

Section 3 Summary Report

Economic Opportunities for
Low and Very Low-Income Persons

**U.S. Department of Housing
and Urban Development**
Office of Fair Housing
and Equal Opportunity

OMB Approval No.2529-0043
(exp. 11/30/2010)

HUD Field Office : : BIRMINGHAM, AL

See Public Reporting Burden Statement below

1. Recipient Name:

Alabama Dept of Economic and Community Affairs

Recipient Address: (street, city, state, zip)

401 Adams Avenue
Montgomery , Alabama 36103

2. Grant Number:

2011Form60002Report

3. Total Amount of Award: \$ 26,711,078

Amount of All Contracts Awarded: \$ 26,711,078

4. Contact Person:

Shabbir Olia

5. Phone: 3342425468

Fax: 3343533527

E-Mail: shabbir.olia@adeca.alabama.gov

6. Length of Grant: 60 Month(s)

7. Reporting Period: Quarter 4 of Fiscal Year 2011

8. Date Report Submitted:

06/26/2012

9. Program Code-Name:

8-CDBG-State Administered

Program Codes:

3A = Public/Indian Housing
Development

4 = Homeless Assistance

7 = CDBG-Entitlement

10= Other Housing Programs

1 = Flexible Subsidy

3B = Public/Indian Housing
Operation

5 = HOME Assistance

8 = CDBG-State Administered

2 = Section 202/811

3C = Public/Indian Housing
Modernization

6 = HOME-State Administered

9 = Other CD Programs

Part I. Employment and Training (Columns B, C, and F are mandatory fields.)

AJob Category	BNumber of New Hires	CNumber of New Hires that are Sec.3 Residents	D% of Section 3 New Hires	E% of Total Staff Hours for Section 3 Employees	FNumber of Section 3 Trainees
Professionals	0	0	0.00 %	0.00 %	0
Technicians	2	0	0.00 %	0.00 %	2
Office/Clerical	0	0	0.00 %	0.00 %	0
Officials/Managers	0	0	0.00 %	0.00 %	0
Sales	0	0	0.00 %	0.00 %	0
Craft Workers (skilled)	19	0	0.00 %	0.00 %	0
Operatives (semiskilled)	87	19	0.00 %	0.00 %	18
Laborers (unskilled)	87	11	0.00 %	0.00 %	0
Service Workers	0	0	0.00 %	0.00 %	0
Other (List)	0	0	0.00 %	0.00 %	0
Total	195	30			20

Part II. Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all construction contracts awarded on the project \$
22,289,380

B. Total dollar amount of construction contracts awarded to Section 3
businesses \$ 0

C. Percentage of the total dollar amount that was awarded to Section 3
businesses 0.00 %

D. Total number of Section 3 businesses receiving construction contracts 1

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project \$ 4,421,698

B. Total dollar amount of non-construction contracts awarded to Section 3 businesses \$ 157,000

C. Percentage of the total dollar amount that was awarded to Section 3 businesses 3.60 %

D. Total number of Section 3 businesses receiving non-construction contracts 6

Part III. Summary of Efforts

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Select **yes** to all that apply)

Yes Recruited low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.

Yes Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.

Yes Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.

Yes Coordinated with Youthbuild Programs and administered in the metropolitan area in which the Section 3 covered project is located.

Yes Other; describe below.

Activities frequently included notifying ADECA's Office of Minority Business of upcoming construction contracts and mailing letters to solicit possible Section 3 business concerns. Please note that many of the State's CDBG projects are in rural and small urban areas and that opportunities for contracting with Section 3 business concerns are limited. As per HUD's guidance of 7/13/10, Professional Services Contracts are now listed in II.2.A. Contracts are reported in CDBG dollars. NOTE: This Form does not allow reporting of negative numbers. One (1) subrecipient made a correction to its PY2010 report (resulting in a cumulative total of -\$228,352 for Item II.1.B.), not \$0.00 as shown. This correction to the subrecipient's PY2010 report also decreased the total number of Section 3 contracts reported in II.1.D. from 2 to 1.

Public reporting burden for this collection of information is estimated to average 6 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.
