain information on the outreach and education activities undertaken and the number of participants in those activities.  13. Work with the regional Fair Housing Initiative Program (FHIP) offices in conducting fair housing audit testing and enforcement activities within the community to determine the number of properties that are in violation of fair housing laws and disability standards, and track the outcome of these activities by maintaining information on:  (i) the resources committed to the testing and enforcement  activities,  (ii) the number of audit tests undertaken,  (iii) the properties identified as being in compliance or out of  compliance with fair housing laws and disability standards,  (iv) the number and types of violations found,  (v) the protected classes impacted by the violations, and  (vi) the actions taken to address the out-of-compliance properties.  14. Include language on the community’s website concerning fair housing that:  (i) notes that discrimination in the housing market is illegal under  federal and state fair housing laws,  (ii) describes who is covered/defines the classes that are protected  under the fair housing laws,  (iii) provides examples of violations, and  (iv) provides information on how to register complaints pertaining to  fair housing law violations.  15. Distribute the Fair Housing-Rental Units posters (enclosed herewith) to landlords and apartment complexes within the community, and request that they display the posters on their premises as a way to promote compliance with fair housing laws.  16. Discuss fair housing issues at local government public hearings, and distribute or otherwise make available HUD’s fair housing brochures to the attendees.  17. Promote and encourage the accessibility of Community Development Block Grant (CDBG) Program-related and fair housing-related information to persons with disabilities, to persons with limited English proficiency, and to the local CDBG project’s beneficiaries.  18. When conducting the pre-bid meeting for construction activities that are included in the local CDBG-funded project, inform the participants of:  (i) the 24 CFR Part 570.507(c) and HUD Form 2516 requirements  to engage minority-owned businesses in prime contractor and  sub-contractor activities on the project, and  (ii) the HUD Section 3 requirement to help foster economic  development, neighborhood economic improvement, and  individual self-sufficiency by providing job training,  employment, and contracting opportunities for low- or very  low-income residents in connection with projects and activities  in their neighborhoods.  For additional information on the topic of fair housing and equal opportunity, we encourage you to visit HUD’s website at <http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_equal_opp>, and ADECA’s website at <http://www.adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Fair>. |

This memorandum was subsequently revised, updated, and reissued in April 2019 and April 2020 (included below), signed by ADECA’s current Director Kenneth Boswell, to continue ADECA's fair housing efforts with its local government grant recipients. The memorandum is also available on the ADECA website at <https://adeca.alabama.gov/Divisions/ced/cdp/Documents/April%202019%20Fair%20Housing%20Month.pdf>.

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| March 2, 2020  **TO:**  Interested Parties  **FROM:** Kenneth W. Boswell  ADECA Director  **SUBJECT:** Fair Housing and Equal Opportunity Information  April is Fair Housing Month. In recognition of this, the Governor of Alabama has issued a Proclamation in honor of Fair Housing Month in the State of Alabama. This Proclamation recognizes the significance of fair housing and encourages all Alabamians to observe and support both the letter and spirit of the Fair Housing Laws. Attached is a copy of the Governor's Proclamation. We encourage all grant recipients and interested parties to help us observe and support April as Fair Housing Month.  Each applicant for, and recipient of, U.S. Department of Housing and Urban Development (HUD) grant funds is expected to carry out the various "fair housing" and "equal opportunity" provisions of the Housing and Community Development Act of 1974, as amended, and other applicable civil rights laws and regulations. In particular, Title VIII of the Civil Rights Act of 1968 (the Fair Housing Act) and the Fair Housing Amendments Act of 1988 prohibit discrimination in the sale, rental, advertisement, and financing of residential real estate on the basis of race, color, religion, sex, national origin, disability, and familial status. The attachment to this Memorandum identifies and briefly summarizes a variety of statutory requirements, and also provides measures or actions that can be undertaken at the local government level to assure compliance with these mandates. In particular, note that the Fair Housing Act requires that each grant recipient work diligently to affirmatively further fair housing within its local jurisdiction by developing voluntary programs to achieve fair housing goals. The information stated in the attachment hereto will assist local governments in achieving those goals.  Also, available on the HUD website are Equal Housing Opportunity posters that are published in English and other language versions. These posters may be accessed at  <https://www.hud.gov/program_offices/fair_housing_equal_opp/marketing> and should be displayed within the grant recipient's local government buildings and other public facilities.  If you have questions or need additional information, please contact Dr. Kathleen Rasmussen in ADECA's Community and Economic Development Division at 334-353-0323 or at [Kathleen.rasmussen@adeca.alabama.gov](mailto:Kathleen.rasmussen@adeca.alabama.gov).  KWB:SAO:TN  Attachment  **Fair Housing and Equal Opportunity**  The U.S. Department of Housing and Urban Development (HUD) has put forth non-discrimination requirements that were compiled from a number of different federal laws designed to protect each individual’s right to fair housing and equal opportunity. These laws include the following:  **Title VIII of the Civil Rights Act of 1968 (the Fair Housing Act)**, as amended, prohibits discrimination in the sale, rental, advertisement, and financing of residential real estate on the basis of race, color, religion, sex, or national origin. The **Fair Housing Amendments Act of 1988** extended this protective coverage to disabled individuals and families with children.  **Title VI of the Civil Rights Act of 1964** provides that no person shall be excluded from participation in, be denied the program benefits of, nor be subjected to discrimination on the basis of race, color, or national origin under any program receiving federal financial assistance.  **Section 504 of the Rehabilitation Act of 1973**, as amended, prohibits discrimination based on disability in any program or activity receiving federal financial assistance.  **Section 109 of Title I of the Housing and Community Development Act of 1974**, as amended, prohibits discrimination on the basis of race, color, national origin, sex, and religion in programs and activities receiving financial assistance from HUD.  **Section 3 of the Housing and Urban Development Act of 1968**, as amended, requires that recipients of certain HUD financial assistance, to the greatest extent feasible, provide job training, employment, and contracting opportunities for low or very-low income residents in connection with federally-financed projects and activities in their neighborhoods.  **The Age Discrimination Act of 1975**, as amended, prohibits discrimination on the basis of age in programs or activities receiving federal financial assistance.  **Executive Order 11063** (issued on November 20, 1962) prohibits discrimination in the sale, leasing, rental, or other disposition of properties and facilities owned or operated by the federal government or provided with federal funds.  **Executive Order 11246** (issued on September 24, 1965), as amended, bars discrimination against an employee or applicant for federal employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin during the performance of federal or federally-assisted contracts in excess of $10,000.  **The Office of Management and Budget's “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards” (the Super Circular)** includes requirements to maximize the use of small, minority-owned, and female-owned businesses in procurement and contracting with federal funds.  In the State’s efforts to comply with these various laws and additional HUD program-related requirements, ADECA previously conducted an *Analysis of Impediments to Fair Housing Choice* (*AI*) during 2014-2015 (that has been published and can be accessed on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov)), and is currently conducting an *AI* during 2019-2020 (that will be published when completed and is accessible in draft form on the same ADECA website). These *AI*s are used to assist the State in determining what barriers confront Alabama’s citizens who are seeking affordable housing for themselves and/or their families. These *AI*s can also be used to determine what tools can be utilized to assist local communities and their citizens in addressing and/or eliminating such barriers.  Contained on the below *List of Required Activities to Affirmatively Further Fair Housing* are two (2) activities to be implemented as a means for a community to comply with HUD’s requirement to affirmatively further fair housing within its jurisdiction. Each community that receives CDBG grant program funds from ADECA in PY2020 and/or subsequent years will be required to implement the following two (2) activities:  **LIST OF REQUIRED ACTIVITIES TO AFFIRMATIVELY FURTHER FAIR HOUSING:**  1. Issue a “Fair Housing Month” proclamation for the month of April.  2. Publish and/or display bilingual fair housing information for non-English speaking residents in the community.  Further, ADECA requests that each CDBG-funded community implement at least two (2) additional fair housing activities. Each community may select the activities contained on the below *List of Suggested Activities to Affirmatively Further Fair Housing* with the intent that these activities are designed to provide assistance in satisfying the requirements of the Fair Housing Act and related laws. However, each community might find it necessary to modify one or more of the suggested activities to address the special needs and demands within that particular community. Also, this list of activities is not meant to be all inclusive, and other activities may be devised and implemented based upon each community’s needs.  Each CDBG-funded community must document the fair housing actions that it implements, and those documents are to be retained in the community’s CDBG grant program file that is maintained on the CDBG-funded project. That file must be made available to the public, and in accessible formats. The community will also be monitored by ADECA for compliance with these fair housing and equal opportunity requirements during the CDBG grant administration process. Additionally, such information and documentation could also be required to be submitted to ADECA at various times throughout that process.  **LIST OF SUGGESTED ACTIVITIES TO AFFIRMATIVELY FURTHER FAIR HOUSING:**   1. Design and air radio or television public service announcements on fair housing.   2. Develop a public information program on fair housing by using local media (newspapers, radio stations, billboards), bulletin boards, churches, utility bill mailings, and other similar measures to ensure that all segments of the community - particularly real estate brokers, landlords, financial lending institutions, and the minority community - are aware of fair housing requirements.  3. Examine local zoning ordinances and make necessary changes to guard against exclusionary or discriminatory zoning practices that impact fair housing.  4. Enact and enforce a local fair housing ordinance and/or resolution.  5. Form a local task force to develop a fair housing assistance program within the community.  6. Provide fair housing counseling that assists minorities in finding housing outside of areas of minority concentration.  7. Develop local fair housing enforcement and complaint resolution mechanisms so that they include the following activities:  (i) advertise how fair housing enforcement and complaint processes work,  (ii) establish a procedure for investigating fair housing complaints,  or partner with a regional Fair Housing Initiative Program (FHIP)  office located within Alabama to do so,  (iii) develop fair housing complaint forms,  (iv) notify residents where to file complaint forms and who to  contact regarding their fair housing complaints,  (v) pursue resolution of fair housing complaints,  (vi) monitor for compliance, and  (vii) document and maintain records on these processes.  8. Survey the special housing needs of minorities, women, families, elderly, and disabled residents within the community so as to determine any effects of fair housing discrimination on those populations, and to determine methods to address the effects.  9. Maintain continual contact with local banking and financial lending institutions, including pay-day lenders, to ensure non-discrimination and equal treatment in their lending rates and loan approvals for use in housing purchases, particularly those involving black, Hispanic, and female householders/loan applicants.  10. Provide and/or conduct outreach and education activities for prospective housing consumers on the topic of how to acquire and maintain good credit for use in the rental or purchase of housing, and maintain information on those outreach and education activities undertaken and their numbers of participants.  11. Provide and/or conduct outreach and education activities for the community’s prospective housing consumers on the topic of predatory-style lending, and maintain information on those outreach and education activities undertaken and their numbers of participants.  12. Provide and/or conduct outreach and education activities for housing providers and housing consumers on the topic of actions that are in violation of fair housing laws, and maintain information on those outreach and education activities undertaken and their numbers of participants.  13. Work with the regional Fair Housing Initiative Program (FHIP) offices in conducting fair housing audit testing and enforcement activities within the community to determine the number of properties that are in violation of fair housing laws and disability standards, and track the outcome of these activities by maintaining information on:  (i) the resources committed to the testing and enforcement  activities,  (ii) the number of audit tests undertaken,  (iii) the properties identified as being in compliance or out of  compliance with fair housing laws and disability standards,  (iv) the number and types of violations found,  (v) the protected classes impacted by the violations, and  (vi) the actions taken to address the out-of-compliance properties.  14. Include language on the community’s website concerning fair housing that:  (i) notes that discrimination in the housing market is illegal under  federal and state fair housing laws, and local ordinances – if applicable,  (ii) describes who is covered/defines the classes that are protected  under the fair housing laws,  (iii) provides examples of violations, and  (iv) provides information on how to register complaints pertaining  to fair housing law violations.  15. Distribute HUD’s Fair Housing-Rental Units posters (available on HUD’s website) to landlords and apartment complexes within the community, and request that they display those posters on their premises as a way to promote compliance with fair housing laws in the community.  16. Discuss fair housing issues at local government public hearings, and distribute or otherwise make available HUD’s fair housing brochures to attendees.  17. Promote and encourage the accessibility of CDBG Program-related and fair housing-related information to the local CDBG-funded project’s beneficiaries, and to persons with disabilities and persons with limited English proficiency.  18. When conducting the pre-bid meeting for construction activities that are included in the local CDBG-funded project, inform the attendees of:  (i) the 24 CFR Part 570.507(c) and HUD Form 2516 requirements  to engage minority-owned businesses in prime contractor and  sub-contractor activities on the project, and  (ii) the HUD Section 3 requirement to help foster economic  development, neighborhood economic improvement, and  individual self-sufficiency by providing job training,  employment, and contracting opportunities for low- or very  low-income residents in connection with projects and activities  in their neighborhoods.  For additional information on the topic of fair housing and equal opportunity, ADECA encourages you to access HUD’s website at <http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_equal_opp>, and ADECA’s website at <http://www.adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Fair>. |

ADECA also encourages it local non-entitlement communities to apply for ADECA’s CDBG grant funds under the “residential rehabilitation” activity. As is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involve “residential rehabilitation” as their primary grant activity. When completed, such activity by the State and its applicable local communities serves as an additional way in which the State's actions, taken in concert with local governments, have served as actions taken to improve opportunities for affordable housing and to address obstacles to meeting underserved housing needs.

**HOME**: See the response above for CDBG. Also, AHFA has undertaken a number of efforts to maximize the participation of the private sector in Alabama’s HOME program. Seminars, taught by AHFA’s multifamily staff, are held annually and are attended by a wide range of participants, both veteran developers and inexperienced newcomers. AHFA offers Multifamily Essentials and Community Housing Development Organization (CHDO) training sessions which are attended by industry newcomers who wish to learn more about these AFHA programs. AHFA hosted Environmental Workshops for Environmental Professionals and potential applicants which focused on the changes to the AHFA Environmental Policy Requirements. These seminars and workshops review the latest HOME regulations, go over financial feasibility studies, and explain how to complete an AHFA funding application for HOME dollars.

To promote the HOME program, AHFA staff has participated in various seminars and meetings with organizations such as:

* Alabama Affordable Housing Association
* Alabama Bankers Association
* Alabama Association of Realtors®
* Alabama Multifamily Loan Consortium
* Alabama Department of Economic and Community Affairs
* Alabama Rural Coalition for the Homeless
* Alabama Continuums of Care
* Alabama Department of Mental Health
* Alabama Department of Veterans Affairs
* Collaborative Solutions
* Federal Home Loan Bank of Atlanta
* Home Builders Association of Alabama
* Housing Assistance Council
* Neighborhood Housing Services
* National Council of State Housing Agencies
* USDA Rural Development (Alabama)

Multi-family staff served as moderators and co-chairs at seminars hosted by some of these organizations and spoke to a number of groups regarding the HOME program.

AHFA plans to continue conducting HOME seminars each year. The seminars are varied each year in an effort to provide new information to participants. HOME brochures, created by AHFA staff, are available at meetings and seminars. These materials along with other resources are posted on the AHFA website, [www.ahfa.com](http://www.ahfa.com). The website contains relevant HOME and multifamily development information such as multifamily notices, program plans and application materials, program guidelines, pre/post-construction materials, developer frequently asked questions, and an opportunity for interested parties to join our mailing list.

**ESG**: See the response above for CDBG.

**HOPWA**: The HOPWA Program’s Project Sponsor, AIDS Alabama, completed its sixth Statewide Needs Assessment Survey in 2019. With the help of its sister AIDS Service Organizations across the state, AIDS Alabama surveyed 446 HIV-positive individuals in Alabama. This survey is unique in that that AIDS Alabama has gathered much of the same data throughout the previous four surveys, allowing the opportunity to evaluate trends that have developed over the last decade. Topics assessed ranged from income, support and housing stability, religion, and relationships to anti-retroviral therapy, physical and mental health factors, and substance use. Also, see the response above for CDBG. AIDS Alabama will conduct its sixth Statewide Needs Assessment Survey with an expected target date for August 1, 2019 until November 30, 2019.

**HTF**: See the response above for CDBG and HOME.

**Actions taken to reduce lead-based paint hazards [see 24 CFR 91.220(k); 91.320(j)].**

**CDBG**: The State’s actions regarding reducing lead-based paint hazards in its CDBG Program include the following. ADECA encourages units of local government that are applicants for CDBG funds to expend those funds on the “residential rehabilitation” activity. Once said funds are awarded to local communities for expenditure on housing rehabilitation, ADECA’s CDBG program managers then monitor those grants by utilizing, in part, the ADECA CDBG Program’s “Housing Rehabilitation Compliance Checklist,” which is the monitoring checklist for the “residential rehabilitation” activity. Included on that checklist are the following questions pertaining to lead-based paint:

(1) “Lead based paint clause - that is to be signed by occupant and made a part of the contractor’s contract,” and

(2) “Property Information/Lead Based Paint” - which specifically asks:

“If the dwelling unit was constructed prior to 1978, which method of lead-based paint treatment was used to bring the dwelling unit(s) into compliance with DHUD’s final rule found at 24 CFR Part 35, et.al. **NOTE:** Only one of the options listed below should be selected:

(i) Abatement where hard cost [as defined by 24CFR 35,915 (b)] are $25,000 or greater. If yes, provide the Safe State accreditation number for the accredited professional(s) who performed the risk assessment and clearance testing.

(ii) Interim Controls (costs are between $5,000 and $25,000)? If yes, provide the Safe State accreditation number for the accredited professional(s)who performed the risk assessment and clearance testing.

(iii) Standard Treatment (costs are between $5,000 and $25,000)? If yes, provide the Safe State accreditation number for the accredited professional(s) who performed the clearance testing.

(iv) No treatment (costs are $5,000 or less).

(v) Did the housing unit pass the lead clearance test? If not, explain.

(vi) Is there documentation on file that contractors attended an approved Lead-Based Paint Safe Work Practices program?”

The ADECA CDBG Program’s “Housing Rehabilitation Compliance Checklist” is below and on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx>.

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| |  |  | | --- | --- | | Grantee Name |  | | Project No. |  | | Preparer |  | | Date Prepared |  | | Follow-up Review Indicated |  |  Housing Rehabilitation Compliance ChecklistI. Case File Reviews  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  | Yes | No | N/A | Notes | | A. | Applicant Information |  |  |  |  | |  | * Application |  |  |  |  | |  | * Applicant’s name & address |  |  |  |  | |  | * Owner’s name & address (If applicant is tenant) |  |  |  |  | |  | * Proof of ownership |  |  |  |  | |  | * Rating sheet |  |  |  |  | |  | * Number of families occupying dwelling |  |  |  |  | |  | * Number of persons occupying dwelling |  |  |  |  | |  | * Verification of income/assets and verification of income eligibility |  |  |  |  | | B. | Contract Information |  |  |  |  | |  | * Rehabilitation standards used |  |  |  |  | |  | * Is there a copy of standards on file? |  |  |  |  | |  | * Is this partial (emergency) rehabilitation? |  |  |  |  | |  | * Date work began & ended |  |  |  |  | |  | * Is match involved? (If yes, list amount) |  |  |  |  | |  | * Is there evidence of expenditure of match? |  |  |  |  | |  | * Amount of contract |  |  |  |  | |  | * Anticipated completion date (60 days from date of contract) |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  | Yes | No | N/A | Notes | |  | * Contractor selection (Low bid, negotiation, lottery, etc.) |  |  |  |  | |  | * Initial inspection/work write-up |  |  |  |  | |  | * Bid received (list dates under notes) |  |  |  |  | |  | * Homeowner’s agreement |  |  |  |  | |  | * Right of entry permit |  |  |  |  | |  | * Right of rescission (owner has three days to withdraw from the program) |  |  |  |  | |  | * Notice to proceed |  |  |  |  | |  | * Progress inspections |  |  |  |  | |  | * Final inspections |  |  |  |  | |  | * Financial closeout statement |  |  |  |  | |  | * Lead based paint clause (should be signed by occupant and made a part of the contractor’s contract) |  |  |  |  | |  | * Mechanics lien waiver (Contractor must sign, guaranteeing that all materials are paid for and work is done and paid for. Should be completed before final payment.) |  |  |  |  | |  | * Verification of contractor insurance |  |  |  |  | |  | * Are there change orders? |  |  |  |  | |  | * If yes, Are they signed? |  |  |  |  | |  | * Amount of change order: |  |  |  |  | |  | * New contract amount: |  |  |  |  | |  | * Do change orders appear necessary & reasonable? |  |  |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | C. | Property Information/Lead Based Paint |  |  |  |  | |  | * Is temporary relocation involved? |  |  |  |  | |  | * If yes, did occupant receive relocation benefits? |  |  |  |  | |  | * Date of final occupancy: |  |  |  |  | |  | * If the dwelling unit was constructed prior to 1978, which method of lead-based paint treatment was used to bring the dwelling unit(s) into compliance with DHUD’s final rule found at 24 CFR Part 35, et.al. **NOTE:** Only one of the options listed below should be selected. |  |  |  |  | |  | * Abatement where hard cost (as defined by 24CFR 35,915 (b)) are $25,000 or greater. If yes, provide the Safe State accreditation number for the accredited professional(s) who performed the risk assessment and clearance testing: |  |  |  |  | |  | * Interim Controls (costs are between $5,000 and $25,000) If yes, provide the Safe State accreditation number for the accredited professional(s)who performed the risk assessment and clearance testing: |  |  |  |  | |  | * Standard Treatment (costs are between $5,000 and $25,000) If yes, provide the Safe State accreditation number for the accredited professional(s) who performed the clearance testing: |  |  |  |  | |  | * No treatment (costs are $5,000 or less). |  |  |  |  | |  | * Did the housing unit pass the lead clearance test? If not, explain. |  |  |  |  | |  | * Is there documentation on file that contractors attended an approved Lead-Based Paint Safe Work Practices program? |  |  |  |  | |  | * Is the property located in a flood zone? |  |  |  |  | |  | * If yes, is insurance available and required? |  |  |  |  | | D. | Field Review |  |  |  |  | |  | * Is owner satisfied? If no, state owner’s complaints. |  |  |  |  | |  | * Does accomplished work comply with work write-up and is it consistent with program criteria for eligible improvements? List any violation that was addressed in the write-up, but was not accomplished. |  |  |  |  | |  | * List any other violations that were noted. |  |  |  |  |   NOTE: 1. Ten percent (but no less than five) of the completed case files should be examined by the ADECA monitor.  **2. If extra space is needed use back of page.** **Program Information**  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | A. | Generally, have program policies been in compliance with the Rehabilitation Policies and Procedures Manual and application? |  |  |  |  | | B. | Is demolition involved in the project? |  |  |  |  | |  | * If yes, does it comply with the adopted residential anti-displacement and relocation assistance plan and the “one for one” replacement rule as defined by the Barney Frank amendment? |  |  |  |  | | C. | List all contractors who were approved for this project: |  |  |  |  | | D. | Total number of houses rehabilitated as of monitoring. |  |  |  |  | | E. | Is there evidence of conflict of interest involving approved contractors/owners, etc.? |  |  |  |  | |

Further, labama Department of Public Health requires the ADECA ded the Alabama Department of Public Health maintains the Alabama Lead Contractors Certification Program, which is a statewide program authorized by Alabama Act No. 97-553. The Lead Reduction Act of 1997 established the procedures for certification of contractors or firms that perform lead-based paint inspections, risk assessments, abatement, and renovation activities in target housing (pre-1978) and child-occupied facilities. This program also requires that all persons engaged in lead-based paint activities (abatement or renovation) in target housing and child-occupied facilities be properly trained, that training programs are accredited, that firms are certified, and that all lead-based paint activities be performed by accredited individuals of certified firms according to the prescribed work practice standards contained in these rules. The Alabama Lead Contractor Certification Program consists of Lead Hazard Reduction Contractor Certification (Abatement) and Alabama Lead Renovation Contractor Certification. Also, notwithstanding any rule provision previously adopted by the Alabama State Board of Health, pursuant to *Code of Alabama 1975*, § 41-1-11, the Alabama Department of Public Health shall collect fees for the services as set out below.

Lead hazard Reduction Contractor Certification (Abatement, Inspector and/or Risk Assessor, Designer):

1. Initial Application Fee: $300  
2. Annual Renewall Fee: $240  
3. ReplacementCertificate: $30  
4. Project Fees:

* Residential Dwelling Unit: $120 per residential dwelling unit covered by the project notification for project of 1 to 5 dwelling units up to $600. For projects exceeding 5 residential dwelling units, the fee shall be $600 plus 2% (.02) of the contract (project) cost covered by the project notification but not to exceed $12,000.
* Child Occupied Facilities: $300 for each child occupied facility plus 2% (.02) of the contract (project) cost covered by the project notification but not to exceed $12,000.
* Combined Mixed Use Project: (Project which contains both residential dwelling units and child occupied facilities): $120 per residential dwelling unit covered by the project notification for project of 1 to 5 dwelling units up to $600, $300 for each child occupied facility, plus 2% (.02) of the contract (project) cost covered by the project notification but not to exceed $12,000.

Lead Renovation Contractor Certification:  
1. Initial Application Fee: $300  
2. Annual Renewall Fee: $300  
3. ReplacementCertificate: $30

## Alabama Lead Hazard Reduction Contractor Certification (Abatement): The Alabama Department of Public Health's Lead Hazard Reduction Contractor Certification rules took effect on September 5, 1998. Under this certification, the University of Alabama's Safe State environmental program accredits and registers individual lead professionals such as lead inspectors, lead risk assessors, training providers, lead abatement supervisors, lead abatement workers, and lead abatement project designers. The Alabama Department of Public Health certifies lead abatement firms and provides enforcement of all state lead regulations. Further, these rules establish requirements for abatement project notifications, abatement work practices, and for record keeping.

Alabama Lead Renovation Contractor Certification: The Environmental Protection Agency’s (EPA) Renovation, Repair and Painting (RRP) rules took effect on April 22, 2010. The University of Alabama’s Safe State Environmental Program and the Alabama Department of Public Health have modified and promulgated Alabama’s lead rules to allow the state to take over the RRP program as part of its current Lead-Based Paint Contractor Accreditation Program. On February 17, 2010, the Alabama Lead Contractor Certification Program received approval from the Alabama State Committee on Public Health to seek EPA authorization to administer and enforce the lead-based paint RRP rules for the State of Alabama in lieu of the EPA. The Renovation Contractor Certification, Chapter 420-3-29, establish requirements for certifying renovators, dust sampling technicians, and renovation firms. Further, these rules establish requirements for renovation project notifications, pre-renovation education requirements, renovation work practices, and for record keeping. As of November 16, 2010, individuals seeking to become Certified Renovators and/or Dust Sampling Technicians in Alabama must apply through Alabama’s [Safe State Environmental Program](http://alabamasafestate.ua.edu/environmental-accreditation/lead-renovation) for accreditation. For applications, information, training provider listings, the phone number is 1-800-421-7141. Also, if one has an EPA Certification for Renovation Contractor Firm, it is no longer valid in Alabama, and you must obtain Alabama Certification to operate in Alabama. As of November 16, 2010, the Alabama Department of Public Health began accepting renovation and sampling technician firm’s applications for certification (license). Applications, project notifications and forms of compliance assistance can be found on the Alabama Department of Public Health’s [Forms](http://www.alabamapublichealth.gov/lead/forms.html) page. Renovation and sampling technician firms that presently hold an EPA certification will be granted a one-year reciprocity term from the date when the firm was issued certification from EPA. However, the firm must submit an application to the Alabama Department of Public Health to preclude any regulatory violations. All rule requirements for renovation project notifications, pre-renovation education requirements, renovation work practices, and record keeping rules still apply. The Alabama Department of Public Health also has information on the following: To better understand the difference between lead abatement and renovation, access [Clarification on Renovation Versus Abatement](http://www.alabamapublichealth.gov/lead/assets/clarification.pdf), [Chapter 420-3-27 - Lead Hazard Reduction Contractor Certification](http://www.alabamapublichealth.gov/lead/assets/finalpbrules.pdf), [Chapter 822-X-1 - Registration and Accreditation of Lead Training Programs and Individuals Engaged in Lead Hazard Reduction Activities](http://www.alabamapublichealth.gov/lead/assets/SafeStateCHAPTER822.pdf), [Chapter 420-3-29 - Renovation Contractor Certification](http://www.alabamapublichealth.gov/lead/assets/RRPRules.pdf), and [Certified Renovator Training Providers](http://www.alabamapublichealth.gov/lead/assets/RRPTrainingProviders20162017.pdf). Alaos, the Alabama Lead Contractors Certification Program is part of the [Indoor Air Quality/Lead Branch](http://www.alabamapublichealth.gov/iaq/index.html) within the Department’s Division of Community Environmental Protection, which is located in the [Bureau of Environmental Services](http://www.alabamapublichealth.gov/environmental/index.html).

**HOME**: All projects receiving HOME funding must adhere to Alabama Housing Finance Authority’s Environmental Policy Requirements (included in the HOME Action Plan as Addendum B) which addresses lead-based paint hazards and other environmental concerns.

**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: All projects receiving HTF funding must adhere to Alabama Housing Finance Authority’s Environmental Policy Requirements (see www.AHFA.com) which addresses lead-based paint hazards and other environmental concerns.

**Actions taken to reduce the number of poverty-level families [see 24 CFR 91.220(k); 91.320(j)].**

**CDBG**: The State continues to work at creating new jobs through economic development programs as a response to the State having lost textile and other manufacturing jobs. The State’s actions regarding reducing the number of poverty-level families include striving to have a low unemployment rate through an aggressive economic development strategy, and ADECA's use of the CDBG Program is the one most directly utilized for such purposes. An added benefit is that the CDBG-funded local infrastructure/construction projects generate jobs within the local communities and contribute to the State's economy.

ADECA annually markets the CDBG Program as a means to grant funds for economic development projects that create (and/or retain) large numbers of jobs and have the potential for spin-off jobs. ADECA also markets the CDBG Program as a means to provide affordable housing by rehabilitating the existing local housing stock - which then contributes to local communities' affordable housing programs, and provides funding to improve the quality of life of those living below the poverty level. ADECA also encourages the use of local matching funds so as to fund CDBG projects that address a multitude of problems through the expenditure of funds from more than one source of funding. ADECA continues to collaborate with the U.S. Department of Agriculture - Rural Development Authority, the Appalachian Regional Commission, the Delta Regional Authority, the Economic Development Administration, the Alabama Department of Environmental Management, the Alabama Rural Water Association, and the Environmental Protection Agency to fund projects that positively impact the quality of life of those living below the poverty level. And as can be seen from *Chart 12* and *Chart 17*, of the 41 CDBG grants that were closed out during the April 1, 2019 through March 31, 2020 reporting period, 13 of these were economic development grants expending a total of $2,707,232.51 and providing a total of 861 jobs in 837 households, of which 680 jobs went to LMI beneficiaries in 660 households (257 jobs for moderate-income beneficiaries in 254 households, 191 jobs for low-income beneficiaries in 186 households, and 218 jobs for very-low income beneficiaries in 207 households).

**HOME**: See the response above for CDBG.

**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: See the response above for CDBG.

**Actions taken to develop institutional structure [see 24 CFR 91.220(k); 91.320(j)].**

**CDBG**: Not applicable. The CDBG Program’s funds are not used by ADECA nor the local community grant recipients in Alabama's non-entitlement areas to develop institutional structure.

HOME: See the response above for CDBG. Also, AHFA has undertaken a number of efforts to maximize the participation of the private sector in Alabama’s HOME program. Seminars, taught by AHFA’s multifamily staff, are held annually and are attended by a wide range of participants, both veteran developers and inexperienced newcomers. AHFA offers Multifamily Essentials and Community Housing Development Organization (CHDO) training sessions which are attended by industry newcomers who wish to learn more about these AFHA programs. AHFA hosted Environmental Workshops for Environmental Professionals and potential applicants which focused on the changes to the AHFA Environmental Policy Requirements. These seminars and workshops review the latest HOME regulations, go over financial feasibility studies, and explain how to complete an AHFA funding application for HOME dollars.

To promote the HOME program, AHFA staff has participated in various seminars and meetings with organizations such as:

* Alabama Affordable Housing Association
* Alabama Bankers Association
* Alabama Association of Realtors®
* Alabama Multifamily Loan Consortium
* Alabama Department of Economic and Community Affairs
* Alabama Rural Coalition for the Homeless
* Alabama Continuums of Care
* Alabama Department of Mental Health
* Alabama Department of Veterans Affairs
* Collaborative Solutions
* Federal Home Loan Bank of Atlanta
* Home Builders Association of Alabama
* Housing Assistance Council
* Neighborhood Housing Services
* National Council of State Housing Agencies
* USDA Rural Development (Alabama)

Multi-family staff served as moderators and co-chairs at seminars hosted by some of these organizations and spoke to a number of groups regarding the HOME program.

AHFA plans to continue conducting HOME seminars each year. The seminars are varied each year in an effort to provide new information to participants. HOME brochures, created by AHFA staff, are available at meetings and seminars. These materials along with other resources are posted on the AHFA website, [www.ahfa.com](http://www.ahfa.com). The website contains relevant HOME and multifamily development information such as multifamily notices, program plans and application materials, program guidelines, pre/post-construction materials, developer frequently asked questions, and an opportunity for interested parties to join our mailing list.

**ESG**: See the response above for CDBG.

**HOPWA**: Not applicable.

**HTF**: See the response above for CDBG and HOME.

**Actions taken to enhance coordination between public and private housing and social service agencies [see 24 CFR 91.220(k); 91.320(j)].**

**CDBG**: Not applicable. The CDBG Program's funds are not used by ADECA nor the local community grant recipients to enhance coordination between public and private housing and social service agencies. However, ADECA does encourage its local non-entitlement communities to apply for ADECA’s CDBG grant funds under the “residential rehabilitation” activity. As is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of these grants involve “residential rehabilitation” as their primary grant activity. When completed, such activity by the State and its applicable local communities serves as a way in which the State's actions, taken in concert with local governments, have served to enhance coordination at the local level for improving opportunities for LMI residents to access affordable housing.

HOME: See the response above for CDBG. Also, AHFA has undertaken a number of efforts to maximize the participation of the private sector in Alabama’s HOME program. Seminars, taught by AHFA’s multifamily staff, are held annually and are attended by a wide range of participants, both veteran developers and inexperienced newcomers. AHFA offers Multifamily Essentials and Community Housing Development Organization (CHDO) training sessions which are attended by industry newcomers who wish to learn more about these AFHA programs. AHFA hosted Environmental Workshops for Environmental Professionals and potential applicants which focused on the changes to the AHFA Environmental Policy Requirements. These seminars and workshops review the latest HOME regulations, go over financial feasibility studies, and explain how to complete an AHFA funding application for HOME dollars.

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* Alabama Continuums of Care
* Alabama Department of Mental Health
* Alabama Department of Veterans Affairs
* Collaborative Solutions
* Federal Home Loan Bank of Atlanta
* Home Builders Association of Alabama
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* Neighborhood Housing Services
* National Council of State Housing Agencies
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**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: See the response above for CDBG and HOME.

**Identify actions taken to overcome the effects of any impediments identified in the jurisdiction's analysis of impediments to fair housing choice [see 24 CFR 91.520(a)].**

**CDBG**: For the CDBG Program, as is stated herein above at **CR-35 Other Actions - Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing . . .**, the State of Alabama's 2014-2015 "Analysis of Impediments to Fair Housing Choice" suggested several strategies the State could utilize to overcome the effects of identified impediments to fair housing choice. These strategies are identified in Alabama's AI as follows:

1. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama – both housing providers and housing consumers – concerning the State’s Fair Housing laws (*Code of Alabama 1975*, as amended, at §24-8-1 through §24-8-15), and the federal Fair Housing Act of 1968 that is administered by HUD, and their rights and obligations under those State and federal laws, particularly actions that would be in violation of fair housing laws.

2. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama concerning how prospective housing consumers can acquire and maintain good credit – as part of their effort to afford a home purchase or rent for an apartment.

3. The State of Alabama, through ADECA, could conduct outreach and provide education to the citizens of Alabama concerning the characteristics and attributes of a predatory lending style of loan.

4. The State of Alabama, through ADECA, could identify lenders in Alabama who have disproportionately engaged in predatory-style lending within the State, and then publish the findings so that consumers could more easily obtain this information about these lenders.

5. The State of Alabama, through ADECA, could conduct audit testing to determine the number of properties currently in violation of the fair housing laws, and in particular the disability/accessibility standards concerning housing.

6. The State of Alabama, through ADECA, could contract with the State’s three Fair Housing Initiative Program providers (the Fair Housing Center of Northern Alabama in Birmingham, the Central Alabama Fair Housing Center in Montgomery, and the Mobile Fair Housing Center, Inc. in Mobile) or other entities to conduct audit testing and track enforcement activities, particularly in the non-entitlement areas of the State, as a means of detecting fair and affordable housing abuses, determining the extent of existing affordable housing barriers, determining the success of strategies implemented to remove or ameliorate the identified barriers to affordable housing, and determining the extent of enforcement of the fair housing laws within the State.

7. The State of Alabama, through ADECA, could form a task force to devise and oversee methods for positively affecting the identified barriers to affordable housing, and provide reports to ADECA on the task force’s activities.

8. ADECA could post on its website ([www.adeca.alabama.gov](http://www.adeca.alabama.gov)) information on fair housing, affordable housing, and related information concerning how to file a complaint under the State’s fair housing laws.

9. The State of Alabama, through ADECA, could work in tandem with other State agencies, local governments, and prospective business and industry when recruiting economic development at the local level so that all parties involved would be aware of affordable housing news and issues in those locations.

To implement these strategies throughout the State’s PY2015-PY2019 Five-Year Consolidated Plan period, ADECA works with the Alabama Realtors Association, the Alabama State Banking Commission, HUD's Birmingham Office, the Alabama Housing Finance Authority, Alabama's Fair Housing Centers, and local governments - through face-to-face meetings, discussions, training sessions, continuing education seminars, and CDBG grant implementation requirements - as ways of implementing the AI's strategies stated above.

ADECA maintains on its website (www.adeca.alabama.gov) the State's Fair Housing Outreach information that contains links to fair housing posters and additional information. This information can be accessed at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Fair>.

Also, as is stated herein above at **Actions taken to address obstacles to meeting underserved needs**, at the local level, Alabama provides information on compliance with federal and state fair housing laws through education and outreach to housing providers and housing consumers throughout the State, which information includes fair housing laws and disability access laws. Alabama makes available to the public the State of Alabama’s “Analysis of Impediments to Fair Housing Choice” by posting the AI on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/Strategic-Plans-and-Action-Plans.aspx>. Alabama conducts research and accumulates information and data on housing from local community surveys and the U.S. Census website, and keeps current with how the “Analysis of Impediments to Fair Housing Choice” is having an impact through the local community sub-grant recipients' responses to ADECA's *Suggested Assessment Guide for Community Assessment of Fair Housing (formerly “Analysis of Impediments to Fair Housing Choice / AI”)* that is included herein above at **CR-30 Public Housing - Actions taken to encourage public housing residents to become more involved in management and participate in homeownership** and at the ADECA website ([www.adeca.alabama.gov](http://www.adeca.alabama.gov)) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Compliance>.

Alabama also continues to correspond with / work with the three Fair Housing Centers in the State (the Fair Housing Center of Northern Alabama located in Birmingham, the Central Alabama Fair Housing Center located in Montgomery, and the Mobile Fair Housing Center located in Mobile) and other fair housing entities (such as those who work with the Hispanic population and the Asian population, and those who work with special needs populations including the disabled and the elderly residents) to keep actively engaged with them and their targeted populations within the local communities so that they are periodically providing to ADECA - through their local governments - information on housing/community/ economic development issues and resolution efforts. And ADECA encourages its local non-entitlement communities to apply for ADECA’s CDBG grant funds under the “residential rehabilitation” activity. As is displayed in *Chart 1* through *Chart 11* herein above, of the 197 currently-open CDBG grants, 6 of those grants involve “residential rehabilitation” as their primary grant activity. When completed, such activity serves as a way in which the State's actions, taken in concert with local governments, have served to enhance coordination at the local level for improving opportunities for local LMI residents to access affordable housing.

Alabama also observes the month of April each year as Fair Housing Month within the State in that ADECA obtains an annual proclamation from the Governor’s Office declaring the month of April as Fair Housing Month in Alabama. ADECA then annually distributes this proclamation along with fair housing posters to local governments, grant administrators, housing providers, and interested consumers/members of the public throughout the State. ADECA posts the HUD fair housing posters on the ADECA website (www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Fair>. ADECA also emphasizes Fair Housing Month activities at its annual CDBG grant application workshop and CDBG grant compliance workshop, the resources of which are posted on the ADECA website ([www.adeca.alabama.gov](http://www.adeca.alabama.gov)) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Workshop> and at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Compliance>.

ADECA has also instituted fair housing compliance methods for local governments who are CDBG grant recipients - by issuing the "April 15, 2015 Fair Housing and Equal Opportunity Information" memorandum (that was subsequently issued in April 2016, April 2017, and April 2018) and the "March 1, 2019 Fair Housing and Equal Opportunity Information" memorandum (that was subsequently issued on March 2, 2020) that are referenced/included herein above. These memoranda continue ADECA's fair housing efforts with the local government grant recipients. Per these memoranda, beginning with the PY2015 CDBG grants, the local government grant recipients are required to implement two activities (first - issue a “Fair Housing Month” proclamation during the month of April, and second - publish and/or display bilingual fair housing information for non-English speaking residents in the community) and implement at least two additional fair housing activities (listed in the memoranda) with the intent that these activities provide assistance to local communities in satisfying the requirements of the federal Fair Housing Act. ADECA also mandates that each grant-funded community document its fair housing actions that are implemented, those documents are to be retained in the community’s CDBG Program file, and that file must be made available to the public in an accessible format. ADECA also monitors each CDBG-funded community for compliance with these fair housing and equal opportunity requirements.

With regard to the characteristics and attributes of a predatory lending style of loan, ADECA continues to monitor the efforts and progress of the Alabama State Banking Department and its work pertaining to drafted legislation concerning the regulation of payday lenders and financial amounts that would be available to be loaned by such lenders. Said legislation has been introduced in past Alabama legislative sessions, but failed to become law. As the statewide newspapers report, such legislation would extend a borrower's time to pay off the loans, and would regulate the interest amount that a lender could charge. In Alabama, payday loans are governed by the *Code of Alabama 1975*, as amended, at §5-18A-1 *et seq*. They are short-term loans that last between 14 and 30 days and limit the total amount of payday loans an individual can take out. Payday lenders can charge up to 456 percent annual percentage rate (APR) of interest on such loans, and draft legislation often proposes to lower the top interest rate down to 120 percent APR. Draft legislation often does not include car title loans, which are governed by the State's Small Loan Act, governed by the *Code of Alabama 1975*, as amended, at §5-18-1 *et seq*., wherein title loan lenders can charge up to 300 percent APR on those loans. The advocacy group Alabama Appleseed also joins in such draft legislation efforts, as it has fought for payday regulation because there are approximately 400,000 Alabamians who use the payday lender service. Even though such legislation often fails to become law, one success has been that a payday loan database has been established by the State Banking Department to monitor such loans.

**HOME**: See the response above for CDBG.

**ESG**: See the response above for CDBG.

**HOPWA**: See the response above for CDBG.

**HTF**: See the response above for CDBG.

**CR-40 Monitoring [see 24 CFR 91.220; 91-230]**

**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Describe the standards and procedures that will be used to monitor activities carried out in furtherance of the plan and will be used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and comprehensive planning requirements.**

**CDBG**: ADECA's CDBG Program compliance monitoring procedures are as follows:

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| **CDBG Compliance Monitoring Plan:**  Purpose: This plan establishes standards and provides guidance for monitoring CDBG and other projects funded by the Alabama Department of Economic and Community Affairs (ADECA) through the Community Development Block Grant (CDBG) and other HUD grant programs.  Objectives:ADECA’smonitoring strategy is a management control technique for ongoing assessment of the quality of grantee performance over a period of time. Monitoring provides information about a grantee’s program effectiveness and management efficiency. It also helps in identifying instances of fraud, waste and abuse. It is the principal means by which ADECA:  1. ensures that programs are carried out efficiently, effectively, and in compliance with applicable laws and regulations;  2. assists grantees in improving their performance, capacity, and management and technical skills; and  3. determines its own effectiveness and that of its programs and policies in meeting the community development needs of the grantees.  Applicability: This plan applies to monitoring strategies for the following program funds and compliance areas:  A. Programs:  1. Competitive Funds (Small City, Large City and County Funds);  2. Community Enhancement Fund;  3. Economic Development Funds (ED Infrastructure, Float Loans, Section 106, and Incubator projects);  4. Planning Grant Funds (desk review);  5. Neighborhood Stabilization Program Funds;  6. Disaster Recovery Assistance Funds; and  7. Other programs funded through HUD to be administered through the CDBG or other programs.  B. Compliance Areas:  1. National Objective and Eligibility;  2. Citizen Participation;  3. Environment;  4. Fair Housing and Equal Opportunity (FHEO);  5. Professional Services Procurement and Contract Management;  6. Financial Management and Common Rule Compliance;  7. Bidding and Contracting;  8. Labor;  9. Housing Rehabilitation and Lead Hazards;  10. Uniform Act and Section 104(d) (demolition, acquisition and relocation); and  11. Close-Outs.  C. High-risk Assessment  1. All grantees are considered to have some risk factors; therefore, all grantees will receive at least one compliance monitoring review.  2. Additional risk factors may be identified as discussed in the “Approach” section below.  D. Remedies  1. The overriding goal of monitoring is to determine compliance, prevent/identify deficiencies, and provide technical assistance to enhance grantee performance. However, when findings are made, ADECA will determine appropriate corrective actions to address the finding or deficiency.  2. In cases of serious findings, additional sanctions and penalties may be necessary up to and including debarment and suspensions of grantees and/or their contractors. The most recent version of the ADECA debarment and suspension policy is available from ADECA’s Legal Section.  Approach:As stated above, ADECA staff view monitoring, not as a one-time compliance review, but as an ongoing process involving continuous communication and evaluation. Such a process involves frequent telephone/email contacts, written communications, analysis of reports and submitted documents, and compliance monitoring reviews. The overriding goal of monitoring is to determine compliance, prevent/identify deficiencies, and provide technical assistance to enhance grantee performance. As part of this process, ADECA staff will also be alert for fraud, waste and mismanagement or situations with potential for such abuse. Additionally, monitoring will be used as an opportunity to identify program participant accomplishments, acknowledge successful management, and recognize implementation techniques that might be replicated by other grantees. Finally, the monitoring process will be used by ADECA as outreach and customer service to our grantees. The following, from Alabama’s current Consolidated Plan, is the basis for ADECA’s monitoring strategy:  On behalf of the State of Alabama, ADECA does an on-site monitoring review of all CDBG and other HUD program construction grants at least once during the life of the project. Areas reviewed for compliance include adherence to one or more of the program’s national objectives, eligibility, financial management, civil rights, environmental concerns, citizen participation, timeliness, procurement, contract management, labor standards enforcement, acquisition, relocation, job creation, and housing as appropriate.  For the CDBG program, the State utilizes a computerized tracking system to initiate each monitoring visit at the point when a reasonable percent of the grant funds has been drawn. Currently, most monitoring visits are scheduled at the time at least 30 percent of the funds have been drawn. The system also tracks the resulting resolution of any findings made in a timely manner. For other programs, appropriate tracking systems are developed/modified and utilized to ensure that the project is monitored at least once.  After each monitoring visit, a report is written to the grantee to explain the results of the review. Monitoring determinations range from “acceptable” to “finding” with appropriate corrective measures imposed. Corrective measures may include certifications that inadequacies will be resolved, documentary evidence that corrective actions have been instituted, reimbursement of disallowed costs, or other sanctions which limit the grantee’s future participation in the program. Furthermore, no grant can be closed until all monitoring findings have been satisfactorily resolved.  In addition to the stated strategies in the Consolidated Plan, ADECA maintains the flexibility to schedule additional monitoring visits as may be necessitated by problems identified in a preliminary monitoring visit, projects of a particularly complex nature, inexperienced grantees and/or administrators, grantees and/or administrators with recent compliance problems, or when project conditions demonstrate a need for additional ADECA oversight. Further, a grantee may request additional monitoring and/or technical assistance visits if necessary.  In addition to maintaining flexibility in numbers and frequencies of monitoring reviews, ADECA will also maintain flexibility regarding the type of monitoring review. In other words, monitoring reviews may take the form of on-site visits or desk reviews. All projects that include construction activities will receive at least one on-site review. However, subsequent monitoring reviews may be conducted without returning to the site. Further, planning-only projects generally will not require an on-site monitoring review.  Conclusion: ADECA has a long history of effectively administering the CDBG program. Part of that administration success stems from a diligence in monitoring. The preceding is meant to provide guidelines to ensure that CDBG and other HUD program funds are spent appropriately and that ADECA meets the needs of the grantees in the State of Alabama. However, ADECA may incorporate monitoring and review techniques not listed in order to ensure program compliance and to meet grantee needs. |

In addition, for purposes of ADECA’s **Neighborhood Stabilization Program**, the following compliance monitoring procedures became effective March 11, 2009:

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| **NSP Compliance Monitoring Plan:**  ADECA strives to work in partnership with its grantees to ensure successful program implementation. Monitoring visits are considered an opportunity to assist grantees in carrying out their program responsibilities. To this end, monitoring serves as one aspect of several coordination and compliance strategies which result in an on-going review of program progress. These strategies include frequent communication through telephone and e-mail contact, written correspondence, and technical assistance meetings as well as reviews of payment requests, quarterly progress reports, beneficiary reports, and additional documentation or reports which may be voluntarily submitted or requested by ADECA.  For purposes of the Neighborhood Stabilization Program (NSP), ADECA’s monitoring approach will generally follow the strategy outlined in the State’s Grantee Monitoring Plan. The Plan states “for other programs, appropriate tracking systems are developed/modified and utilized to ensure that the project is monitored at least once”.  ADECA staff will monitor each NSP grant on-site at least once prior to project close-out. Areas reviewed for compliance include adherence to the program’s national objective and eligibility requirements, progress and timeliness, citizen participation, environmental, fair housing, equal employment opportunity, procurement, appraisal and acquisition, tenant protection requirements, housing rehabilitation, labor standards enforcement (if applicable), demolition, disposition, homebuyer counseling, continued affordability, 25% set-aside requirement, and financial management.  A combination of factors will be used as guidance for determining when the on-site monitoring should take place. These factors include progress toward acquiring foreclosed properties, progress toward rehabilitation of the acquired properties, and progress toward final occupancy of the properties. Projects requiring a significant amount of technical assistance or receiving a program complaint may receive a higher monitoring review priority if deemed necessary. Progress toward meeting obligation and expenditure deadlines will also be considered. Additionally, grantees receiving substantial grant awards and activities involving large multi-family projects may be considered a monitoring priority.  After each monitoring visit, written correspondence is sent to the grantee describing the results of the review in sufficient detail to clearly describe the areas that were covered and the basis for the conclusions. Monitoring determinations range from “acceptable” to “finding” with appropriate corrective measures imposed. Corrective measures may include certifications that inadequacies will be resolved, documentary evidence that corrective actions have been instituted, or reimbursement of disallowed costs.  If the grantee has not responded within 30 days after the date of ADECA’s letter, ADECA staff will work with the grantee through phone calls, e-mails, or written correspondence to obtain the requested information. No grant can be closed until all monitoring findings have been satisfactorily resolved.  ADECA maintains a “NSP Grantee Progress” spreadsheet which is used as a tracking system to ensure each NSP grant is monitored at least once prior to close-out. This spreadsheet is also used to track whether or not any requested responses have been received.  ADECA retains the ability to schedule additional monitoring visits as may be necessitated by problems identified in the monitoring visit or when grant conditions demonstrate a need for additional ADECA review. Further, ADECA may also incorporate additional monitoring and review techniques not listed here in order to ensure program compliance. |

These standards and procedures are used by ADECA to monitor those CDBG-funded activities that are implemented in furtherance of the State's *2015-2019 Five-Year Consolidated Plan* and *PY2019 One-Year Annual Action Plan*. These standards and procedures are also used by ADECA to ensure long-term compliance with requirements of the CDBG Program, including minority-owned business outreach and women-owned business outreach (MBE/WBE) and comprehensive planning requirements. For the "minority-owned business outreach" and the “women-owned business outreach” aspects in particular, as part of ADECA's monitoring psrocedures, ADECA utilizes a specific monitoring checklist that reviews local community grant recipients' compliance with the program's "minority-owned business outreach" and “women-owned business outreach” (MBE/WBE) requirement. This monitoring checklist is available on the ADECA website (www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Compliance> and is included herein below:

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| |  |  | | --- | --- | | Grantee Name |  | | Project No. |  | | Preparer |  | | Date Prepared |  | | Follow-up Review Indicated |  |  Civil Rights Compliance ChecklistPart A: EQUAL EMPLOYMENT OPPORTUNITY Does the grantee maintain a separate file on Equal Opportunity?  Yes \_\_\_\_\_ No \_\_\_\_\_  If no, explain\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Part B: SECTION 3/CONTRACT MANAGEMENT  1. Does the grantee seek female and minority-owned businesses in CDBG funded contracts and subcontracts by: 2. Notifying the Alabama Office of Minority Business Enterprise (OMBE), Yes No N/A 3. Submitting a bid notification to the Alabama Small Business Development Center, Yes No N/A 4. Direct solicitation to contractors listed on the ALDOT DBE certified listing, Yes No N/A 5. Other activities. Yes No N/A   Please describe all actions taken and list documents checked for verification. If the answer to a, b, or c above was “No,” please explain.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_   1. Does the grantee seek Section 3 businesses in CDBG funded contracts and subcontracts by: 2. Direct solicitation to local contractors, Yes No N/A 3. Locally advertising and/or posting in public places any CDBG contracting or job opportunities, Yes No N/A 4. Coordination with the local housing authority or other community group in the project area, Yes No N/A 5. Other activities Yes No N/A   Please describe all actions taken and list documents checked for verification. If the answer to a or b above was “No,” please explain.  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  3. Has the grantee documented to ADECA the participation of Minority and Female-owned businesses and low- and very low-income persons in the CDBG program (Form 2516 – Contract/Subcontract Activity and Form 60002 - Section 3 Summary Report Economic Opportunities for Low- and Very Low-Income Persons)?  Yes \_\_\_\_\_ No \_\_\_\_\_  If no, explain\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

Also, for the "minority-owned business outreach" and “women-owned business outreach” (MBE/WBE) aspect, the ADECA Community and Economic Development Division’s Statewide Initiatives Unit employs one staff member in its “Office of Minority and Business Enterprise” (OMBE) whose work includes the MBE/WBE compliance. And posted on ADECA's website at the link [[http://adeca.alabama.gov/_layouts/images/icdoc.png](http://adeca.alabama.gov/Divisions/ced/cdp/CDBG%20Documents/Office%20of%20Minority%20Business%20Enterprise%20Contract-Bid%20Notification%20Contact%20Information.doc)Office of Minority Business Enterprise Contract/Bid Notification Contact Information](http://adeca.alabama.gov/Divisions/ced/cdp/CDBG%20Documents/Office%20of%20Minority%20Business%20Enterprise%20Contract-Bid%20Notification%20Contact%20Information.doc) is the point of contact notification memorandum for ADECA's in-house Office of Minority Business Enterprise, which is included herein below:

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| ADECA Office of Minority Business Enterprise  Contact Information:  Alabama Department of Economic and Community Affairs  Office of Minority and Business Enterprise  Attention: Mr. Scott Stewart  Post Office Box 5690  Montgomery, Alabama 36103-5690  Phone: 334-353-3966  E-Mail: [scott.stewart@adeca.alabama.gov](mailto:scott.stewart@adeca.alabama.gov)  When contacting the OMBE regarding bidding opportunities on a LWCF/RTP project, please provide a detailed description of the type of contracting opportunities that you have available. The description should include any special licensing or bonding requirements and contact information for the design and/or administrative professional most knowledgeable about the project.  The primary objective of the Office of Minority Business Enterprise (OMBE) is to administer a certification program to identify small, minority-, and female-owned businesses capable of providing goods and services to the government and private sectors. Certification provides minority- and female-owned businesses with a stamp of approval that they have met the requirements necessary for minority-owned business status.  The OMBE encourages registration with the Department of Finance-Division of Purchasing and provides information and assistance to become a registered vendor to receive invitations to bid on state contracts for goods and services. In addition to providing information on seminars, workshops, and training opportunities, referrals are made to Small Business Development Center professionals who can help with government contracting, international trade and other services. |

For the "comprehensive planning requirements" aspect, as part of ADECA's monitoring procedures ADECA utilizes a specific monitoring checklist that reviews local community grant recipients’ compliance with the program's "citizen participation" requirement. This allows ADECA to ensure that local communities are allowing their citizens to be a part of the local needs assessment and CDBG grant planning and decision-making process. This monitoring checklist is available on the ADECA website (www.adeca.alabama.gov) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/CDBG-Current-Forms-and-Sample-Documents.aspx#Compliance>, and is included herein below:

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| |  |  | | --- | --- | | Grantee Name |  | | Project No. |  | | Preparer |  | | Date Prepared |  | | Follow-up Review Indicated |  |  Citizen Participation, National Objective, Eligibility, Progress, and Disclosure Compliance Checklist  1. **Citizen Participation Plan**  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  | Yes | No | N/A | Notes | | A. | Did the grantee have a written Citizen Participation Plan on file? |  |  |  |  | | B. | Give the date the plan was adopted by the grantee. |  |  |  |  | | C. | How many public hearings were held prior to application submission? |  |  |  |  | | D. | Give the date of the public hearing(s). |  |  |  |  | | E. | Is the number of hearings consistent with the approved plan? |  |  |  |  | | F. | Was the required public hearing adequately advertised? If yes, was the method of advertisement consistent with the approved plan? |  |  |  |  | | G. | Was basic CDBG program information made available to the public? |  |  |  |  |   **National Objective and Eligibility**   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  | Yes | No | N/A | Notes | | A. | Are there surveys on file? |  |  |  |  | |  | * Does the survey instrument use the correct HUD Section 8 household income limits for the locality? |  |  |  |  | |  | * Does the survey information (methodology, map, number, etc.) on file match the information provided in the application? If no, provide comments as to whether or not further review is required. |  |  |  |  | |  | * Do the randomly reviewed survey forms match the tally provided in the application? If no, verify tally in space provided on last page. |  |  |  |  | |  | * Does a sample review of the surveys or character of the area indicate the need for a full and separate review? |  |  |  |  | | B. | If the project was qualified as limited clientele, does the project appear to be serving the limited clientele identified in the application? |  |  |  |  | | C. | Does the character of the project area appear to match what was stated in the application? |  |  |  |  | | D. | Does the program activity meet a national objective? State which objective. |  |  |  |  | | E. | Is the program activity one that is clearly eligible? |  |  |  |  | | F. | Does the number of beneficiaries appear consistent with those stated in the application? |  |  |  |  |   **Progress**   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | |  |  | Yes | No | N/A | Notes | | A. | What percentage of the program activity has been completed? For housing rehabilitation, give total number proposed and total number completed. |  |  |  |  | | B. | Is the project in compliance with the approved implementation schedule? |  |  |  |  | | C. | What percentage of funds has been drawn? |  |  |  |  | | D. | Are projects using faith-based or community-based organizations in compliance with the plan of actions and timetable submitted at LCC?  completing the activities.  As you prepare your timetable, please be aware that ADECA does not intend to process draw request for more than 50 percent of the grant funds until the substantial involvement of the faith-based or community-based organizations can be documented.h |  |  |  |  | | E. | If more than 50% of grant funds have been drawn, has substantial involvement of the faith-based or community-based organizations been documented? |  |  |  |  |  1. **Disclosure**  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | A. | Does the grantee maintain an updated disclosure file? |  |  |  |  | | B. | From Part IV (Interested Parties) of the disclosure file, list the names of the persons, firms, etc., with a reportable financial interest in the project. |  |  |  |  |   Complete this tally only if a random check of the surveys indicates irregularities.   |  |  | | --- | --- | | Low/Moderate Income | High Income | |  |  |   Total:  Total:  Percent LMI: |

**HOME**: The HOME Program’s monitoring process is included a part of the Alabama Housing Finance Authority’s (AHFA) 2019 Housing Credit Qualified Allocation Plan and 2019 HOME Action Plan. Application processing, project awards, and compliance monitoring will be done in accordance with AHFA National Housing Trust Fund Plans and Addenda which are available at www.ahfa.com.

**ESG**: ADECA staff will monitor each ESG grant on-site at least once prior to project close-out. Areas reviewed for compliance include adherence to the program’s national objective and eligibility requirements, progress and timeliness, citizen participation, environmental, shelter standards, housing habitability standards, rent reasonableness, affirmative outreach, fair housing, equal employment opportunity, procurement, and financial management.

After each monitoring visit, written correspondence is sent to the subrecipient describing the results of the review in sufficient detail to clearly describe the areas that were covered and the basis for the conclusions. Monitoring determinations range from “acceptable” to “finding” with appropriate corrective measures imposed. Corrective measures may include certifications that inadequacies will be resolved, documentary evidence that corrective actions have been instituted, or reimbursement of disallowed costs.

If the subrecipient has not responded within 30 days after the date of ADECA’s letter, ADECA staff will work with the subrecipient through phone calls, e-mails, or written correspondence to obtain the requested information. No grant can be closed until all monitoring findings have been satisfactorily resolved.

ADECA maintains an “HESG Projects Schedule” spreadsheet which is used as a tracking system to ensure each ESG grant is monitored at least once prior to close-out. Monitoring visits will be scheduled at the time when at least 40 percent of the funds have been drawn. This spreadsheet is also used to track monitoring findings, receipt of the requested responses, and the date of project closeout.

ADECA retains the ability to schedule additional monitoring visits as may be necessitated by problems identified in the monitoring visit or when grant conditions demonstrate a need for additional ADECA review. Further, ADECA may also incorporate additional monitoring and review techniques not listed here in order to ensure program compliance.

**HOPWA**: See the response above for CDBG.

**HTF**: The AHFA’s PY2019 HTF Plan was approved by HUD on September 17, 2019. Alabama received an allocation of $3,000,000 in PY2019 HTF Program funds. During the reporting period of April 1, 2019, through March 31, 2020, applications for PY2016, PY2017, PY2018, and PY2019 HTF Program funds were accepted. Six (6) awards were issued within the PY2019 reporting period. Outcomes will be reported in future CAPERs. The HTF Program’s monitoring process will be reported then as well.

**Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

**CDBG**: ADECA, AHFA, and AIDS Alabama together write and submit to HUD an annual report, termed the Consolidated Annual Performance and Evaluation Report (CAPER), of their respective programs’ yearly performances. The CAPER is submitted to HUD by June 30 each year, and is available for public review and comment pursuant to the ADECA Citizen Participation Plan. A summary of ADECA's Citizen Participation process is as follows:

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| Because citizen participation is encouraged in the development of all elements of the Consolidated Plan, any substantial amendments to the Plan elements, and the CAPER/ Performance Reports, participation is accomplished through public hearings in times and places accessible to the public (including low and moderate-income residents) and through coordination of data and people from various agencies representative of affected citizens. All materials and meetings are accessible to persons with disabilities and persons with Limited English Proficiency, upon request, where practicable. Plans and amendments are presented for review and comment in statewide public hearings. Chief elected officials, citizens groups, and citizens are notified by email of the hearings, and hearings are also advertised in major newspapers of general circulation. Upon request, plans and amendments are provided in a format accessible to persons with disabilities and Limited English Proficiency.  For grant purposes, the State makes available to citizens, public agencies, and other interested parties information that includes the amount of HUD-related grant assistance the State expects to receive and the range of activities that may be undertaken with those funds. The notice includes the estimated amount that will benefit persons of low and moderate-income as well as plans to minimize displacement of persons and to assist any persons displaced. This is accomplished through a statewide advertisement in the nonlegal section of one or more newspapers of general circulation. Notices are also posted on the ADECA website at [www.adeca.alabama.gov](http://www.adeca.alabama.gov) and sent via email to chief elected officials of local governments, state agencies, and other interested parties. The State publishes a summary of the proposed Plan in paper versions of one or more newspapers of general circulation, and posts copies of the proposed Plan on the State’s website as well as makes available a paper version at the State’s office. The summary describes the contents and purpose of the Plan and includes a list of locations where copies of the entire proposed Plan may be examined. The State also provides a reasonable number of free copies of the plan to citizens and groups who request it.  The State then conducts at least one public hearing on housing and community development needs before the proposed Plan is published for public comment. The State publishes a notice of the public hearing in the nonlegal section of one or more newspapers of general circulation two weeks prior to conducting the public hearing. The Notice includes adequate information to permit citizen comments on housing and community development needs. The public hearing is held at a public facility accessible to persons of low and moderate-income, as well as persons with disabilities. Length of time allocated for conducting the hearing is based on attendance at previous hearings. The State has adopted a Language Access Plan, posted at <http://adeca.alabama.gov/Divisions/ced/cdp/Pages/default.aspx#Plans>. This provides guidance for the State and its sub-grantees so that persons with Limited English Proficiency (LEP) can effectively participate in, or benefit from, federally-assisted programs. LEP persons are asked to contact the State if an interpreter is needed, and when a significant number of requests result, then an interpreter is provided.  The State receives comments on the proposed Consolidated Plan for a period of 30 days. The State considers any comments or views of citizens and units of general government received in writing or orally at the public hearing, in preparing the final Plan. A summary of these comments or views is included in the final Plan, and reasons are given for comments or views not accepted. The State makes every effort to obtain viable citizen input when program amendments are made which substantially impact the program, and in such cases a public hearing is held and notices are given through the nonlegal section of one or more newspapers of general circulation. Two weeks notice is given for a public hearing and a 30-day comment period is also provided. The State, again, considers all comments or views and gives reasons for those views that were not accepted, and a summary is attached to the final amendment. Citizens, public agencies, and other interested parties are allowed to have access to public information, documents, and records during regularly-scheduled working hours of the agencies administering the affected programs. The State will also provide a substantive written response to every written complaint concerning the Consolidated Plan, the Citizen Participation Plan, any Amendments, and the CAPER/Performance Reports within 15 working days where practicable. |

For purposes of providing citizens/the general public with reasonable notice and an opportunity to comment on this *PY2019 CAPER* (the CDBG, HOME, ESG, HOPWA, and HTF Programs' Consolidated Annual Performance Evaluation Report), ADECA followed its Citizen Participation Plan by publishing in the *Birmingham News* newspaper on Sunday, June 14, 2020, the below "Notice":

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| --- |
| **PUBLIC NOTICE**  **CONSOLIDATED ANNUAL PERFORMANCE EVALUATION REPORT**  Para una traducción al español del documento mencionado en este anuncio, escribir al Alabama Department of Economic and Community Affairs, PO Box 5690, Montgomery, Alabama 36103-5690, o E-mail [Shabbir.olia@adeca.alabama.gov](mailto:Shabbir.olia@adeca.alabama.gov).  The State of Alabama is required by the U.S. Department of Housing and Urban Development (HUD) to annually submit a Consolidated Annual Performance Evaluation Report (CAPER).  This report provides an assessment of the State’s progress in carrying out its Five-Year Consolidated Plan and its One-Year Annual Action Plan for the following five HUD-funded programs:  the Community Development Block Grant Program (CDBG); the HOME Investment Partnerships Program (HOME); the Emergency Solutions Grants Program (ESG); the Housing Opportunities for Persons With AIDS Program (HOPWA), and the Housing Trust Fund Program (HTF).  The purpose of this notice is to make the CAPER report available to the public for comments prior to its submittal to HUD. The CAPER includes information on these five programs for the period April 1, 2019 through March 31, 2020 for PY2019 and prior years' funds.  It contains a summary of programmatic accomplishments including a description of the resources made available, the investment of available resources, the geographic distribution and location of investments, the program beneficiaries assisted, the actions taken to affirmatively further fair housing, and other actions indicated in the Consolidated Plan and Action Plans. The CAPER is essentially comprised of three parts:  1) a summary of resources and individual program accomplishments; 2) narrative statements providing the status of actions taken during this program year to implement the State’s overall strategy; and 3) a self-evaluation of progress made during this program year in addressing identified priority needs and objectives.  The CAPER includes reports created from HUD’s Integrated Disbursement & Information System (IDIS) data base, including a Performance & Evaluation Report. Beginning June 14, 2020, the CAPER may be viewed online at [www.adeca.alabama.gov](http://www.adeca.alabama.gov/#_blank) or at the Alabama Department of Economic and Community Affairs (ADECA), Suite 500, 401 Adams Avenue, Montgomery, Alabama 36104.  The State will consider the views and comments of citizens in developing and finalizing the CAPER.  Public comments will be taken and considered for a 15-day period beginning on June 14, 2020 and ending on June 28, 2020.  If you have need of further information or have a disability requiring special materials, services, or assistance, please contact Mr. Shabbir Olia at the ADECA office in Montgomery at [Shabbir.olia@adeca.alabama.gov](mailto:Shabbir.olia@adeca.alabama.gov) or at (334) 242-5468.  The State of Alabama’s CAPER will be electronically submitted in IDIS to HUD for approval on or about June 30, 2020.  cid:image009.gif@01D50AFD.3F3A0BB0 |

As for public comments on this PY2019 CAPER during the public comment period beginning on June 14, 2020 and ending on June 28, 2020, no public comments were received.

**HOME**: See the response above for CDBG. Also, the HOME Program’s Citizen Participation process is included as a part of the Alabama Housing Finance Authority’s (AHFA) 2019 Housing Credit Qualified Allocation Plan and 2019 HOME Action Plan.

**ESG**: The ESG Program is administered by ADECA, and it follows the ADECA CDBG Program’s Citizen Participation process stated above.

**HOPWA**: The HOPWA Program is administered on behalf of ADECA by AIDS Alabama, and it follows the ADECA CDBG Program’s Citizen Participation process stated above.

**HTF**: See the response above for CDBG and HOME.

**CR-45 CDBG [see 24 CFR 91.520(c)]**

**Specify the nature of, and reasons for, any changes in the State's program objectives and indications of how the State would change its programs as a result of its experiences.**

**CDBG**: ADECA, as the State agency recipient of - and administrator for - Alabama's CDBG Program funds distributed to the State's non-entitlement areas, has not made any significant changes to the State's program objectives that are stated in the State's *2015-2019 Five-Year Consolidated Plan* and *PY2019 One-Year Annual Action Plan*, as well as herein above at **CR-05 Goals and Outcomes**. The reason that few changes have been made is due to ADECA's experience in having managed the State's CDBG Program for over 30 years. Alabama - through ADECA - has developed its CDBG Program objectives to be fluid and broad enough to accommodate any eligible non-entitlement locality seeking such CDBG funds for its local projects, be it a county, a large city with a population of 3,001 or more residents, or a small city with a population of 3,000 or fewer residents. The State - through ADECA - maintains focus on its identified CDBG Program objectives by targeting its CDBG funds toward (1) infrastructure development (via grant funds for water improvement projects, sewer improvement projects, roads and street improvement projects, fire protection improvement projects, etc.), (2) community enhancement (via grant funds for senior centers, community centers, parks and recreation, and similar projects to enhance a community's quality of life and services to its limited clientele), (3) economic development (via grants for purposes of locating businesses so as to create or retain jobs within the community), (4) urgent needs (via grants such as drainage projects or demolition projects that allow communities to managing health hazards and/or respond to local crises), and (5) fair housing development (via grants for purposes of addressing residential rehabilitation and demolishing hazardous and disintegrating structures).

However, the changes that ADECA has recently implemented - beginning with the PY2015 CDBG grant year - are those that address:

● Fair Housing - wherein ADECA now mandates that all local non-entitlement communities receiving CDBG Program funds from ADECA must complete - as a part of their Letter of Conditional Commitment compliance prior to the release of grant funds - the *Suggested Assessment Guide for Community Assessment of Fair Housing (formerly “Analysis of Impediments to Fair Housing Choice / AI”)* form so as to assist those local communities in identifying and assessing their local fair housing goals; and

● Fair Housing - wherein ADECA has instituted new fair housing compliance methods for local governments who are CDBG grant recipients - by issuing the "April 15, 2015 Fair Housing and Equal Opportunity Information" memorandum wherein the local government grant recipients are required to implement two activities (1 - issue a “Fair Housing Month” proclamation during the month of April, and 2- publish and/or display bilingual fair housing information for non-English speaking residents in the community) as well as implement at least two additional fair housing activities (listed in the memorandum) with the intent that these activities provide assistance in satisfying the requirements of the federal Fair Housing Act. Each CDBG-funded community must document the fair housing actions that it implements, those documents are to be retained in the community’s CDBG Program file, and that file must be made available to the public in an accessible format. ADECA also monitors each funded community for compliance with the fair housing and equal opportunity requirements. Note that the "April 15, 2015 Fair Housing and Equal Opportunity Information" memorandum was updated and reissued by ADECA in April 2016, April 2017, April 2018, March 2019, and March 2020. The recent memorandum is available on the ADECA website ([www.adeca.alabama.gov](http://www.adeca.alabama.gov)) at <https://adeca.alabama.gov/Divisions/ced/cdp/Pages/default.aspx#News>.

As the CDBG Program's administrative, grant application, implementation, compliance, monitoring, record-keeping, and reporting processes develop in future funding years, ADECA will continue to adjust its current processes in efforts to implement additional changes and updates to its grant administrative methods and procedures for the CDBG Program.

**HOME**: Not applicable.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**Does this State have any open Brownfields Economic Development Initiative (BEDI) grants?**

○ Yes

● No

**CR-50 HOME [see 24 CFR 91.520(d)]**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations.** Please list those projects that should have been inspected on-site this program year based upon the schedule in 24 CFR 92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

**CDBG**: Not applicable.

**HOME**: The results of inspections of affordable rental housing assisted under the HOME Program are identified in *Chart 20* as follows:

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| **Chart 20**  **HOME Program - Results of On-site Inspections of Affordable Rental Housing**  **Assisted Under the HOME Program to Determine Compliance**  **With Housing Codes and Other Applicable Regulations** |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Project Number | Project Name | Date of Audit | Type of Audit | In Compliance | Corrected Date |
| 2014028 | Cypress Trace Apartments | 4/4/19 | Full | Yes |  |
| 1999022 | Briarwood Estates | 4/25/19 | full | No | 4/25/2019 |
| 2011044 | Hallson Manor | 4/26/19 | full | No | 5/15/2019 |
| 1998031 | Crestwood | 5/2/19 | Full | No | 5/2/2019 |
| 2001036 | Keystone | 5/2/19 | Full | Yes |  |
| 2003026 | Autumnwood | 5/2/19 | Full | No | 6/20/2019 |
| 2006016 | Pine View Crossing | 5/2/19 | Full | Yes |  |
| 2011074 | Pine View Crossing Phase II | 5/2/19 | Full | Yes |  |
| 2004079 | Holly Park Estates | 5/2/19 | full | Yes |  |
| 2009042 | The Lodge at Greenbridge | 5/2/19 | full | Yes |  |
| 1998034 | Sunset Point | 5/3/19 | full | Yes |  |
| 2002051 | Englewood | 5/3/19 | full | Yes |  |
| 2001059 | Melodie Meadow | 5/3/19 | full | Yes |  |
| 2000033 | Hickory Run II | 5/8/19 | full | No | 6/21/2019 |
| 2001020 | Gardner Place | 5/8/19 | full | No | 6/11/2019 |
| 2008015 | Brookstone Village Apartments | 5/8/19 | full | No | 6/21/2019 |
| 2007036 | Sara's Ridge | 5/9/19 | full | Yes |  |
| 2010051 | The Villas at Cloverdale | 5/9/19 | full | Yes |  |
| 2003032 | McCay's Landing | 5/9/19 | Full | No | 5/29/2019 |
| 2006015 | McCay's Landing II | 5/9/19 | Full | No | 5/29/2019 |
| 2014044 | McCay Senior Gardens | 5/9/19 | Full | Yes |  |
| 1999013 | Woodbridge | 5/10/19 | full | Yes |  |
| 2002062 | Collier Cove | 5/10/19 | Full | Yes |  |
| 1999071 | Elnora Manor | 5/10/19 | full | No | 5/29/2018 |
| 2005045 | Heflin Manor | 5/10/19 | full | No | 7/23/2019 |
| 2001033 | YW Homes Jefferson Co | 5/10/19 | full | No | 7/8/2019 |
| 2001032 | YW Homes St. Clair | 5/10/19 | full | No | 6/27/2019 |
| 2013025 | Clarkston Square | 5/16/19 | full | Yes |  |
| 2003024 | Mountainside | 5/16/19 | full | Yes |  |
| 2011062 | The Village at Blackwell Farm | 5/16/19 | Full | Yes |  |
| 2007074 | High Forest Apartments | 5/17/19 | full | Yes |  |
| 2009075 | High Forest II Apartments | 5/17/19 | TCAP | Yes |  |
| 2013034 | Waterford Farms Apartments | 5/23/19 | full | Yes |  |
| 2000032 | Jackson Square | 5/23/19 | full | Yes |  |
| 1999046 | Adams Crossings | 5/24/19 | Full | Yes |  |
| 2011043 | Savannah Gardens | 5/24/19 | Full | Yes |  |
| 2003054 | Amesbury | 5/30/19 | full | Yes |  |
| 2008062 | South Hills | 5/30/19 | full | Yes |  |
| 2013032 | French Farms Village Apartments | 6/6/19 | full | Yes |  |
| 2000010 | Plantation Garden (HOME Paid Off) | 6/6/19 | Full | Yes |  |
| 2001014 | Midway Manor | 6/6/19 | Full | Yes |  |
| 2016040 | Rivers Edge Apartments | 6/6/19 | Full | No | 8/2/2019 |
| 2003004 | West Ridge Apartments | 6/6/19 | full | Yes |  |
| 2007086 | Hallmark Crestmoor | 6/7/19 | Full | Yes |  |
| 2000009 | Rosewood Manor (HOME Paid Off) | 6/12/19 | full | Yes |  |
| 2004050 | Alexander Terrace Apartments II | 6/12/19 | full | Yes |  |
| 2000038 | Ivy Pointe II | 6/13/19 | Full | Yes |  |
| 2008037 | North Pointe | 6/13/19 | full | No | 6/13/2019 |
| 2016006 | Village at Hixon Pond | 6/13/19 | full | Yes |  |
| 1999062 | Oak Meadows (HOME Paid Off) | 6/13/19 | Full | Yes |  |
| 2002063 | Carroll's Country Crossing | 6/13/19 | Full | Yes |  |
| 2000080 | Meadow Oaks | 6/13/19 | Full | Yes |  |
| 2007016 | Timberline Apartments | 6/14/19 | Full | Yes |  |
| 2011023 | Sullivan Village | 6/14/19 | Full | Yes |  |
| 2004074 | Deer Ridge | 6/14/19 | full | Yes |  |
| 2013062 | Deer Ridge II | 6/14/19 | full | Yes |  |
| 2006073 | Greystone fka Wilshire Park | 6/14/19 | Full | No | 7/22/2019 |
| 2014042 | Alexander Village Apartments | 6/18/19 | Full | Yes |  |
| 2000035 | Double Creek | 6/19/19 | Full | Yes |  |
| 2015035 | Creekview Village | 6/19/19 | full | Yes |  |
| 2005020 | Harbor Pointe | 6/20/19 | full | Yes |  |
| 2010061 | Harbor Pointe II | 6/20/19 | full | No | 7/9/2019 |
| 2001070 | Meadow Park | 6/20/19 | full | Yes |  |
| 970044 | Wesley Glen | 6/21/19 | Full | Yes |  |
| 2009004 | Crestview Senior Cottages | 6/21/19 | full | No | 8/5/2019 |
| 2010032 | Heritage View | 6/21/19 | full | No | 8/5/2019 |
| 2003019 | Stonecrest | 6/26/19 | Full | Yes |  |
| 2006030 | Charles Place | 6/27/19 | Full | No | 6/27/2019 |
| 2012074 | Creekstone | 6/27/19 | Full | Yes |  |
| 2000013 | Amberwood Villas | 6/27/19 | Full | Yes |  |
| 2004034 | Wolf Run | 6/27/19 | Full | Yes |  |
| 2000046 | Bankhead Court | 6/27/19 | Full | No | 7/30/2019 |
| 1999044 | Royal Oak | 6/28/19 | full | Yes |  |
| 1998024 | East Side | 6/28/19 | Full | No | 8/19/2019 |
| 2006059 | Valley Cove | 6/28/19 | full | Yes |  |
| 2002048 | Olympia Gardens | 7/2/19 | full | No | 7/2/2019 |
| 1998061 | Patterson Place | 7/3/19 | full | Yes |  |
| 2001075 | Sunrise Gardens | 7/3/19 | full | No | 8/16/2019 |
| 2014017 | The Reserve at Spring Creek | 7/11/19 | full | Yes |  |
| 1998046 | Cryar Homes | 7/11/19 | full | No | 7/26/2019 |
| 2001065 | CSP 2001 Alabama Homes, Inc. | 7/11/19 | full | No | 10/8/2019 |
| 2004077 | Mill Run | 7/12/19 | full | Yes |  |
| 2013057 | Somerville Apartments | 7/12/19 | full | Yes |  |
| 2010062 | Providence Place | 7/12/19 | full | Yes |  |
| 2013051 | The Village at Oliver Place | 7/17/19 | full | Yes |  |
| 2007069 | Rosewood Park | 7/17/19 | full | Yes |  |
| 2011064 | Cypress Landing | 7/18/19 | full | Yes |  |
| 2000031 | Austin Springs | 7/18/19 | full | Yes |  |
| 2001035 | Edgewater | 7/18/19 | full | Yes |  |
| 2004009 | Beaver Cove | 7/18/19 | full | Yes |  |
| 2006067 | Crawford Park | 7/18/19 | full | Yes |  |
| 2010004 | Williams Court | 7/19/19 | full | Yes |  |
| 2006026 | Lakeside Village Apartments | 7/25/19 | full | Yes |  |
| 2012026 | Ridgecrest Estates | 7/25/19 | full | Yes |  |
| 2000012 | Forest Ridge | 7/26/19 | full | Yes |  |
| 2015006 | Red Oak Ridge | 7/26/19 | full | No | 10/16/2019 |
| 2001069 | Garden Park Apartments | 7/31/19 | full | Yes |  |
| 2005009 | Hamilton Place Apartments | 8/1/19 | Full | Yes |  |
| 2000015 | Cornerstone Place | 8/2/19 | full | Yes |  |
| 1999061 | Court Manor | 8/2/19 | full | Yes |  |
| 2001021 | The Springs | 8/2/19 | full | Yes |  |
| 1999045 | Windsor Apartments | 8/7/19 | full | Yes |  |
| 2002006 | Hickory Trace | 8/8/19 | full | Yes |  |
| 2007029 | Tanner Estates | 8/8/19 | full | No | 9/4/2019 |
| 1998018 | Ezra Cunningham Apartments formerly Inverness Apts | 8/8/19 | full | Yes |  |
| 2003027 | Quail Ridge | 8/8/19 | full | Yes |  |
| 2008006 | Fieldstone | 8/9/19 | full | Yes |  |
| 1998032 | Regency | 8/9/19 | Full | Yes |  |
| 2003028 | Timberlake | 8/9/19 | Full | Yes |  |
| 2006048 | Cobblestone Creek | 8/15/19 | full | No | 9/3/2019 |
| 2004008 | Mayberry Park | 8/15/19 | Full | Yes |  |
| 2000024 | Quail Run (HOME Paid off) | 8/15/19 | full | No | 9/12/2019 |
| 2012043 | Hallmark at Phenix | 8/22/19 | Full | No | 10/9/2019 |
| 2007062 | Pamela Manor | 8/23/19 | full | Yes |  |
| 2012080 | Bradberry Pointe | 8/23/19 | full | Yes |  |
| 2009005 | Solstice | 8/23/19 | full | Yes |  |
| 2015007 | Shiloh | 8/23/19 | full | Yes |  |
| 2010002 | Belle Vue Square | 8/29/19 | full | Yes |  |
| 2015014 | Village at Mill Creek fka The Village at Millbrook | 8/29/19 | full | Yes |  |
| 2011037 | Fords Mill Apartments | 8/30/19 | full | Yes |  |
| 2004036 | Highland Ridge | 9/5/19 | full | Yes |  |
| 2011032 | Coosa Village Apartments (HOME Paid Off) | 9/5/19 | Full | Yes |  |
| 2008086 | Gables Crossing | 9/5/19 | Full | Yes |  |
| 2002007 | Timber Trail | 9/5/19 | full | Yes |  |
| 1999069 | Clear Spring (HOME Paid Off) | 9/6/19 | full | Yes |  |
| 2010007 | Shoals Mill Apartments | 9/6/19 | full | Yes |  |
| 2014040 | Shoals Mill Village | 9/6/19 | full | Yes |  |
| 2006017 | Garden Greene Apartments | 9/6/19 | Full | Yes |  |
| 2012065 | Hurricane Creek Trace | 9/12/19 | full | Yes |  |
| 2004018 | Wimberly | 9/18/19 | full | Yes |  |
| 2007024 | Annie Lee Gardens | 9/19/19 | full | Yes |  |
| 1998049 | Alex Place | 9/19/19 | full | Yes |  |
| 2001068 | Twin Oaks | 9/20/19 | Full | Yes |  |
| 2013061 | Tuxedo Park | 9/26/19 | full | Yes |  |
| 2001054 | Miranda Villas | 10/4/19 | Full | Yes |  |
| 2006005 | Miranda Villas II | 10/4/19 | Full | Yes |  |
| 2000053 | Canaan Estates II | 10/4/19 | full | No | 12/9/2019 |
| 2001067 | Northgate Place | 10/4/19 | full | Yes |  |
| 970014 | Crown Chase | 10/4/19 | Full | Yes |  |
| 2000063 | Poplar Pointe | 10/4/19 | Full | No | 10/5/2019 |
| 2008081 | Chastain Manor | 10/11/19 | full | Yes |  |
| 1999040 | Alfred Radney Apartments formerly Northgate Apts | 10/17/19 | full | No | 10/17/2019 |
| 2000057 | Raintree Estates | 10/17/19 | Full | No | 11/4/2019 |
| 2007048 | Rosie L. Carpenter Haven | 10/17/19 | Full | Yes |  |
| 2002014 | Cherry Ridge Apartments | 10/18/19 | full | Yes |  |
| 2012039 | Cherry Ridge Village Apartments | 10/18/19 | full | No | 11/13/2019 |
| 1998025 | Summer Place | 10/18/19 | full | Yes |  |
| 970062 | Pathway | 10/18/19 | Full | Yes |  |
| 2001052 | Bluff View Estates | 10/18/19 | Full | Yes |  |
| 2005046 | Emery Pointe | 10/25/19 | full | No | 11/20/2019 |
| 2010034 | Wellington Terrace | 10/25/19 | full | No | 11/20/2019 |
| 2009038 | The Arbors at Ellington Walk Unit 14 | 10/30/19 | full | Yes |  |
| 2011004 | Grace Ridge | 11/1/19 | full | No | 11/1/2019 |
| 2014010 | Grace Pointe | 11/1/19 | full | Yes |  |
| 2004013 | Arbor Park | 11/14/19 | full | Yes |  |
| 2010026 | Hallmark at Talladega | 11/14/19 | full | Yes |  |
| 970070 | New Haven | 11/15/19 | full | No | 12/30/2019 |
| 2012078 | The Flats at Colebridge | 11/15/19 | full | Yes |  |
| 2001011 | Westport III | 11/15/19 | full | No | 12/2/2019 |
| 2005004 | Susanna Ridge | 1/17/20 | Full | Yes |  |
| 2005035 | South Place | 1/17/20 | Full | Yes |  |
| 1998019 | Charleston Square | 1/23/20 | Full | Yes |  |
| 2003025 | Saddle Ridge | 1/23/20 | Full | Yes |  |
| 2005013 | Canebrake Apartments | 1/23/20 | Full | Yes |  |
| 2005026 | The Veranda | 1/23/20 | Full | Yes |  |
| 2007037 | Saddle Ridge II | 1/23/20 | Full | Yes |  |
| 1998060 | Amberwood | 1/24/20 | Full | Yes |  |
| 2008092 | Level Line Apartments | 1/30/20 | Exchange | Yes |  |
| 2002034 | Hilltop Apartments | 1/31/20 | Full | Yes |  |
| 2004006 | Regis Square | 1/31/20 | Full | Yes |  |
| 2000063 | Poplar Pointe | 2/6/20 | Full | Yes |  |
| 2009037 | Legacy Senior Village | 2/6/20 | TCAP | Yes |  |
| 2012043 | Hallmark at Phenix | 2/6/20 | Full | No | 2/11/2020 |
| 1999036 | Perdue Village | 2/7/20 | Full | No |  |
| 2000020 | Commerce Street Manor | 2/7/20 | Full | No | 3/26/2020 |
| 2002057 | Brookridge Apartments | 2/7/20 | Full | Yes |  |
| 2011055 | Avon Square | 2/7/20 | Full | Yes |  |
| 1998018 | Ezra Cunningham Apartments formerly Inverness Apts | 2/13/20 | Full | No | 2/19/2020 |
| 1998029 | Countryside Villas (HOME Paid off) | 2/13/20 | Full | Yes |  |
| 1998054 | Hulett Townhouse Apartments | 2/13/20 | Full | Yes |  |
| 1999043 | Deerfield | 2/13/20 | Full | Yes |  |
| 2002015 | Orchard Park | 2/13/20 | Full | Yes |  |
| 2002058 | Covington Place | 2/13/20 | Full | Yes |  |
| 2003027 | Quail Ridge | 2/13/20 | Full | Yes |  |
| 2001054 | Miranda Villas | 2/14/20 | Full | Yes |  |
| 2006005 | Miranda Villas II | 2/14/20 | Full | Yes |  |
| 2006017 | Garden Greene Apartments | 2/14/20 | Full | Yes |  |
| 2008010 | Grady's Walk | 2/14/20 | TCAP | Yes |  |
| 2011037 | Fords Mill Apartments | 2/14/20 | Full | Yes |  |
| 2000043 | Glenwood Meadows Apartments | 2/20/20 | Full | Yes |  |
| 2002059 | Carrington Way | 2/20/20 | Full | Yes |  |
| 2013035 | Baytown Senior Village | 2/21/20 | Full | Yes |  |
| 2000018 | Eagle Ridge Place | 2/27/20 | Full | Yes |  |
| 2003013 | Wood Springs | 2/27/20 | Full | Yes |  |
| 2008003 | Wood Springs Place | 2/27/20 | Full | Yes |  |
| 2002038 | Bell Grayson Manor | 3/5/20 | Full | Yes |  |
| 2004018 | Wimberly | 3/5/20 | Full | Yes |  |
| 2005029 | Quail Meadows | 3/5/20 | Full | Yes |  |
| 2017009 | The Estates at Kendal | 3/5/20 | Full | Yes |  |
| 2000015 | Cornerstone Place | 3/6/20 | Full | Yes |  |
| 2004008 | Mayberry Park | 3/6/20 | Full | Yes |  |
| 2012080 | Bradberry Pointe | 3/6/20 | Full | Yes |  |
| 1999061 | Court Manor | 3/10/20 | Full | Yes |  |
| 2000057 | Raintree Estates | 3/18/20 | Full | Yes |  |
| 2000010 | Plantation Garden | 3/19/20 | Full | Yes |  |
| 2001014 | Midway Manor | 3/19/20 | Full | Yes |  |
| 1999065 | Cedar Terrace Apartments | 3/20/20 | Full | Yes |  |
| 2005019 | Highland View Apartments | 3/20/20 | Full | Yes |  |
| 2010026 | Hallmark at Talladega | 3/20/20 | Full | Yes |  |
| 2016040 | Rivers Edge Apartments | 3/20/20 | Full | Yes |  |
| 1998034 | Sunset Point | 3/26/20 | Full | Yes |  |
| 2000080 | Meadow Oaks | 3/26/20 | Full | Yes |  |
| 2006073 | Greystone fka Wilshire Park | 3/26/20 | Full | Yes |  |
| 2007036 | Sara's Ridge | 3/26/20 | Full | Yes |  |
| 2007074 | High Forest Apartments | 3/26/20 | Full | Yes |  |
| 2009075 | High Forest II Apartments | 3/26/20 | TCAP | Yes |  |
| 2009038 | The Arbors at Ellington | 3/27/20 | Full | Yes |  |

Red Font- No physical inspection due to COVID-19, just an electronic household file and rent review. Physical inspection last completed in 2019.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**An assessment of the jurisdiction's affirmative marketing actions for HOME units [24 CFR 92.351(b)].**

**CDBG**: Not applicable.

**HOME**: The HOME Program’s input is contained on the Alabama Housing Finance Authority's website at [www.ahfa.com](http://cp.mcafee.com/d/k-Kr6jqb3z1EVup73APtPqdQXEIcIzHCQrFK6zBx4sUyrhKDt5xBAsyrhKPtcsqerCzAsraXcCv_MWeQlrFjU0HkfJLqvF3ltUzkOrR3XrSDWgRnu8RcCO25_hv7fZvAQkkNPX_nKnjpd7d-oLtOXzDkhjmKCHtZzBgY-F6lK1FJ4SyrKrKr01qjtTBPp52If8X05qjtTBPqarWq9I5zihEw0HpAP_-1Ewx_Ekd44OvCy0g2UK86yuxEwB1SIltDaI3h0Xm9EwCjYQg2gw5xE5Cy0S-Ur1HXt5BUaKgnd).

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**Data on the amount and use of program income for projects, including the number of projects and owner and tenant characteristics.**

**CDBG**: Not applicable.

**HOME**: In PY2019, AHFA receipted $5,459,939 of Program Income (PI) generated by previously funded HOME projects. In accordance with the HOME Program, 10% of this amount will be utilized as Program Administrative (PA) funds. The remaining PI will be committed and awarded to applicants in the 2020 Competitive Cycle to fund creation of new apartment communities in Alabama. This amount is identified in the 2020 HOME Action Plan and will be utilized to provide affordable housing according to that same plan.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**Other actions taken to foster and maintain affordable housing [24 CFR 91.220(k)]. (STATES ONLY: Including the coordination of LIHTC [Low Income Housing Tax Credits] with the development of affordable housing) [24 CFR 91.320(j)].**

**CDBG**: Not applicable.

**HOME**: AHFA utilizes the State's HOME funds in combination with Low Income Housing Tax Credits to leverage these resources to create new apartment communities for low-income and moderate-income citizens.

**ESG**: Not applicable.

**HOPWA**: Not applicable.

**HTF**: Not applicable.

**CR-55 HOPWA [see 24 CFR 91.520(e)]**

**Identify the number of individuals assisted and the types of assistance provided.**

**Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.**

|  |  |  |
| --- | --- | --- |
| **Number of Households Served Through:** | **One-Year Goal** | **Actual** |
| **Short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family** | 80 | 31 |
| **Tenant-based rental assistance** | 100 | 81 |
| **Units provided in permanent housing facilities developed, leased, or operated with HOPWA funds** | 200 | 137 |
| **Units provided in transitional short-term housing facilities developed, leased, or operated with HOPWA funds** | 100 | 55 |
| **Total** | 480 | 304 |

**Narrative.**

**CDBG**: Not applicable.

**HOME**: Not applicable.

**ESG**: Not applicable.

**HOPWA**: The lack of decent, safe, and affordable housing is also an ongoing problem for individuals living with HIV in the State of Alabama. Typically, units affordable to a very-low income household are not in a desired neighborhood and may not be considered decent or safe. According to the Low Income Housing Coalition of Alabama, the state has an estimated shortage of more than 95,000 affordable housing units. Thus, rent supplement programs and affordable housing developments are vital. In the HIV-positive population, as with other vulnerable groups, housing is often the catalyst for stable health care, decreased risky behaviors, and successful long-term outcomes. Additionally, the availability of supportive services is a crucial factor when determining success outcomes in persons living with HIV disease. As funding continues to shift to away from supportive services, we continue to see larger gaps in the client-to-case manager ratio, leaving less time to focus on the clients’ underlying issues. As a result, the needs of this population become reoccurring and ongoing, depleting already limited resources.

Research has shown that housing is indeed healthcare for the HIV-positive population. Recent findings add to the growing evidence that housing itself independently reduces risk of HIV infection and improves the health of persons living with HIV. According to the National HIV Strategy for the United States, released July 20, 2015:

● Access to housing is an important precursor to getting many people into a stable treatment regimen;

● Federal agencies should consider additional efforts to support housing assistance to enable people living with HIV to obtain and adhere to HIV treatment; and

● Individuals living with HIV who lack stable housing are more likely to delay HIV care, have poorer access to regular care, are less likely to receive optimal antiretroviral therapy, and are less likely to adhere to therapy.

These and other recent findings add to the growing evidence that housing itself independently reduces risk of HIV infection and improves the health of persons living with HIV. Social and economic discrepancies, along with unsound and unsupported infrastructure, have led us to our present situation in the state. AIDS Alabama continues to work diligently to increase the affordable housing stock for HIV-positive individuals and families across the Alabama.

AIDS Alabama uses HOPWA funding for rental assistance, supportive services including case management and transportation, and continued operation of existing housing across the State. Collaboration between the AIDS Service Organization Network of Alabama (ASONA) allows services to reach all 67 counties. In addition, AIDS Alabama sustains a working partnership with the area homeless continuum of care, One Roof, as well as the Balance of the State Continuum, the Alabama Rural Coalition for the Homeless. These partnerships enable AIDS Alabama to network with other housing providers across the State, as well as to have a voice in discussion regarding affordable housing. Homeless prevention services were provided in the form of Short-Term Mortgage, Rental, and Utility Assistance (STRMU), Tenant-Based Rental Assistance (TBRA), and Project-Based Rental Assistance (PBRA) to 128 unduplicated households.

AIDS Alabama provides a spectrum of decent, safe, and affordable housing for low-income persons living with HIV disease. Housing ranges from transitional housing, which provides short-term housing and intensive case management, to a service-enriched permanent housing facility that is available for dually diagnosed persons living with HIV and a severe mental illness. The following details AIDS Alabama housing programs:

1. LIVING IN BALANCE CHEMICAL ADDICTION PROGRAM (LIBCAP) provides treatment and recovery services to adults who are HIV-positive and have a chemical addiction problem. LIBCAP operates as an Intensive Outpatient Program.

2. The RECTORY PROGRAM serves as one of two HIV-positive emergency shelters in Alabama and has 12 beds. The Rectory is a tightly structured program ranging from 45 to 90 days and is located on AIDS Alabama’s campus property.

3. PERMANENT HOUSING includes Agape House, an 18-unit, one-bedroom apartment complex; Agape II, a 12-unit one, two, and three bedroom facility for individuals and families; Family Places, five houses owned by AIDS Alabama for homeless families; and the Mustard Seed, three permanent supportive housing units.

4. SERVICE ENRICHED HOUSING is provided for persons with HIV and a dual diagnosis of mental illness who are unable to live independently. Certified by Alabama Department of Mental Health, JASPER HOUSE offers 14 private rooms for individuals who require assistance 24-hours per day.

5. HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS (HOPWA) provides Short-Term Rent, Mortgage, and Utility assistance to prevent homelessness. Short-term HOPWA is a “needs-based” program, meaning clients must demonstrate an emergency making them unable to make rental or mortgage payments. Long-term Tenant-Based Rental Assistance assists in keeping consumers stably housed by assisting with the monthly rent.

6. SHELTER PLUS CARE is a permanent housing voucher program that targets homeless people with disabilities, and AIDS Alabama provides the required match for 49 PLWHA and their families. The Jefferson County Housing Authority manages the tenant-based rental assistance vouchers, and sponsoring social service agencies must provide match in the form of supportive services to maintain the voucher.

7. RAPID-REHOUSING AND ASCENSION project offers rapid re-housing services to homeless clientele. Though the program is not limited to HIV-positive individuals, the program continues to primarily serve this population.

8. LE TRANSCLUSIVE is a 21 unit permanent supportive housing project for individuals who fall into the category of living with HIV and chronically homeless with addiction or mental health diagnoses as well as for those who identify as transgender.

9. STATEWIDE HOUSING includes Magnolia Place, a 15-apartment complex in Mobile Alabama; Alabama Rural AIDS Project, 18 Tenant-Based Rental Assistance vouchers in rural areas throughout Alabama; and an undisclosed home located in a rural municipality.

**HTF**: Not applicable.

**CR-56 HTF [see 24 CFR 91.520(h)]**

**Describe the extent to which the grantee complied with its approved HTF allocation plan and the requirements of 24 CFR part 93.**

**CDBG**:  Not applicable.

**HOME**:  Not applicable.

**ESG**:  Not applicable.

**HOPWA**:  Not applicable.

**HTF**: The Project Awards issued in the 2019 application cycle are fully in compliance with the parameters established in the 2016 – 2019 National Housing Trust Fund Plans. The awarded projects are expected to begin construction and be completed within the next two years. The number of units and beneficiaries will be reported in future CAPER reports, after completion of the HTF projects.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Tenure Type** | **0-30% AMI** | **0% of 30+ to poverty line (when poverty line is higher than 30% AMI)** | **% of the higher of 30+ AMI or poverty line to 50% AMI** | **Total Occupied Units** | **Units Completed, Not Occupied** | **Total Completed Units** |
| **Rental** | 0 | 0 | 0 | 0 | 0 | 0 |
| **Homebuyer** | 0 | 0 | 0 | 0 | 0 | 0 |

**CR-60 ESG Subrecipient Information [24 CFR 91.520(g)] - ESG Recipients Only.**

**ESG Supplement to the 2019 CAPER**

**1. Grantee and ESG Contact Information**

|  |  |
| --- | --- |
| **Primary Contact** | |
| First Name: Kenneth  Middle Initial: W.  Last Name: Boswell.  Title: ADECA Director  Email: [Kenneth.boswell@adeca.alabama.gov](mailto:Jim.Byard@adeca.alabama.gov)  Address: Alabama Department of Economic  and Community Affairs (ADECA)  401 Adams Avenue, Room 580  Post Office Box 5690  City: Montgomery  State: Alabama  Zip Code: 36103-5690  Telephone: 334-242-5591 |  |

|  |  |
| --- | --- |
| **ESG Contacts** | |
| **First Contact**  First Name: Shabbir  Middle Initial: A.  Last Name: Olia  Title: Division Chief, Community and  Economic Development Division  Email: [Shabbir.olia@adeca.alabama.gov](mailto:Shabbir.olia@adeca.alabama.gov)  Address: Alabama Department of Economic  and Community Affairs (ADECA)  Community and Economic Development  Division  401 Adams Avenue  Post Office Box 5690  City: Montgomery  State: Alabama  Zip Code: 36103-5690  Telephone: 334-242-5468 | **Second Contact**  First Name: Shonda  Middle Initial: H.  Last Name: Gray  Title: Emergency Solutions Grants (ESG)  Program Manager  Email: [Shonda.gray@adeca.alabama.gov](mailto:Shonda.gray@adeca.alabama.gov)  Address: Alabama Department of Economic  and Community Affairs (ADECA)  Community and Economic Development  Division  401 Adams Avenue, Room 500  Post Office Box 5690  City: Montgomery  State: Alabama  Zip Code: 36103-5690  Telephone: 334-353-0288 |

|  |
| --- |
| **1a. Identify Continuums of Care (CoCs) in which the recipients or subrecipients will provide ESG assistance.** |
| 2010 Birmingham / Jefferson, St. Clair, Shelby Counties CoC |
| 2010 Mobile City & County / Baldwin County CoC |
| 2010 Florence / Northwest Alabama CoC |
| 2010 Huntsville / North Alabama CoC |
| 2010 Montgomery City & County CoC |
| 2010 Gadsden / Northeast Alabama CoC |
| 2010 Tuscaloosa City & County CoC |
| 2010 Alabama Balance of State CoC |

**2. Reporting Period**

**ESG**: The ESG Program’s reporting period is April 1, 2019 through March 31, 2020.

**3. Specify Subrecipients:** Specify subrecipients for year 2019.

**ESG**: The ESG subrecipients for Program Year 2019 are listed below.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Organization** | **Is subrecipient a victim services provider?** | **Organization Type** | **ESG Subgrant**  **or Contract Award Amount** | **Action** |
| **2ND CHANCE, INC.**  Anniston, AL 36202-2714  DUNS: 827464934 | Yes | Other  Non-Profit Organization | $280,599 |  |
| **ALA RURAL COALITION FOR THE HOMELESS** Montgomery, AL 36101-0451 DUNS: 826967742 | No | Other  Non-Profit Organization | $300,000 |  |
| **CITY OF FLORENCE** Florence, AL 35631-0098 DUNS: 079124780 | No | Unit of Government | $219,080.99 |  |
| **CITY OF HUNTSVILLE** Huntsville, AL 35801-4240 DUNS: 112481325 | No | Unit of Government | $200,000 |  |
| **CITY OF TUSCALOOSA** Tuscaloosa, AL 35401-1541 DUNS: 112649736 | No | Unit of Government | $200,000 |  |
| **DALLAS COUNTY FAMILY**  **RESOURCE CENTER**  Selma, AL 36702-2142  DUNS: 064818253 | No | Other  Non-Profit  Organization | $80,000 |  |
| **THE MARSHALL COUNTY HOME PLACE** Guntersville, AL 35976-1831 DUNS: 017615563 | No | Other  Non-Profit Organization | $30,000 |  |
| **MID-ALABAMA COALITION FOR THE HOMELESS**  Montgomery, AL 36109-2707  DUNS: 147371723 | No | Other  Non-Profit Organization | $300,000 |  |
| **MOBILE AREA INTERFAITH CONFERENCE, INC.**  Mobile, AL 36693-5107  DUNS: 964554526 | No | Other  Non-Profit Organization | $80,000 |  |
| **PENELOPE HOUSE** Mobile, AL 36691-0127 DUNS: 006710719 | Yes | Other  Non-Profit Organization | $200,000 |  |
| **SHELBY COUNTY COMMISSION** Columbiana, AL 35051 DUNS: 075461137 | No | Unit of Government | $133,786 |  |
| **UNITED WAY OF EAST CENTRAL ALABAMA**  Anniston, AL 36202-1122  DUNS: 168446227 | No | Other  Non-Profit Organization | $300,000 |  |
| **YWCA CENTRAL ALABAMA** Birmingham, AL 35203-3820 DUNS: 018394049 | Yes | Other  Non-Profit Organization | $200,000 |  |

**CR-65 Persons Assisted ESG [24 CFR 91.520(g)] - ESG Recipients Only.**

**4. Persons Served**

**ESG**: \*This information was uploaded into the Sage HMIS Reporting Repository and is attached to CR-00.

**4a. Complete for Homelessness Prevention Activities:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | \* |
| Children | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**4b. Complete for Rapid Re-Housing Activities:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | \* |
| Children | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**4c. Complete for Shelter:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | \* |
| Children | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**4d. Street Outreach:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | \* |
| Children | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**4e. Totals for All Persons Served with ESG:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Adults | \* |
| Children | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**5. Gender - Complete for All Activities:**

|  |  |
| --- | --- |
| Male | **Total** |
| Female | \* |
| Transgender | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**6. Age - Complete for All Activities:**

|  |  |
| --- | --- |
| **Number of Persons in Households** | **Total** |
| Under 18 | \* |
| 18-24 | \* |
| 25 and over | \* |
| Don't Know / Refused / Other | \* |
| Missing Information | \* |
| **Total** | \* |

**7. Special Populations Served - Complete for All Activities:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Subpopulation** | **Total** | **Total Persons Served – Prevention** | **Total Persons Served -**  **RRH** | **Total Persons Served in Emergency Shelters** |
| **Veterans** | \* | \* | \* | \* |
| **Victims of Domestic Violence** | \* | \* | \* | \* |
| **Elderly** | \* | \* | \* | \* |
| **HIV / AIDS** | \* | \* | \* | \* |
| **Chronically Homeless** | \* | \* | \* | \* |
| **Persons with Disabilities:** | | | | |
| **Severely Mentally Ill** | \* | \* | \* | \* |
| **Chronic Substance Abuse** | \* | \* | \* | \* |
| **Other Disability** | \* | \* | \* | \* |
| **Total (Unduplicated if Possible)** | \* | \* | \* | \* |

**CR-70 Assistance Provided and Outcomes [24 CFR 91.520(g)] - ESG Recipients Only.**

**8. Shelter Utilization:**

|  |  |
| --- | --- |
|  | **Number of Units** |
| **Number of Beds - Rehabbed** | 0 |
| **Number of Beds - Conversion** | 0 |
| **Total number of bed-nights available** | 343,722 |
| **Total number of bed-nights provided** | 236,388 |
| **Capacity Utilization** | 69% |

**9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s):**

**ESG**: Over 590 program participants exited the ESG program to rental units with no ongoing housing subsidy. Over 350 program participants exited the ESG program with an ongoing housing subsidy. Over 1,460 persons exited the program to positive housing destinations.

Twenty-six (26) program participants received TANF Child Care Services. Four (4) program participants received TANF Transportation Services. Nine (9) program participants received other TANF-funded services. 3,023 program paticipants had Medicaid as their health insurance coverage. One hundred and twenty-eight (128) program participants received VA medical services.

**CR-75 Expenditures [24 CFR 91.520(g)] - ESG Recipients Only.**

**11. Expenditures.**

NOTE: ALL numbers in the following charts are rounded up from the figures reported in IDIS. Decimal places are not reflected in IDIS. Therefore; the last two digits of all numbers in the charts in IDIS represent cents.

**11a. ESG Expenditures for Homelessness Prevention:**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | |
|  | **FY2017** | **FY2018** | **FY2019** |
| **Expenditures for Rental Assistance** | $ 36,588 | $ 204,429 | $ 9,406 |
| **Expenditures for Housing Relocation and Stabilization Services - Financial Assistance** | $4,648 | $43,344 | $3,095 |
| **Expenditures for Housing Relocation and Stabilization Services -**  **Services** | $16,015 | $104,275 | $5,770 |
| **Expenditures for Homelessness Prevention under Emergency Shelter Grants Program** | $0 | $0 | $0 |
| **Subtotal Homelessness Prevention** | $57,251 | $352,048 | $18,271 |

**11b. ESG Expenditures for Rapid Re-Housing:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | | |
|  | | **FY2017** | **FY2018** | **FY2019** |
| **Expenditures for Rental Assistance** | | $48,322 | $88,063 | $69,422 |
| **Expenditures for Housing Relocation and Stabilization Services - Financial Assistance** | | $16,130 | $149,613 | $8,583 |
| **Expenditures for Housing Relocation and Stabilization Services -**  **Services** | | $99,025 | $116,025 | $70,761 |
| **Expenditures for Homeless Assistance under Emergency Shelter Grants Program** | | $0 | $0 | $0 |
| **Subtotal Rapid**  **Re-Housing** | | $163,477 | $353,701 | $148,766 |

**11c. ESG Expenditures for Emergency Shelter:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | | |
|  | | **FY2017** | **FY2018** | **FY2019** |
| **Essential Services** | | $0 | $210,632 | $48,069 |
| **Operations** | | $233,001 | $721,926 | $215,560 |
| **Renovation** | | $0 | $0 | $0 |
| **Major Rehab** | | $0 | $0 | $0 |
| **Conversion** | | $0 | $0 | $0 |
| **Subtotal** | | $233,001 | $932,558 | $263,629 |

**11d. Other Grant Expenditures:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Dollar Amount of Expenditures in Program Year** | | | |
|  | | **FY2017** | **FY2018** | **FY2019** |
| **Street**  **Outreach** | | $38,207 | $59,461 | $1,920 |
| **HMIS** | | $5,215 | $36,631 | $1,010 |
| **Administration** | | $4,514 | $63,501 | $14,212 |

**11e. Total ESG Grant Funds:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Total ESG Funds Expended** | **FY2017** | **FY2018** | **FY2019** |
|  | $501,665 | $1,797,900 | $447,808 |

**11f. Match Source:**

|  |  |  |  |
| --- | --- | --- | --- |
|  | **FY2017** | **FY2018** | **FY2019** |
| **Other Non-ESG HUD Funds** | $30,215 | $267,371 | $0 |
| **Other Federal Funds** | $6,823 | $0 | $223,039 |
| **State Government** | $0 | $0 | $0 |
| **Local Government** | $0 | $38,750 | $0 |
| **Private Funds** | $106,601 | $597,101 | $188,688 |
| **Other** | $346,465 | $886,690 | $125,649 |
| **Fees** | $0 | $0 | $0 |
| **Program Income** | $0 | $0 | $0 |
| **Total Match Amount** | $490,104 | $1,789,912 | $537,376 |

**11g. Total:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Total Amount of Funds Expended on ESG Activities** | **FY2017** | **FY2018** | **FY2019** |
|  | $991,769 | $3,587,812 | $985,184 |

**Addendum to CR-75 (Text 1).**

**ESG**: Expenditures are rounded down to the nearest dollar. Other Sources of Match include volunteer time, donations, and in-kind services.

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_End of Report\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**